VENTAS INC Form 4 August 23, 2016

### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 4 or Form 5 obligations may continue. See Instruction 1(b).

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

2. Issuer Name and Ticker or Trading

(Print or Type Responses)

(Last)

1. Name and Address of Reporting Person <u>\*</u> Liebbe Gregory R

(First)

(Street)

(Middle)

Symbol
VENTAS INC [VTR]

3. Date of Earliest Transaction (Month/Day/Year) 08/19/2016

10350 ORMSBY PARK PLACE, SUITE 300

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

**OMB APPROVAL** 

3235-0287

January 31,

2005

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Number:

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burden hours per

\_\_\_\_ Director \_\_\_\_ 10% Owner \_X\_ Officer (give title \_\_\_\_ Other (specify below)

SVP, Chief Accounting Officer

6. Individual or Joint/Group Filing(Check

Applicable Line)
\_X\_ Form filed by One Reporting Person
\_\_\_ Form filed by More than One Reporting
Person

LOUISVILLE, KY 40223

(City)	(State)	(Zip) Tab	le I - Non-	Derivativ	e Secu	rities Acqui	red, Disposed of,	or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	Code (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
Common			Code V	1 11110 11111		Price			
Stock	08/19/2016		M	1,304	A	\$ 46.62	7,584.578	D	
Common Stock	08/19/2016		S	1,304 (1)	D	\$ 73.1996	6,280.578	D	
Common Stock	08/19/2016		M	2,703	A	\$ 59.21	8,983.578	D	
Common Stock	08/19/2016		S	2,703 (1)	D	\$ 73.1996	6,280.578	D	
Common Stock	08/19/2016		M	2,936	A	\$ 50.92	9,216.578	D	

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Common Stock 08/19/2016 S  $\frac{2,936}{(1)}$  D  $\frac{\$}{73.1996}$  6,280.578 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. Number on f Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 46.62	08/19/2016		M	1,304	03/09/2013(2)	03/09/2022	Common Stock	1,304
Stock Option (Right to Buy)	\$ 59.21	08/19/2016		M	2,703	03/08/2014(3)	03/08/2023	Common Stock	2,703
Stock Option (Right to Buy)	\$ 50.92	08/19/2016		M	2,936	03/07/2015(4)	03/07/2024	Common Stock	2,936

# **Reporting Owners**

Reporting Owner Name / Address

Director 10% Owner Officer Other

Liebbe Gregory R 10350 ORMSBY PARK PLACE SUITE 300 LOUISVILLE, KY 40223

SVP, Chief Accounting Officer

Reporting Owners 2

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### **Signatures**

Gregory R. Liebbe, By: T. Richard Riney, Attorney-In-Fact

08/23/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - On August 19, 2016, the Reporting Person transmitted to the Securities and Exchange Commission (the "SEC") a Form 144 covering the sale of the Issuer's common stock reported in Table I. The price reported in column 4 is a weighted average price. These shares were sold
- (1) by the Reporting Person in multiple transactions at prices ranging from \$73.08 to \$73.37, inclusive. The Reporting Person undertakes to provide Issuer, any security holder of Issuer or the staff of the SEC, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote (1).
- (2) These options were part of a previously reported grant by the Issuer to the Reporting Person which vested in three equal annual installments beginning on March 9, 2013.
- (3) These options were part of a previously reported grant by the Issuer to the Reporting Person which vested in three equal annual installments beginning on March 8, 2014.
- (4) These options were part of a previously reported grant by the Issuer to the Reporting Person which vested in three equal annual installments beginning on March 7, 2015.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3