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BARKLEY MICHAEL T

Form 4

January 04, 2018

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

3235-0287

Expires:

January 31, 2005

0.5

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OMB APPROVAL

response...

if no longer subject to Section 16. Form 4 or

Check this box

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

 $(SIP) \stackrel{(3)}{\underline{}}$

(Print or Type Responses)

| 1. Name and Address of Reporting Person * BARKLEY MICHAEL T | | | Symbol | 2. Issuer Name and Ticker or Trading Symbol PACCAR INC [PCAR] | | | | 5. Relationship of Reporting Person(s) to Issuer (Check all applicable) | | | |
|---|--------------------------------|-------|--|--|--|--------|-------------|--|---|---|--|
| (Last) 777 106TH A | (First) VENUE NE | (Midd | 3. Date of (Month/E) 01/02/2 | • | nsaction | | | DirectorX Officer (give below) SR. VICE PRES | title 10% below) | Owner er (specify | |
| | (Street) | | | | 4. If Amendment, Date Original Filed(Month/Day/Year) | | | | 6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person Form filed by More than One Reporting | | |
| BELLEVUE, | WA 98004 | | | | | | ī | Person | ore man One Re | porting | |
| (City) | (State) | (Zip | p) Tabl | e I - Non-De | erivative S | ecurit | ies Acqu | ired, Disposed of | , or Beneficial | ly Owned | |
| 1.Title of Security (Instr. 3) | 2. Transaction (Month/Day/Y | (ear) | 2A. Deemed Execution Date, is any (Month/Day/Year | Code) (Instr. 8) | 4. Securion(A) or D (Instr. 3, | (A) or | d of (D) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) | |
| COMMON STOCK | 01/02/2018 | | | F(1) | 887 | D | \$ 73.02 | 18,408 | D | | |
| COMMON STOCK (ESPP) (2) | | | | | | | | 5.85 | D | | |
| COMMON STOCK | | | | | | | | 19,000.081 | D | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)

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Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | | e | 7. Title and Am Underlying Sec (Instr. 3 and 4) | |
|---|---|--------------------------------------|---|--|---|---------------------|--------------------|---|--------------------------------|
| | | | | Code V | (A) (D) | Date Exercisable | Expiration Date | Title | Amount o Number o Shares |
| STOCK OPTION (4) | \$ 43.24 | | | | | 01/01/2015 | 02/02/2022 | COMMON STOCK | 13,662 |
| STOCK OPTION (4) | \$ 47.81 | | | | | 01/01/2016 | 02/06/2023 | COMMON STOCK | 11,728 |
| STOCK OPTION (4) | \$ 59.15 | | | | | 01/01/2017 | 02/07/2024 | COMMON STOCK | 9,236 |
| STOCK OPTION (4) | \$ 62.46 | | | | | 01/01/2018 | 02/04/2025 | COMMON STOCK | 8,562 |
| STOCK OPTION (4) | \$ 50 | | | | | 01/01/2019 | 02/04/2026 | COMMON STOCK | 17,368 |
| STOCK OPTION (4) | \$ 67.63 | | | | | 01/01/2020 | 02/07/2027 | COMMON STOCK | 12,324 |
| COMMON STOCK (DCP) (5) | <u>(5)</u> | | | | | <u>(5)</u> | (5) | COMMON STOCK | 919.473 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | | | | |
|--------------------------------|---------------|-----------|-------------------------------|-------|--|--|--|
| • 0 | Director | 10% Owner | Officer | Other | | | |
| BARKLEY MICHAEL T | | | | | | | |
| 777 106TH AVENUE NE | | | SR. VICE PRESIDENT/CONTROLLER | | | | |
| BELLEVUE WA 98004 | | | | | | | |

Reporting Owners 2

Signatures

Michael T. 01/04/2018 Barkley

**Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Shares forfeited for payment of tax liability in connection with the vesting of restricted shares.
- (2) Shares held in PACCAR Inc Employee Stock Purchase Plan (ESPP).
- (3) Shares held in PACCAR Savings Investment Plan (SIP).
- (4) Option to buy awarded under PACCAR LTIP.
- (5) Share units held in deferred phantom stock account under PACCAR Deferred Compensation Plan (DCP) convertible to common stock on a one-for-one basis upon satisfaction of all applicable vesting conditions.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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