

KOCH D CHRISTIAN
Form 4
February 14, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
KOCH D CHRISTIAN

2. Issuer Name and Ticker or Trading Symbol
CARLISLE COMPANIES INC
[CSL]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction (Month/Day/Year)
02/12/2019

____ Director
 Officer (give title below) _____ 10% Owner
_____ Other (specify below)
CEO & President

C/O CARLISLE COMPANIES INCORPORATED, 16430 N. SCOTTSDALE ROAD, SUITE 400
(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

SCOTTSDALE, AZ 85254

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				(A) or (D)	Price		
Common Stock	02/12/2019		M	A	7,346 \$ 49.56	D	
Common Stock	02/12/2019		S	D	7,346 \$ 120.15	D	
Common Stock	02/13/2019		M	A	7,189 \$ 49.56	D	
Common Stock	02/13/2019		S	D	7,189 \$ 120	D	

Edgar Filing: KOCH D CHRISTIAN - Form 4

Common Stock	02/13/2019	M	15,720	A	\$ 64.8	150,025	D
Common Stock	02/13/2019	S	15,720	D	\$ <u>(3)</u>	134,305	D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy)	\$ 49.56	02/12/2019		M	7,346	<u>(4)</u>	01/31/2022	Common Stock	7,346
Employee Stock Option (Right to Buy)	\$ 49.56	02/13/2019		M	7,189	<u>(4)</u>	01/31/2022	Common Stock	7,189
Employee Stock Option (Right to Buy)	\$ 64.8	02/13/2019		M	15,720	<u>(6)</u>	02/05/2023	Common Stock	15,720

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

KOCH D CHRISTIAN
C/O CARLISLE COMPANIES INCORPORATED
16430 N. SCOTTSDALE ROAD, SUITE 400
SCOTTSDALE, AZ 85254

CEO &
President

Signatures

/s/ D. Christian Koch by Ronald P. Fuss,
attorney-in-fact

02/14/2019

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

This transaction was executed in multiple trades at prices ranging from \$120.00 to \$120.32. The price reported above reflects the
(1) weighted average sales price. The reporting person hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

This transaction was executed in multiple trades at prices ranging from \$120.00 to \$120.01. The price reported above reflects the
(2) weighted average sales price. The reporting person hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

This transaction was executed in multiple trades at prices ranging from \$120.01 to \$120.26. The price reported above reflects the
(3) weighted average sales price. The reporting person hereby undertakes to provide to the SEC staff, the issuer or a security holder of the issuer, upon request, full information regarding the number of shares sold at each separate price.

(4) The option vested in three equal annual installments beginning on February 1, 2013.

(5) The transaction is the exercise of a derivative security.

(6) The option vested in three equal annual installments beginning on February 6, 2014.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.