SEVCON, INC. Form SC 13D/A September 17, 2014
SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549
SCHEDULE 13D
Under the Securities Exchange Act of 1934 (Amendment No. 36)
Sevcon, Inc. (Name of Issuer)
Common Stock \$0.10 Par Value Per Share (Title of Class of Securities)
(CUSIP Number) 81783K108
David Goldman GAMCO Investors, Inc. One Corporate Center Rye, New York 10580-1435 (914) 921-5000 (Name, Address and Telephone Number of Person Authorized to Receive Notices and Communications)
September 16, 2014 (Date of Event which Requires Filing of this Statement)
If the filing person has previously filed a statement on Schedule 13G to report the acquisition that is the subject of thi Schedule 13D, and is filing this schedule because of $\S\S 240.13d-1(e)$ , $240.13d-1(f)$ or $240.13d-1(g)$ , check the following box .
1

```
CUSIP No. 81783K108
          Names of reporting persons
1
          I.R.S. identification nos. of above persons (entities only)
                                               I.D. No. 13-4044523
          Gabelli Funds, LLC
          Check the appropriate box if a member of a group (SEE
          INSTRUCTIONS)
          (a)
2
          (b)
          Sec use only
3
          Source of funds (SEE INSTRUCTIONS)
            00-Funds of investment advisory clients
4
          Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
5
          Citizenship or place of organization
            New York
6
Number Of: 7
                      Sole voting power
Shares
                      None (Item 5)
Beneficially
                      Shared voting power
Owned
                      None
By Each
                      Sole dispositive power
Reporting
                      470,327 (Item 5)
          :10
Person
                      Shared dispositive power
With
                      None
11
           Aggregate amount beneficially owned by each reporting person
          470,327 (Item 5)
12
          Check box if the aggregate amount in row (11) excludes certain shares
          (SEE INSTRUCTIONS)
13
          Percent of class represented by amount in row (11)
           10.73%
```

14
Type of reporting person (SEE INSTRUCTIONS)
IA

```
CUSIP No. 81783K108
          Names of reporting persons
          I.R.S. identification nos. of above persons (entities only)
1
          GAMCO Asset Management Inc. I.D. No. 13-4044521
          Check the appropriate box if a member of a group (SEE
           INSTRUCTIONS)
           (a)
2
          (b)
           Sec use only
3
           Source of funds (SEE INSTRUCTIONS)
           00-Funds of investment advisory clients
4
          Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
5
          Citizenship or place of organization
            New York
6
Number Of: 7
                      Sole voting power
Shares
                      955,895 (Item 5)
Beneficially
                      Shared voting power
Owned
                      None
By Each
                      Sole dispositive power
Reporting
                      955,895 (Item 5)
           :10
Person
                      Shared dispositive power
With
                      None
11
           Aggregate amount beneficially owned by each reporting person
          955,895 (Item 5)
12
          Check box if the aggregate amount in row (11) excludes certain shares
           (SEE INSTRUCTIONS)
13
          Percent of class represented by amount in row (11)
          21.82%
```

14

Type of reporting person (SEE INSTRUCTIONS) IA, CO

```
CUSIP No. 81783K108
           Names of reporting persons
1
          I.R.S. identification nos. of above persons (entities only)
                                                        I.D. No. 13-4008049
           Teton Advisors, Inc.
           Check the appropriate box if a member of a group (SEE
           INSTRUCTIONS)
            (a)
2
           (b)
           Sec use only
3
           Source of funds (SEE INSTRUCTIONS)
           00 – Funds of investment advisory clients
4
           Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
5
           Citizenship or place of organization
6
            Delaware
Number Of: 7
                       Sole voting power
Shares
                       704,317 (Item 5)
Beneficially
                       Shared voting power
Owned
                       None
By Each
                       Sole dispositive power
Reporting
                       704,317 (Item 5)
           :10
                       Shared dispositive power
Person
With
                      None
11
           Aggregate amount beneficially owned by each reporting person
           704,317 (Item 5)
12
           Check box if the aggregate amount in row (11) excludes certain shares
           (SEE INSTRUCTIONS)
13
           Percent of class represented by amount in row (11)
           16.07%
14
```

Type of reporting person (SEE INSTRUCTIONS)
IA, CO

```
CUSIP No. 81783K108
           Names of reporting persons
           I.R.S. identification nos. of above persons (entities only)
1
           Gabelli Securities, Inc.
                                                  I.D. No. 13-3379374
           Check the appropriate box if a member of a group (SEE
           INSTRUCTIONS)
            (a)
2
           (b)
           Sec use only
3
           Source of funds (SEE INSTRUCTIONS)
           00 – Funds of investment advisory clients
4
           Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)
5
           Citizenship or place of organization
6
            Delaware
Number Of: 7
                       Sole voting power
Shares
                       5,346 (Item 5)
Beneficially
                       Shared voting power
Owned
                       None
By Each
                       Sole dispositive power
Reporting
                       5,346 (Item 5)
           :10
Person
                       Shared dispositive power
With
                       None
11
           Aggregate amount beneficially owned by each reporting person
           5,346 (Item 5)
12
           Check box if the aggregate amount in row (11) excludes certain shares
           (SEE INSTRUCTIONS)
13
           Percent of class represented by amount in row (11)
           0.12%
```

Type of reporting person (SEE INSTRUCTIONS)
HC, CO, IA

CUSIP No. 81783K108					
1	Names of reporting persons I.R.S. identification nos. of above persons (entities only) GGCP, Inc. I.D. No. 13-3056041 Check the appropriate box if a member of a group (SEE INSTRUCTIONS)				
2	(a)				
	(b)				
3	Sec use only				
4	Source of funds (SEE INSTRUCTIONS) None				
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)				
6	Citizenship or place of organization Wyoming				
Number C	of: 7 Sole voting power				
Shares	None (Item 5)				
Beneficial	Shared voting power				
Owned	None:				
By Each	Sole dispositive power				
Reporting	: None (Item 5)				
Person	:10 Shared dispositive power				
With	: None				
11	Aggregate amount beneficially owned by each reporting person				
	None (Item 5)				
12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS) X				
13	Percent of class represented by amount in row (11)				
	0.00%				

Type of reporting person (SEE INSTRUCTIONS)
HC, CO

CUSIP No	o. 81783K108  Names of reporting persons I.R.S. identification nos. of above persons (entities only) GAMCO Investors, Inc.  Check the appropriate box if a member of a group (SEE INSTRUCTIONS)  (a)				
	(b)				
3	Sec use only				
4	Source of funds (SEE INSTRUCTIONS) None				
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)				
6	Citizenship or place of organization Delaware				
Number O	f: 7 Sole voting power				
Shares	None (Item 5)				
Beneficial	ly. 8 Shared voting power				
Owned	: None				
By Each	: 9 : Sole dispositive power				
Reporting	: None (Item 5)				
Person	:10 Shared dispositive power				
With	: : None				
11	Aggregate amount beneficially owned by each reporting person				
	None (Item 5)				
12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS) X				
13	Percent of class represented by amount in row (11)				
	0.00%				

14

Type of reporting person (SEE INSTRUCTIONS) HC, CO

CUSIP No	o. 81783K108			
1	Names of reporting persons I.R.S. identification nos. of above persons (entities only) Mario J. Gabelli			
	Check the appropriate box if a member of a group (SEE INSTRUCTIONS)			
2	(a)			
	(b)			
3	Sec use only			
4	Source of funds (SEE INSTRUCTIONS) None			
5	Check box if disclosure of legal proceedings is required pursuant to items 2 (d) or 2 (e)			
6	Citizenship or place of organization USA			
Number O	f: 7 Sole voting power			
Shares	None (Item 5)			
Beneficial	ly: 8 Shared voting power			
Owned	: None			
By Each	: 9 Sole dispositive power :			
Reporting	None (Item 5)			
Person	:10 Shared dispositive power			
With	: None			
11	Aggregate amount beneficially owned by each reporting person			
	None (Item 5)			
12	Check box if the aggregate amount in row (11) excludes certain shares (SEE INSTRUCTIONS) $ X $			
13	Percent of class represented by amount in row (11)			
	0.00%			

Type of reporting person (SEE INSTRUCTIONS)
IN

#### Item 1. Security and Issuer

This Amendment No. 36 to Schedule 13D on the Common Stock, par value \$0.10, of Sevcon, Inc., (formerly Tech/Ops Sevcon, Inc.) (the "Issuer") is being filed on behalf of the undersigned to amend the Schedule 13D, as amended (the "Schedule 13D") which was originally filed on July 18, 2005. Unless otherwise indicated, all capitalized terms used herein but not defined herein shall have the same meanings as set forth in the Schedule 13D.

#### Item 2. <u>Identity and Background</u>

Item 2 to Schedule 13D is amended, in pertinent part, as follows:

This statement is being filed by Mario J. Gabelli ("Mario Gabelli") and various entities which he directly or indirectly controls or for which he acts as chief investment officer. These entities engage in various aspects of the securities business, primarily as investment adviser to various institutional and individual clients, including registered investment companies and pension plans, and as general partner or the equivalent of various private investment partnerships or private funds. Certain of these entities may also make investments for their own accounts. The foregoing persons in the aggregate often own beneficially more than 5% of a class of equity securities of a particular issuer. Although several of the foregoing persons are treated as institutional investors for purposes of reporting their beneficial ownership on the short-form Schedule 13G, the holdings of those who do not qualify as institutional investors may exceed the 1% threshold presented for filing on Schedule 13G or implementation of their investment philosophy may from time to time require action which could be viewed as not completely passive. In order to avoid any question as to whether their beneficial ownership is being reported on the proper form and in order to provide greater investment flexibility and administrative uniformity, these persons have decided to file their beneficial ownership reports on the more detailed Schedule 13D form rather than on the short-form Schedule 13G and thereby to provide more expansive disclosure than may be necessary.

(a), (b) and (c) - This statement is being filed by one or more of the following persons: GGCP, Inc. ("GGCP"), GGCP Holdings LLC ("GGCP Holdings"), GAMCO Investors, Inc. ("GBL"), Gabelli Funds, LLC ("Gabelli Funds"), GAMCO Asset Management Inc. ("GAMCO"), Teton Advisors, Inc. ("Teton Advisors"), Gabelli Securities, Inc. ("GSI"), G.research, Inc. ("G.research"), MJG Associates, Inc. ("MJG Associates"), Gabelli Foundation, Inc. ("Foundation"), MJG-IV Limited Partnership ("MJG-IV"), and Mario Gabelli. Those of the foregoing persons signing this Schedule 13D are hereinafter referred to as the "Reporting Persons".

GGCP makes investments for its own account and is the manager and a member of GGCP Holdings which is the controlling shareholder of GBL. GBL, a public company listed on the New York Stock Exchange, is the parent company for a variety of companies engaged in the securities business, including those named below.

GAMCO, a wholly-owned subsidiary of GBL, is an investment adviser registered under the Investment Advisers Act of 1940, as amended ("Advisers Act"). GAMCO is an investment manager providing discretionary managed account services for employee benefit plans, private investors, endowments, foundations and others.

GSI, a majority-owned subsidiary of GBL, is an investment adviser registered under the Advisers Act and serves as a general partner or investment manager to limited partnerships and offshore investment companies and other accounts. As a part of its business, GSI may purchase or sell securities for its own account. GSI is a general partner or investment manager of a number of funds or partnerships, including Gabelli Associates Fund, L.P., Gabelli Associates Fund II, L.P., Gabelli Associates Limited, Gabelli Associates Limited II E, ALCE Partners, L.P., Gabelli Capital Structure Arbitrage Fund Limited, Gabelli Intermediate Credit Fund L.P., Gabelli Japanese Value Partners L.P., GAMA Select Energy + L.P., GAMCO Medical Opportunities L.P., GAMCO Long/Short Equity Fund, L.P., Gabelli Multimedia Partners, L.P, Gabelli International Gold Fund Limited and Gabelli Green Long/Short Fund, L.P.

G.research, a wholly-owned subsidiary of GSI, is a broker-dealer registered under the Securities Exchange Act of 1934, as amended ("1934 Act"), which as a part of its business regularly purchases and sells securities for its own account.

Gabelli Funds, a wholly owned subsidiary of GBL, is a limited liability company. Gabelli Funds is an investment adviser registered under the Advisers Act which provides advisory services for The Gabelli Equity Trust Inc., The Gabelli Asset Fund, The GAMCO Growth Fund, The Gabelli Convertible and Income Securities Fund Inc., The Gabelli Value 25 Fund Inc., The Gabelli Small Cap Growth Fund, The Gabelli Equity Income Fund, The Gabelli ABC Fund, The GAMCO Global Telecommunications Fund, The Gabelli Gold Fund, Inc., The Gabelli Multimedia Trust

Inc., The Gabelli Global Rising Income & Dividend Fund, The Gabelli Capital Asset Fund, The GAMCO International Growth Fund, Inc., The GAMCO Global Growth Fund, The Gabelli Utility Trust, The GAMCO Global Opportunity Fund, The Gabelli Utilities Fund, The Gabelli Dividend Growth Fund, The GAMCO Mathers Fund, The Gabelli Focus Five Fund, The Comstock Capital Value Fund, The Gabelli Dividend and Income Trust, The Gabelli Global Utility & Income Trust, The GAMCO Global Gold, Natural Resources, & Income Trust, The GAMCO Natural Resources Gold & Income Trust, The GDL Fund, Gabelli Enterprise Mergers & Acquisitions Fund, The Gabelli SRI Fund, Inc., The Gabelli Healthcare & Wellness Rx Trust, and The Gabelli Global Small and Mid Cap Value Trust (collectively, the "Funds"), which are registered investment companies. Gabelli Funds is also the investment adviser to The GAMCO International SICAV (sub-funds GAMCO Strategic Value and GAMCO Merger Arbitrage), a UCITS III vehicle.

Teton Advisors, an investment adviser registered under the Advisers Act, provides discretionary advisory services to The TETON Westwood Mighty Mites<sup>sm</sup> Fund, The TETON Westwood Income Fund, The TETON Westwood SmallCap Equity Fund, and The TETON Westwood Mid-Cap Equity Fund.

MJG Associates provides advisory services to private investment partnerships and offshore funds. Mario Gabelli is the sole shareholder, director and employee of MJG Associates. MJG Associates is the Investment Manager of Gabelli International Limited and Gabelli Fund, LDC. Mario J. Gabelli is the general partner of Gabelli Performance Partnership, LP.

The Foundation is a private foundation. Mario Gabelli is the Chairman, a Trustee and the Investment Manager of the Foundation. Elisa M. Wilson is the President of the Foundation.

Mario Gabelli is the controlling stockholder, Chief Executive Officer and a director of GGCP and Chairman and Chief Executive Officer of GBL. Mario Gabelli is also a member of GGCP Holdings. Mario Gabelli is the controlling shareholder of Teton.

MJG-IV is a family partnership in which Mario Gabelli is the general partner. Mario Gabelli has less than a 100% interest in MJG-IV. MJG-IV makes investments for its own account. Mario Gabelli disclaims ownership of the securities held by MJG-IV beyond his pecuniary interest.

The Reporting Persons do not admit that they constitute a group.

GAMCO and G.research are New York corporations and GBL, GSI, and Teton Advisors are Delaware corporations, each having its principal business office at One Corporate Center, Rye, New York 10580. GGCP is a Wyoming corporation having its principal business office at 140 Greenwich Avenue, Greenwich, CT 06830. GGCP Holdings is a Delaware limited liability corporation having its principal business office at 140 Greenwich Avenue, Greenwich, CT 06830. Gabelli Funds is a New York limited liability company having its principal business office at One Corporate Center, Rye, New York 10580. MJG Associates is a Connecticut corporation having its principal business office at 140 Greenwich Avenue, Greenwich, CT 06830. The Foundation is a Nevada corporation having its principal offices at 165 West Liberty Street, Reno, Nevada 89501.

For information required by instruction C to Schedule 13D with respect to the executive officers and directors of the foregoing entities and other related persons (collectively, "Covered Persons"), reference is made to Schedule I annexed hereto and incorporated herein by reference.

- (d) Not applicable.
- (e) Not applicable.

(f) – Reference is made to Schedule I hereto.

#### Item 3. Source and Amount of Funds or Other Consideration

Item 3 to Schedule 13D is amended, in pertinent part, as follows:

The Reporting Persons used an aggregate of approximately \$20,919,715 to purchase the additional Securities reported as beneficially owned in Item 5 since the most recent filing on Schedule 13D. GAMCO and Gabelli Funds used approximately \$8,959,265 and \$4,800,950, respectively, of funds that were provided through the accounts of certain of their investment advisory clients (and, in the case of some of such accounts at GAMCO, may be through borrowings from client margin accounts) in order to purchase the additional Securities for such clients. Teton Advisors used approximately \$7,105,105 of funds of investment advisory clients to purchase the additional Securities reported by it. GSI used approximately \$54,395 of client funds to purchase the additional Securities reported by it.

#### Item 5. <u>Interest In Securities Of The Issuer</u>

Item 5 to Schedule 13D is amended, in pertinent part, as follows:

(a) As a result of the Reporting Persons' subscription of the Issuer's Series A Convertible Preferred Stock, the aggregate number of Securities to which this Schedule 13D relates is 2,135,885 shares, representing 48.74% of the 4,381,567 shares outstanding. This latter number of shares is arrived at by adding the number of shares reported as being outstanding in the Issuer's most recently filed Form 10-Q for the quarterly period ended June 28, 2014 (3,574,765 shares) to the number of shares (806,802 shares) which would be receivable by the Reporting Persons if they were to convert all of the Issuer's Series A Convertible Preferred Stock held by them into common shares. The 4,381,567 shares outstanding used to calculate the Reporting Persons 48.74% ownership ASSUMES NO OTHER SHAREHOLDER CONVERTS THEIR PREFERRED STOCK TO COMMON. The Reporting Persons beneficially own those Securities as follows:

Name	Shares of Common Stock	% of Class of Common	Shares of Common Plus Convertible Preferred Stock Converted	% of Common Plus Convertible Preferred Stock Converted
Gabelli Funds	290,033	8.11%	470,327	10.73%
GAMCO	598,244	16.74%	955,895	21.82%
Teton Advisors GSI	437,506	12.24%	704,317	16.07%
	3,300	0.09%	5,346	0.12%

Mario Gabelli is deemed to have beneficial ownership of the Securities owned beneficially by each of the foregoing persons. GSI is deemed to have beneficial ownership of the Securities owned beneficially by G.research. GBL and GGCP are deemed to have beneficial ownership of the Securities owned beneficially by each of the foregoing persons other than Mario Gabelli and the Foundation.

- (b) Each of the Reporting Persons and Covered Persons has the sole power to vote or direct the vote and sole power to dispose or to direct the disposition of the Securities reported for it, either for its own benefit or for the benefit of its investment clients or its partners, as the case may be, except that (i) with respect to the 470,327 shares of Common Stock owned by the Gabelli Small Cap Growth Fund, the proxy voting committee of the Fund has taken and exercises in its sole discretion the entire voting power with respect to the shares held by such Fund, (ii) at any time, the Proxy Voting Committee of each such Fund may take and exercise in its sole discretion the entire voting power with respect to the shares held by such fund under special circumstances such as regulatory considerations, and (iii) the power of Mario Gabelli, GBL, and GGCP is indirect with respect to Securities beneficially owned directly by other Reporting Persons.
- (c) Information with respect to all transactions in the Securities which were effected during the past sixty days or since the most recent filing on Schedule 13D, whichever is less, by each of the Reporting Persons and Covered Persons is set forth on Schedule II annexed hereto and incorporated herein by reference.
- (e) Not applicable.

#### **Signature**

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

Dated: September 17, 2014

GGCP, INC. MARIO J. GABELLI

#### By:/s/ Douglas R. Jamieson

Douglas R. Jamieson Attorney-in-Fact

TETON ADVISORS, INC.

#### By:/s/ David Goldman

David Goldman

General Counsel - Teton Advisors, Inc.

GABELLI FUNDS, LLC

### By:/s/ Bruce N. Alpert

Bruce N. Alpert Chief Operating Officer – Gabelli Funds, LLC

GAMCO ASSET MANAGEMENT INC. GAMCO INVESTORS, INC. GABELLI SECURITIES, INC.

## By:/s/ Douglas R. Jamieson

Douglas R. Jamieson

President & Chief Operating Officer – GAMCO Investors, Inc.

President – GAMCO Asset Management Inc.

President – Gabelli Securities, Inc.

Schedule I
Information with Respect to Executive
Officers and Directors of the Undersigned
Schedule I to Schedule 13D is amended, in pertinent part, as follows:

The following sets forth as to each of the executive officers and directors of the undersigned: his name; his business address; his present principal occupation or employment and the name, principal business and address of any corporation or other organization in which such employment is conducted. Unless otherwise specified, the principal employer of each such individual is GAMCO Asset Management Inc., Gabelli Funds, LLC, Gabelli Securities, Inc., G.research, Inc., Teton Advisors, Inc., or GAMCO Investors, Inc., the business address of each of which is One Corporate Center, Rye, New York 10580, and each such individual identified below is a citizen of the United States. To the knowledge of the undersigned, during the last five years, no such person has been convicted in a criminal proceeding (excluding traffic violations or similar misdemeanors), and no such person was a party to a civil proceeding of a judicial or administrative body of competent jurisdiction as a result of which he was or is subject to a judgment, decree or final order enjoining future violations of, or prohibiting or mandating activities subject to, federal or state securities law or finding any violation with respect to such laws except as reported in Item 2(d) and (e) of this Schedule 13D.

GGCP, Inc. Directors:

Marc J. Gabelli

Chief Executive Officer of GGCP,

Inc., and Chairman & Chief Executive Officer of GAMCO

Mario J. Gabelli Investors, Inc.;

Director/Trustee of all registered investment companies advised by Gabelli Funds,

LLC.

Chairman of The LGL Group, Inc. 2525 Shader Road

Orlando, FL 32804

Vice President -

Trading

Matthew R. Gabelli G.research, Inc.
One Corporate

Center

Rye, NY 10580

Charles C. Baum Secretary &

Treasurer

**United Holdings** 

Co., Inc.

2545 Wilkens

Avenue

Baltimore, MD

21223

Chairman; Former Vice Chairman and Chief Financial

Fredric V. Salerno

Officer Verizon

Communications

Officers:

Chief Executive

Mario J. Gabelli Officer and Chief

Investment Officer

Marc J. Gabelli President

Vice President,

Silvio A. Berni Assistant

Secretary and Controller

**GGCP Holdings LLC** 

Members:

GGCP, Inc.

Manager and
Member

Mario J. Gabelli

Member

GAMCO Investors, Inc.

Directors:

Edwin L. Artzt Former Chairman

and Chief

**Executive Officer** 

Procter & Gamble

Raymond C. Avansino Company

900 Adams Crossing Cincinnati, OH

45202

Richard L. Bready

Chairman & Chief Executive

Officer

E.L. Wiegand Foundation 165 West Liberty

Street

Reno, NV 89501

Former Chairman

and Chief

Executive Officer Nortek, Inc. 50 Kennedy Plaza

Providence, RI

02903

See above

Mario J. Gabelli

Director c/o GAMCO Investors, Inc.

Elisa M. Wilson

One Corporate

Center

Rye, NY 10580

Former Chairman

and Chief

**Executive Officer** 

Eugene R. McGrath

Consolidated Edison, Inc. 4 Irving Place New York, NY

10003

President & Chief Executive

Officer

Robert S. Prather

Heartland Media,

LLC 1843 West Wesley Road Atlanta, GA 30327

Officers:

Chairman and Chief Executive

Officer

Douglas R. Jamieson President and

**Chief Operating** 

Henry G. Van der Eb

Officer

Bruce N. Alpert Senior Vice

President

Agnes Mullady

Senior Vice

Robert S. Zuccaro President

Kevin Handwerker Senior Vice

President

Executive Vice President and Chief Financial

Officer

Executive Vice President,

General Counsel and Secretary

GAMCO Asset Management Inc.

Directors:

Douglas R. Jamieson Regina M. Pitaro William S. Selby

Officers:

Chief Executive

Officer and Chief

Investment

Mario J. Gabelli
Officer – Value

Portfolios

President, Chief Operating Officer and Managing Director

Douglas R. Jamieson

David Goldman

Chief Financial

Robert S. Zuccaro

Officer

Office

General Counsel,

Secretary &

Chief

Compliance

Officer

Gabelli Funds, LLC

Mario J.  Bruce N	. Gabelli I. Alpert	Chief Investment Officer – Value Portfolios Executive Vice President and Chief Operating Officer		
	Agnes Mullady	President and Chief Operating Officer – Open End Fund Division		
	Robert S. Zuccaro	Chief Financial Officer		
	David Goldman	General Counsel		
Teton Advisors, Inc. Directors:				
Howard F. Ward		Chairman of the Board		
	Nicholas F. Galluccio	Chief Executive Officer and President		
	Vincent J. Amabile			
	John Tesoro			
Officers:				
Howard F. Ward		See above		
	Nicholas F. Galluccio	See above		
	Robert S. Zuccaro	Chief Financial Officer		
	David Goldman	General Counsel		
Tiffany Hayden		Secretary		
Gabelli Securities, Inc.				
Directors:				
Robert V	W. Blake	President of W.		

R. Blake & Sons,

Officers:

Inc.

196-20 Northern Boulevard Flushing, NY

11358

DeVivo Asset Management Company LLC P.O. Box 2048 Menlo Park, CA

94027

Marc J. Gabelli

Douglas G. DeVivo

Douglas R. Jamieson President

Daniel R. Lee

William C. Mattison, Jr. Salvatore F. Sodano

Officers:

Douglas R. Jamieson See above

Robert S. Zuccaro Chief Financial Officer

Diane M. LaPointe Controller

David M. Goldman General Counsel and Secretary

Joel Torrance Chief Compliance Officer

G.research, Inc.

Directors:

Senior Trader – G.research, Inc. Irene Smolicz

> Daniel M. Miller Chairman

Cornelius V. McGinity President

Officers:

See above Daniel M. Miller

Cornelius V. McGinity See above

Bruce N. Alpert Vice President

> Diane M. LaPointe Controller and Financial & Operations Principal

Douglas R. Jamieson Secretary

David M. Goldman Assistant Secretary

Josephine D. LaFauci Chief Compliance Officer

Gabelli Foundation, Inc.

Officers:

Mario J. Gabelli Chairman, Trustee & Chief Investment Officer

Elisa M. Wilson President

Marc J. Gabelli Trustee

Matthew R. Gabelli Trustee

Michael Gabelli Trustee

MJG-IV Limited Partnership

Officers:

Mario J. Gabelli General Partner

SCHEDULE II INFORMATION WITH RESPECT TO TRANSACTIONS EFFECTED DURING THE PAST SIXTY DAYS OR SINCE THE MOST RECENT FILING ON SCHEDULE 13D (1)

SHARES PURCHASED AVERAGE DATE SOLD(-) PRICE(2)

SERIES A CONVERTIBLE PREFERRED - SEVCON, INC.

GABELLI FUNDS, LLC.

GABELLI SMALL CAP GROWTH FUND 9/16/14 22,330 21.5000

GAMCO ASSET MANAGEMENT INC.

9/16/14 41,671 21.5000

TETON ADVISORS, INC.

9/16/14 33,047 21.5000

GABELLI SECURITIES, INC.

9/16/14 253 21.5000

- (1) UNLESS OTHERWISE INDICATED, ALL TRANSACTIONS WERE EFFECTED ON THE NASDAQ GLOBAL SECURITIES MARKET.
- (2) PRICE EXCLUDES COMMISSION.