Edgar Filing: Marsal Karel J. - Form 4

Form 4	J.										
November 20	· _								OMB A	PPROVAL	
FORM	UNITED		SECURITIES AND EXCHANGE C Washington, D.C. 20549						3235-0287		
Check this if no long subject to Section 10	er STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF									Expires: January 3 200 Estimated average burden hours per	
Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act Section 17(a) of the Public Utility Holding Company Act of 193 30(h) of the Investment Company Act of 1940							f 1935 or Sectio	response	•		
(Print or Type R	esponses)										
1. Name and Address of Reporting Person <u>*</u> Marsal Karel J.			2. Issuer Name and Ticker or Trading Symbol MITEK SYSTEMS INC [MITK]					5. Relationship of Reporting Person(s) to Issuer			
(Last)	(First)	(Middle)	3. Date of Earliest Transaction				(Check all applicable)				
600 B STREET, SUITE 100			(Month/Day/Year) 11/16/2018					Director 10% Owner X Officer (give title Other (specify below) Chief Operating Officer			
	4. If Amendment, Date Original Filed(Month/Day/Year)					6. Individual or Joint/Group Filing(Check Applicable Line) _X_ Form filed by One Reporting Person					
SAN DIEGO), CA 92101							Form filed by M Person	Nore than One Re	eporting	
(City)	(State)	(Zip)	Table	e I - Non-D	erivative	Secur	ities Acc	uired, Disposed of	f, or Beneficial	lly Owned	
1.Title of Security (Instr. 3)	any				4. Securi on(A) or D (D) (Instr. 3,	ispose	d of	Securities Beneficially Owned	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
				Code V		(A) or (D)	Price	Reported Transaction(s) (Instr. 3 and 4)			
Common Stock	11/16/2018				1,561 (1)	D	\$ 9.4	127,097	D		
Common Stock	11/19/2018			S	9,078 (2)	D	\$ 9.41	118,019	D		

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. onNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		ate	Secur	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr
			Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
Marsal Karel J. 600 B STREET, SUITE 100 SAN DIEGO, CA 92101			Chief Operating Officer					
Signatures								
/s/ Trevor Renfield, by Power of Attorney	of	11/20						
**Signature of Reporting Person		D	ate					

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents the disposition of shares that were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon the vesting of 4,360 restricted stock units.
- (2) Represents the disposition of shares that were automatically sold by Mitek Systems, Inc. to pay withholding taxes upon the vesting of 25,000 restricted stock units.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.