Edgar Filing: EMCORE CORP - Form 3

EMCORE CORP Form 3 March 04, 2003

FORM 3

## UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

## OMB APPROVAL

OMB Number: 3235-0104 Expires: January 31, 2005 Estimated average burden hours per response. . . 0.5

## INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

Filed By Romeo and Dye's Section 16 Filer www.section16.net

Gillen John		. Issuer Name <b>and</b> Ticker or Tradii E <b>MKR</b>	ng Symbol			
	Number of Reporting Person, if an entity (voluntary)	Relationship of Reporting Person o Issuer (Check all applicable)  Director 10% Own Officer Other	Date of Original ner (Month/Day/Year)			
(Street) Somerset, NJ 08873	() 	give title below) (specify be	7. Individual or Joint/Group Filing (Check Applicable Line) X Form filed by One Reporting Person Form filed by More than One Reporting Person			
(City) (State) (Zip)	Table I Non-Derivative Securities Beneficially Owned					
(Instr. 4)	2. Amount of Securities Beneficially Owned (Instr. 4)	*	4. Nature of Indirect Beneficial Ownership (Instr. 5)			
EMCORE COMMON STOCK	7,040	D				

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

## FORM 3 (continued) Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

	\ 0 / 1		<u></u>				
1. Title of Derivative	<ol><li>Date Exercisable</li></ol>		3. Title and Amount of		4. Conversion or	5. Ownership	6. Nature of Indirect
Security	and Expiration Date		Securities		Exercise Price of	Form	Beneficial Ownership
(Instr. 4)	(Month/Day/ Year)		Underlying Derivative		Derivative	of Derivative	(Instr. 5)
			Security		Security	Security:	
			(Instr. 4)			Direct (D)	
	Date	Expiration	Title	Amount or		or Indirect (I)	
	Exercisable	Date		Number of		(Instr. 5)	
				Shares			

Explanation of Responses:

<sup>\*</sup> If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Edgar Filing: EMCORE CORP - Form 3

By: /s/ John Gillen John Gillen March 4, 2003

Date

\*\*Signature of Reporting Person

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.

If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

POWER OF ATTORNEY

Know all by these presents, that the undersigned hereby constitutes and appoints each of Howard W

(1) execute for and on behalf of the undersigned, in the undersigned's capacity as an officer and

(2) do and perform any and all acts for and on behalf of the undersigned which may be necessary of

(3) take any other action of any type whatsoever in connection with the foregoing which, in the c

The undersigned hereby grants to each such attorney-in-fact full power and authority to do and pe

This Power of Attorney shall remain in full force and effect until the undersigned is no longer r

IN WITNESS WHEREOF, the undersigned has caused this Power of Attorney to be executed as of this of

Signature/s/ John Gillen

Print Name John Gillen