

EMCORE CORP
Form 4
March 16, 2006

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
STALL RICHARD A

(Last) (First) (Middle)

**C/O EMCORE
CORPORATION, 145 BELMONT
DRIVE**

(Street)

SOMERSET, NJ 08873

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
EMCORE CORP [EMKR]

3. Date of Earliest Transaction
(Month/Day/Year)
03/15/2006

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify
below) CTO

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership Indirect Beneficial Ownership (Instr. 4)
			Code	V	Amount (A) or (D)	Price	
Common Stock	03/15/2006		S		8,450	D \$ 9.47	85,282 D
Common Stock	03/15/2006		S		100	D \$ 9.48	85,182 D
Common Stock	03/15/2006		S		950	D \$ 9.49	84,232 D
Common Stock	03/15/2006		S		500	D \$ 9.5	83,732 D
Common Stock	03/15/2006		S		6,000 (1)	D \$ 8.8	77,732 D

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Common Stock	03/15/2006	M	6,250	A	\$ 2.63	83,982	D
Common Stock	03/15/2006	S	<u>6,250</u> (1)	D	\$ 8.8	77,732	D
Common Stock	03/15/2006	M	7,120	A	\$ 5.1	85,852	D
Common Stock	03/15/2006	S	<u>7,120</u> (1)	D	\$ 8.8	77,732	D
Common Stock	03/15/2006	M	1,000	A	\$ 5.1	78,732	D
Common Stock	03/15/2006	S	<u>1,000</u> (1)	D	\$ 8.88	77,732	D
Common Stock	03/15/2006	M	23,500	A	\$ 6.785	101,232	D
Common Stock	03/15/2006	S	23,500	D	\$ 9.35	77,732	D
Common Stock	03/15/2006	M	3,000	A	\$ 6.785	80,732	D
Common Stock	03/15/2006	S	3,000	D	\$ 9.36	77,732	D
Common Stock	03/15/2006	M	1,800	A	\$ 6.785	79,532	D
Common Stock	03/15/2006	S	1,800	D	\$ 9.37	77,732	D
Common Stock	03/15/2006	M	1,600	A	\$ 6.785	79,332	D
Common Stock	03/15/2006	S	1,600	D	\$ 9.38	77,732	D
Common Stock	03/15/2006	M	4,900	A	\$ 6.785	82,632	D
Common Stock	03/15/2006	S	4,900	D	\$ 9.39	77,732	D
Common Stock	03/15/2006	M	200	A	\$ 6.785	77,932	D
Common Stock	03/15/2006	S	200	D	\$ 9.3991	77,732	D
Common Stock	03/15/2006	M	3,500	A	\$ 6.785	81,232	D
Common Stock	03/15/2006	S	3,500	D	\$ 9.4	77,732	D
	03/15/2006	M	1,400	A	\$ 6.785	79,132	D

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Common Stock								
Common Stock	03/15/2006	S	1,400	D	\$ 9.41	77,732	D	
Common Stock	03/15/2006	M	100	A	\$ 6.785	77,832	D	
Common Stock	03/15/2006	S	100	D	\$ 9.42	77,732	D	
Common Stock						548	I	By 401(k) Plan

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)			
				Code	V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (right to buy)	\$ 5.1	03/15/2006		M		8,120		<u>(2)</u>	11/22/2006	Common Stock	8,120
Employee Stock Option (right to buy)	\$ 2.63	03/15/2006		M		6,250		<u>(4)</u>	05/18/2014	Common Stock	6,250
Employee Stock Option (right to buy)	\$ 6.785	03/15/2006		M		40,000		<u>(5)</u>	02/28/2008	Common Stock	40,000

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
STALL RICHARD A C/O EMCORE CORPORATION 145 BELMONT DRIVE SOMERSET, NJ 08873	X		CTO	

Signatures

/s/ Richard A.
Stall 03/16/2006

**Signature of
Reporting Person Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Sale Made Pursuant to 10b5-1 Plan.
- (2) The option vested in five equal annual installments beginning on November 22, 1997.
- (3) Stock Option grant
- (4) This option vests in four equal installments beginning on May 18, 2005.
- (5) This option vested in four equal installments beginning on February 27, 1999

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.
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