EMCORE CORP Form SD May 22, 2018

As filed with the Securities and Exchange Commission on May 22, 2018

SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

FORM SD (SPECIALIZED DISCLOSURE REPORT)

EMCORE CORPORATION Exact Name of Registrant as Specified in its Charter

New Jersey000-2217522-2746503State of Incorporation Commission File NumberIRS Employer Identification Number

2015 W. Chestnut Street, Alhambra, CA 91803 Address of principal executive offices, including zip code

EMCORE Corporation 2015 W. Chestnut Street Alhambra, CA 91803 (626) 293-3400 (Name and telephone number, including area code, of the person to contact in connection with this report)

The rule pursuant to which this form is being filed, and the period to which the information in this form applies:

b Rule 13p-1 under the Securities Exchange Act (17 CFR 240.13p-1) for the reporting period from January 1 to December 31, 2017.

INFORMATION TO BE INCLUDED IN THIS REPORT

SECTION 1 - CONFLICT MINERALS DISCLOSURE

Item 1.01 Conflict Minerals Disclosure and Report

In accordance with the disclosure requirements promulgated by the U.S. Securities and Exchange Commission, EMCORE Corporation has prepared a Conflict Minerals Report for the calendar year ended December 31, 2017. The Conflict Minerals Report is publicly available at the following Internet website: http://www.emcore.com/company/supply-chain-management/.

Item 1.02 Exhibit

The Conflict Minerals Report required by Item 1.01 is provided as an Exhibit to this Form SD.

SECTION 2 - EXHIBIT

Exhibit 1.01 - Conflict Minerals Report, as required by Items 1.01 and 1.02 of this Form SD.

SIGNATURE

Pursuant to the requirements of the Securities Exchange Act of 1934, the Registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

EMCORE CORPORATION

Dated: May 22, 2018 By: /s/ Jikun Kim

Name: Jikun Kim Title: Chief Financial Officer