

GOLD JAMES J  
Form 4  
November 02, 2004

**FORM 4** UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287  
Expires: January 31, 2005  
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person \*  
GOLD JAMES J

2. Issuer Name and Ticker or Trading Symbol  
NEIMAN MARCUS GROUP INC  
[NMGA]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

3. Date of Earliest Transaction  
(Month/Day/Year)

\_\_\_\_ Director \_\_\_\_\_ 10% Owner  
 Officer (give title below) \_\_\_\_\_ Other (specify below)

CEO, Bergdorf Goodman

THE NEIMAN MARCUS GROUP, INC., 1618 MAIN STREET

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting Person

\_\_\_\_ Form filed by More than One Reporting Person

DALLAS, TX 75201

(City) (State) (Zip)

**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock, Class A <sup>(1)</sup>	10/29/2004	10/29/2004	A		8,655	A	\$ 0
Common Stock, Class A <sup>(2)</sup>	10/29/2004	10/29/2004	A		8,655	A	\$ 60.83
Common Stock, Class A <sup>(3)</sup>	10/29/2004	10/29/2004	A		4,328	A	\$ 0
Common					5,300		

Stock, Class  
A  
(Restricted)

Common  
Stock, Class  
A (PRS)

6,500 D

Common  
Stock, Class  
A

658 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474  
(9-02)

**Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned**  
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
						Date Exercisable	Expiration Date	Title	Amount or Number of Shares
						Code	V	(A)	(D)

## Reporting Owners

### Reporting Owner Name / Address

### Relationships

Director    10% Owner    Officer    Other

GOLD JAMES J  
THE NEIMAN MARCUS GROUP, INC.  
1618 MAIN STREET  
DALLAS, TX 75201

CEO, Bergdorf Goodman

## Signatures

James J. Gold

11/02/2004

\_\_Signature of  
Reporting Person

Date

## Explanation of Responses:

\* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The Class A Common Stock reported herein as acquired represents shares issuable in the future in respect of matching restricted stock units ("MRSUs") acquired in a transaction exempt from Section 16(b) under Rule 16b-3 pursuant to The Neiman Marcus Group, Inc. 1997 Incentive Plan (the "Plan"), which includes tax withholding rights.

(2) On the same date the MRSUs were awarded, the reporting person agreed to purchase from the Company pursuant to the Plan, in a transaction exempt from Section 16(b) under Rule 16b-3, a number of shares of Class A Common Stock of the Company equal to the number of MRSUs noted above (the "Matched Common Stock"). The Company will hold the certificate representing the Matched Common Stock. If the reporting person requests and receives delivery of certificates representing any or all of the Matched Common Stock prior to the vesting of the award of the MRSUs, the reporting person will immediately forfeit a proportionate number of MRSUs.

(3) The Class A Common Stock reported herein as acquired represents shares issuable in the future in respect of restricted stock units acquired in a transaction exempt from Section 16(b) under Rule 16b-3 pursuant to the Plan, which includes tax withholding rights.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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