Edgar Filing: SEVCON, INC. - Form 4

SEVCON, INC	2.									
Form 4										
December 17,	2014									
FORM	Δ							PPROVAL		
	UNITED S	STATES			ND EXCHANGI D.C. 20549	E COMMISSION	OMB Number:	3235-0287		
Check this l	o ,				Expires:	January 31,				
if no longer subject to Section 16. Form 4 or	ENT O	F CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES				Estimated average burden hours per response 0.				
Form 5 obligations may continu <i>See</i> Instruct 1(b).	ue. Section 17(a	a) of the	Public Ut	ility Hold		ange Act of 1934, t of 1935 or Sectio 1940	n			
(Print or Type Res	sponses)									
1. Name and Address of Reporting Person <u>*</u> FARQUHAR PAUL NEIL			8			5. Relationship o Issuer	5. Relationship of Reporting Person(s) to Issuer			
		6.1.11 \	3. Date of Earliest Transaction			(Chee	(Check all applicable)			
(Last)	(First) (N	liddle)			ansaction	Director	100	/ Owner		
62 BRAMHILL DRIVE, HIGH GENERAL WOOD			(Month/Day/Year) 12/17/2014			Officer (giv below)		below)		
	(Street)			ndment, Dat th/Day/Year)	-	6. Individual or J Applicable Line) _X_ Form filed by		-		
WASHINGTO WEAR, X0 N						Form filed by I Person	More than One R	eporting		
(City)	(State)	(Zip)	Table	e I - Non-D	erivative Securities	Acquired, Disposed o	f. or Beneficia	llv Owned		
	2. Transaction Date (Month/Day/Year)	Execution any		3.	4. Securities onAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)		

Common 12/17/2014 Stock

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Α

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

D

Transaction(s)

(Instr. 3 and 4)

53,400

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

(A)

or

А

\$0

(1)

Code V Amount (D) Price

8,000

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,	3	ate	7. Titl Amou Under Secur (Instr.	int of rlying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owna Follo Repo Trans (Instr
				Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

Reporting Owners

Reporting Owner Name / Address		Relationships					
	Director	10% Owner	Officer	Other			
FARQUHAR PAUL NEIL 62 BRAMHILL DRIVE HIGH GENERAL WOOD WASHINGTON, TYNE & WEAR, X	K0 NE38 9DB			CFO & Treasurer			
Signatures							
Raymond J Thibault attorney-in-fact	12/17/2014						
*Signature of Reporting Person	Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Grant of restricted stock for no consideration under the issuer's 1996 Equity Incentive Plan

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.