### ADVANCED PHOTONIX INC

Form 4 April 06, 2015

## FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** Number:

3235-0287

0.5

Check this box if no longer

subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

January 31, Expires: 2005 Estimated average

**OMB APPROVAL** 

burden hours per response...

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

may continue. See Instruction

30(h) of the Investment Company Act of 1940

1(b).

Form 5

obligations

(Print or Type Responses)

1. Name and Address of Reporting Person \*

Anderson Jeffrey J

(Last)

2. Issuer Name and Ticker or Trading

Symbol

ADVANCED PHOTONIX INC

[API]

5. Relationship of Reporting Person(s) to

Issuer

(First)

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 04/03/2015

Director 10% Owner Other (specify

(Check all applicable)

X\_ Officer (give title below)

below) Chief Financial Officer

2925 BOARDWALK

4. If Amendment, Date Original

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

D

Person

ANN ARBOR, MI 48104

(City) (State) (Zip)

(Street)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of Security (Instr. 3)

2. Transaction Date 2A. Deemed (Month/Day/Year) Execution Date, if

(Month/Day/Year)

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

or (Instr. 3 and 4) Code V Amount (D) Price

(A)

API Class A Common

Stock

143,993

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

## Edgar Filing: ADVANCED PHOTONIX INC - Form 4

8. I Der Sec (In:

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Employee Stock Option (Right to Buy) (1)	\$ 0.95					08/29/2012	07/03/2015	API Class A Common Stock	40,000
Employee Stock Option (Right to Buy) (1)	\$ 0.95					08/29/2013	07/03/2015	API Class A Common Stock	40,000
Employee Stock Option (Right to Buy) (1)	\$ 0.95					08/29/2014	07/03/2015	API Class A Common Stock	40,000
Employee Stock Option (Right to Buy) (1)	\$ 0.63					12/12/2012	07/03/2015	API Class A Common Stock	8,333
Employee Stock Option (Right to Buy) (1)	\$ 0.63					06/12/2013	07/03/2015	API Class A Common Stock	8,333
Employee Stock Option (Right to Buy) (1)	\$ 0.63					06/12/2014	07/03/2015	API Class A Common Stock	8,334

# **Reporting Owners**

Reporting Owner Name / Address Relationships

Reporting Owners 2

### Edgar Filing: ADVANCED PHOTONIX INC - Form 4

Director 10% Owner Officer Other

Anderson Jeffrey J 2925 BOARDWALK ANN ARBOR, MI 48104

Chief Financial Officer

# **Signatures**

Jeffrey Anderson 04/06/2015

\*\*Signature of Date
Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Stock Option granted under Advanced Photonix, Inc's 2007 Equity Incentive Plan.

### **Remarks:**

Effective April 3, 2015, Jeffrey Anderson resigned as Chief Financial Officer of the Issuer. This Form 4 serves as his exit filing Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Signatures 3