

CTI BIOPHARMA CORP
Form 4
November 20, 2015

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0287
Expires: January 31,
2005
Estimated average
burden hours per
response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person *
BIANCO LOUIS A

(Last) (First) (Middle)

3101 WESTERN AVE, SUITE 600

(Street)

SEATTLE, WA 98121

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol
CTI BIOPHARMA CORP [ctic]

3. Date of Earliest Transaction
(Month/Day/Year)
11/19/2015

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

____ Director ____ 10% Owner
☒ Officer (give title below) ____ Other (specify below)

EVP, Finance & Administration

6. Individual or Joint/Group Filing(Check
Applicable Line)
☒ Form filed by One Reporting Person
____ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Ownership (Instr. 4)
			Code	V	Amount	(A) or (D)	Price
Common Stock	11/19/2015		S ⁽¹⁾		800	D	\$ 1.1 920,547
Common Stock	11/19/2015		S ⁽¹⁾		1,400	D	\$ 1.105 919,147
Common Stock	11/19/2015		S ⁽¹⁾		3,800	D	\$ 1.11 915,347
Common Stock	11/19/2015		S ⁽¹⁾		200	D	\$ 1.115 915,147
Common Stock	11/19/2015		S ⁽¹⁾		1,000	D	\$ 1.12 914,147

Edgar Filing: CTI BIOPHARMA CORP - Form 4

Common Stock	11/19/2015	S ⁽¹⁾	1,000	D	\$ 1.125	913,147	D	
Common Stock	11/19/2015	S ⁽¹⁾	1,200	D	\$ 1.13	911,947	D	
Common Stock	11/19/2015	S ⁽¹⁾	100	D	\$ 1.135	911,847	D	
Common Stock	11/19/2015	S ⁽¹⁾	806	D	\$ 1.14	911,041	D	
Common Stock	11/19/2015	S ⁽¹⁾	2,994	D	\$ 1.145	908,047	D	
Common Stock	11/19/2015	S ⁽¹⁾	500	D	\$ 1.15	907,547	D	
Common Stock	11/19/2015	S ⁽¹⁾	1,100	D	\$ 1.155	906,447	D	
Common Stock	11/19/2015	S ⁽¹⁾	100	D	\$ 1.16	906,347	D	
Common Stock						37	I	Trust for children

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

SEC 1474
(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Number of Derivative Securities Beneficially Owned Following Reported Transaction (Instr. 6)
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
BIANCO LOUIS A 3101 WESTERN AVE SUITE 600 SEATTLE, WA 98121			EVP, Finance & Administration	

Signatures

Louis A. Bianco 11/20/2015

Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

(1) The sale was effected pursuant to a Rule 10b5-1 trading plan.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.