### GROSSMAN ROBERT A

Form 4 November 05, 2004

November (	J3, 200 <del>4</del>											
FORM 4  UNITED STATES SECURITII  Washing  Check this box if no longer subject to  STATEMENT OF CHANGES				shington NGES IN SECUE	FIES AND EXCHANGE COMMISSION ington, D.C. 20549  ES IN BENEFICIAL OWNERSHIP OF SECURITIES					PPROVAL 3235-028 January 3 200 Everage rs per 0.		
obligati may con <i>See</i> Inst 1(b).	ons section 17(	a) of the l	Public U	tility Hol	ding Co	mpar		1935 or Section	i i			
Print or Type	Responses)											
1. Name and Address of Reporting Person * GROSSMAN ROBERT A			2. Issuer Name and Ticker or Trading Symbol GENESEE & WYOMING INC [GWR]					5. Relationship of Reporting Person(s) to Issuer  (Check all applicable)				
				UNIOHHI/Day/Teall					Director 10% Owner _X Officer (give title Other (specify below)  Exec VP-Govt/Industry Affairs			
GREENW	(Street) ICH, CT 06830			endment, Day/Yea	_	al	- -	5. Individual or Joi Applicable Line) _X_ Form filed by O Form filed by M Person	ne Reporting Pe	rson		
(City)	(State)	(Zip)	Tab	le I - Non-I	Derivative	e Secu	rities Acqui	ired, Disposed of,	or Beneficial	ly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deem Execution any (Month/D	Date, if	3. Transaction Code (Instr. 8)	omr Dispo (Instr. 3,	sed of 4 and (A) or		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature o Indirect Beneficial Ownership (Instr. 4)		
Class A Common Stock, \$.01 par value	11/04/2004			M		A	\$ 14.2333	6,750	D			
Class A Common Stock, \$.01 par value	11/04/2004			S	2,850	D	\$ 27.4	3,900	D			

S

11/04/2004

2,400 D \$ 26.95 1,500

D

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Class A Common Stock, \$.01 par value

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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# Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Sec Ac (A) Dis (D) (In	Number Derivative curities quired or sposed of str. 3, 4,	6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Stock Option (Right to Buy)	\$ 14.2333	11/04/2004		M		5,250	<u>(1)</u>	05/22/2007	Class A Common Stock, \$.01 par value	21,000
Stock Option (Right to Buy)	\$ 14.94						(2)	07/30/2008	Class A Common Stock, \$.01 par value	7,501 (2)
Stock Option (Right to Buy)	\$ 23.45						(3)	05/11/2009	Class A Common Stock, \$.01 par value	5,000 (3)

## **Reporting Owners**

Reporting Owner Name / Address		Relationships							
	Director	10% Owner	Officer	Other					

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GROSSMAN ROBERT A C/O GENESEE & WYOMING INC. 66 FIELD POINT ROAD GREENWICH, CT 06830 Exec VP-Govt/Industry Affairs

### **Signatures**

Robert A. Grossman 11/05/2004

\*\*Signature of Reporting Person

**Explanation of Responses:** 

\* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Date

- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) This option was previously reported by Mr. Grossman. Mr. Grossman can exercise the option as follows: 5,250 shares on 5/23/03, 5,250 shares on 5/23/04, 5,250 shares on 5/23/05 and 5,250 shares on 5/23/06.
- (2) This option was previously reported by Mr. Grossman. Mr. Grossman can exercise the option as follows: 1,876 shares on 7/31/04, 1,874 shares on 7/31/05, 1,876 shares on 7/31/06 and 1,875 shares on 7/31/07.
- (3) This option was previously reported by Mr. Grossman. Mr. Grossman can exercise the option as follows: 1,667 shares on 5/12/05, 1,666 shares on 5/12/06 and 1,667 shares on 5/12/07.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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