Vandagriff Randy Form 4 December 18, 2018

#### FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Form 5 obligations may continue. See Instruction See Instruction Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

1. Name and Address of Reporting Person \*\* Vandagriff Randy

2. Issuer Name **and** Ticker or Trading Symbol

EASTMAN KODAK CO [KODK]

5. Relationship of Reporting Person(s) to

Issuer

(Middle)

3. Date of Earliest Transaction

(Month/Day/Year) 12/15/2018

(Check all applicable)

\_\_\_\_ Director \_\_\_\_ 10% Owner \_\_X\_ Officer (give title \_\_\_\_ Other (specify below)

Vice President

C/O EASTMAN KODAK COMPANY, 343 STATE STREET

(Street)

(First)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_Form filed by One Reporting Person \_\_\_ Form filed by More than One Reporting

Person

ROCHESTER, NY 14650

(City)	(State)	(Zip) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned							
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)		3. Transactio Code (Instr. 8)	4. Securities Acquired on(A) or Disposed of (D) (Instr. 3, 4 and 5)		5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code V	Amount	(D)	Price	(Ilisti. 3 and 4)		
Common Stock, par value \$.01	12/15/2018		M	1,058	A	\$ 0 (1)	4,044	D	
Common Stock, par value \$.01	12/15/2018		F	319 (2)	D	\$ 2.84	3,725	D	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474

(9-02)

#### Edgar Filing: Vandagriff Randy - Form 4

## $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transacti Code (Instr. 8)	5. Number tion Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares
Restricted Stock Units	\$ 0 (1)	12/15/2018		M		1,058	<u>(1)</u>	12/15/2018	Common Stock, par value \$.01	1,058
Restricted Stock Units	\$ 0 (3)						(3)	09/03/2021	Common Stock, par value \$.01	19,231
Stock Option (Right to Buy)	\$ 20.25						<u>(4)</u>	12/14/2021	Common Stock, par value \$.01	11,597
Stock Option (Right to Buy)	\$ 12.63						<u>(5)</u>	12/14/2022	Common Stock, par value \$.01	7,921
Stock Option (Right to Buy)	\$ 12.5						<u>(6)</u>	09/13/2024	Common Stock, par value \$.01	152,285
Stock Option (Right to Buy)	\$ 3.9						<u>(7)</u>	12/03/2025	Common Stock, par value \$.01	30,488

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Vandagriff Randy						
C/O EASTMAN KODAK COMPANY			Vice President			
343 STATE STREET			vice President			
ROCHESTER, NY 14650						

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### **Signatures**

/s/ Kim Zampatori, Attorney-in-fact for Randy Vandagriff

12/18/2018

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) These restricted stock units convert into common stock on a one-for-one basis.
- (2) Shares withheld to cover withholding obligations upon vesting of restricted stock units.
- These restricted stock units, which convert into common stock on a one-for-one basis, vest one-third on 9/3/2019 and one-third on each of the first two anniversaries of such date.
- (4) This option vests one-third on each of the first three anniversaries of the 12/15/2014 grant date.
- 5) This option vests one-third on each of the first three anniversaries of the 12/15/2015 grant date.
- (6) This option vests one-third on each of the first three anniversaries of the 9/14/2017 grant date.
- (7) This option vests one-third on 9/3/2019 and one-third on each of the first two anniversaries of such date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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