

Clovis Oncology, Inc.
Form 3
August 13, 2015

FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0104
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *

Â Rolfe Lindsey

(Last)

(First)

(Middle)

2. Date of Event Requiring Statement

(Month/Day/Year)

08/03/2015

3. Issuer Name and Ticker or Trading Symbol
Clovis Oncology, Inc. [CLVS]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original Filed(Month/Day/Year)

(Check all applicable)

___ Director ___ 10% Owner

☒ Officer ___ Other
(give title below) (specify below)

See remarks

6. Individual or Joint/Group Filing(Check Applicable Line)
☒ Form filed by One Reporting Person
___ Form filed by More than One Reporting Person

C/O CLOVIS ONCOLOGY,
INC.,Â 2525 28TH STREET,
SUITE 100

(Street)

BOULDER,Â COÂ 80301

(City)

(State)

(Zip)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security
(Instr. 4)

2. Amount of Securities Beneficially Owned
(Instr. 4)

3. Ownership Form:
Direct (D)
or Indirect (I)
(Instr. 5)

4. Nature of Indirect Beneficial Ownership
(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security
(Instr. 4)

2. Date Exercisable and Expiration Date
(Month/Day/Year)

Date
Exercisable

Expiration
Date

3. Title and Amount of Securities Underlying Derivative Security
(Instr. 4)

Title

Amount or
Number of

4. Conversion or Exercise Price of Derivative Security

5. Ownership Form of Derivative Security:
Direct (D)

6. Nature of Indirect Beneficial Ownership
(Instr. 5)

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				Shares		or Indirect (I) (Instr. 5)	
Employee stock option (right to buy)	Â <u>(1)</u>	04/07/2020	Common Stock	31,034	\$ 3.08	D	Â
Employee stock option (right to buy)	Â <u>(2)</u>	03/01/2021	Common Stock	17,241	\$ 3.28	D	Â
Employee stock option (right to buy)	Â <u>(3)</u>	03/01/2022	Common Stock	30,000	\$ 24.74	D	Â
Employee stock option (right to buy)	Â <u>(4)</u>	03/01/2023	Common Stock	40,000	\$ 21.81	D	Â
Employee stock option (right to buy)	Â <u>(5)</u>	01/10/2024	Common Stock	17,500	\$ 73.98	D	Â
Employee stock option (right to buy)	Â <u>(6)</u>	02/27/2024	Common Stock	35,000	\$ 78.38	D	Â
Employee stock option (right to buy)	Â <u>(7)</u>	03/02/2025	Common Stock	12,500	\$ 79.05	D	Â
Employee stock option (right to buy)	Â <u>(8)</u>	08/03/2025	Common Stock	35,000	\$ 85.65	D	Â

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Rolfe Lindsey C/O CLOVIS ONCOLOGY, INC., 2525 28TH STREET, SUITE 100 BOULDER,Â COÂ 80301	Â	Â	Â See remarks	Â

Signatures

/s/ Lindsey Rolfe 08/13/2015

__Signature of
Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The option vested as to 25% of the shares on April 1, 2011 and the remainder vested in substantially equal installments over the 36 months immediately following such date.
- (2) The option vested as to 25% of the shares on March 1, 2012 and the remainder vested in substantially equal installments over the 36 months immediately following such date.
- (3) The option is immediately exercisable as to both vested and unvested shares. The option vested as to 25% of the shares on March 1, 2013 and the remainder vest in substantially equal installments over the 36 months immediately following such date. To the extent the reporting person exercises the option as to unvested shares, the reporting person will receive restricted shares that will vest in accordance with the vesting schedule for the option.

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- (4) The option vested as to 25% of the shares on March 1, 2014 and the remainder vest in substantially equal installments over the 36 months immediately following such date.
- (5) The option vested as to 25% of the shares on January 10, 2015 and the remainder vest in substantially equal installments over the 36 months immediately following such date.
- (6) The option vested as to 25% of the shares on February 27, 2015 and the remainder shall vest in substantially equal installments over the 36 months immediately following such date.
- (7) The option shall vest as to 25% of the shares on March 2, 2016, and the remainder shall vest in substantially equal installments over the 36 months immediately following such date.
- (8) The option shall vest as to 25% of the shares on August 3, 2016, and the remainder shall vest in substantially equal installments over the 36 months immediately following such date.

^

Remarks:

Chief^ Medical^ Officer^ and^ Executive^ Vice^ President^ of^ Clinical^ and^ Preclinical^ Development^ and^ Phar

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure.

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