Edgar Filing: Civitas Solutions, Inc. - Form 4

Form 4 October 09, 2015 OMB APPROVAL FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Form 4 or Form 4 or obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section Section 17(a) of the Investment Company Act of 1934. (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Civitas Solutions, Inc. [CIVI] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director X_X_Officer (give tilte Delow) 10% Owner X_X_Officer (give tilte Dother (specify below) NC., 313 CONGRESS STREET U/O7/2015 Redwood Op, Group President
FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB 3235-028 Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b). STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31 (200 Stimated average burden hours per response Form 4 or Form 5 obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Simated average burden hours per response Simated average burden hours per response (Print or Type Responses) Sister Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1(b). Sister Section 1940 Sister Section 1940 (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Civitas Solutions, Inc. [CIVI] Sister Section 16(c) (Check all applicable) Sister Section 16(c) (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
Check this box if no longer subject to Section 16. Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES OMB Number: January 31 200 Form 4 or Form 5 STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES Expires: January 31 200 Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Filed pursuant to Section 16(a) of the Securities Exchange Act of 1935 or Section 30(h) of the Investment Company Act of 1935 or Section 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Civitas Solutions, Inc. [CIVI] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director XOfficer (give tile — 10% Owner C/O CIVITAS SOLUTIONS, INC _ 313 CONIGDESS STREFET 10/07/2015 — Director XOfficer (give tile — 10% Owner
Washington, D.C. 20549 Number: 3235-028 Check this box if no longer subject to Section 16. STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF Section 16. Fundation (1) Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 1(b). Section 17(a) of the Public Utility Holding Company Act of 1940 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol Civitas Solutions, Inc. [CIVI] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
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Subject to Estimated average burden hours per response Section 16. SECURITIES Form 4 or response Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section may continue. See Instruction 30(h) of the Investment Company Act of 1940 ((b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) (Check all applicable) C/O CIVITAS SOLUTIONS, INC 313 CONGREESS STREET 10/07/2015 — Director —X_Officer (give title below) 10% Owner mode other (specify below)
Form 4 or response 0. Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, 0. obligations Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 1935 or Section and continue. Section 17(a) of the Investment Company Act of 1940 100 1(b). (Print or Type Responses) 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to In Name and Address of Reporting Person* 2. Issuer Name and Ticker or Trading 5. Relationship of Reporting Person(s) to PETERSEN DAVID M. Symbol Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) Director Image: Director 10% Owner C/O CIVITAS SOLUTIONS, 10/07/2015 Director Image: Director 10% Other (specify below)
obligations may continue. See Instruction 1(b). Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person * PETERSEN DAVID M. 2. Issuer Name and Ticker or Trading Symbol Civitas Solutions, Inc. [CIVI] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 5. Relationship of Reporting Person(s) to Issuer C/O CIVITAS SOLUTIONS, INC _ 313 CONGRESS STREET 10/07/2015 Director Officer (give title below) 10% Owner Other (specify below)
may continue. Section 17(a) of the Public Outily Holding Company Act of 1935 of Section 30(h) of the Investment Company Act of 1940 (Print or Type Responses) 30(h) of the Investment Company Act of 1940 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol PETERSEN DAVID M. 2. Issuer Name and Ticker or Trading Symbol (Last) (First) (Hiddle) 3. Date of Earliest Transaction (Month/Day/Year) Director 10/07/2015
1(b). (Print or Type Responses) 1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading PETERSEN DAVID M. Symbol (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year) 10/07/2015 Director
(Print or Type Responses) 1. Name and Address of Reporting Person * 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer PETERSEN DAVID M. 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) C/O CIVITAS SOLUTIONS, INC. 313 CONGRESS STREET 10/07/2015 Director 10% Owner
1. Name and Address of Reporting Person [*] 2. Issuer Name and Ticker or Trading Symbol 5. Relationship of Reporting Person(s) to Issuer PETERSEN DAVID M. Symbol Civitas Solutions, Inc. [CIVI] 5. Relationship of Reporting Person(s) to Issuer (Last) (First) (Middle) 3. Date of Earliest Transaction (Check all applicable) C/O CIVITAS SOLUTIONS, 10/07/2015 Director 10% Owner NC 313 CONGRESS STREET 10/07/2015 Director 10% Other (specify below)
PETERSEN DAVID M. Symbol Issuer Symbol Civitas Solutions, Inc. [CIVI] (Check all applicable) (Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)
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(Last) (First) (Middle) 3. Date of Earliest Transaction (Month/Day/Year)Director10% Owner C/O CIVITAS SOLUTIONS, 10/07/2015Officer (give titleOther (specify below) below)
C/O CIVITAS SOLUTIONS, (Month/Day/Year) 10% Owner INC_313 CONGRESS STREET 10/07/2015 Officer (give title Other (specify below)
C/O CIVITAS SOLUTIONS, 10/07/2015 INC 313 CONGRESS STREET Delaw Delaw Dela
INC 313 CONCRESS STREET below) below)
(Street)4. If Amendment, Date Original6. Individual or Joint/Group Filing(CheckFiled(Month/Day/Year)Applicable Line)
X Form filed by One Reporting Person
BOSTON, MA 02210 — Form filed by More than One Reporting Person
(City) (State) (Zip) Table I. Non Derivative Securities Acquired Disposed of an Repeticially Owned
Table 1 - Non-Derivative Securities Acquired, Disposed of, or Denenciarly Owned
1.Title of Security2. Transaction Date (Month/Day/Year)2A. Deemed3.4. Securities Acquired5. Amount of (Mor Disposed of (D)6. Ownership Form: Direct7. Nature of Indirect
(Instr. 3) any Code (Instr. 3, 4 and 5) Beneficially (D) or Beneficial
(Month/Day/Year) (Instr. 8) Following (Instr. 4) (Instr. 4)
Reported
(A) Transaction(s) or (L to 2 - 14)
Code V Amount (D) Price (Instr. 3 and 4)
Common 10/07/2015 5 79,434 5 21.5 250 202
Stock 10/07/2015 S (1) D 21.5 250,203 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactio Code (Instr. 8)	Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	Amo Unde Secur	le and unt of rlying rities : 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Own Follo Repo Trans (Instr
Repo	rting O	wners		Code V	4, and 5) (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares		

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Reporting Owner Name / Address	Relationships							
	Director	10% Owner	Officer	Other				
PETERSEN DAVID M. C/O CIVITAS SOLUTIONS, INC. 313 CONGRESS STREET BOSTON, MA 02210			Redwood Op. Group President					
Signatures								
/s/ Gina L. Martin, by power of attorney		10/09/2015						
**Signature of Reporting Person		Date						

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Represents shares of common stock sold in an underwritten offering. The sale price received by the reporting person will be reduced by the underwriters' commission of \$1.12875 per share.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.