Advaxis, Inc. Form 4 May 04, 2015

## FORM 4

#### **OMB APPROVAL**

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB** 3235-0287 Number:

if no longer subject to Section 16. Form 4 or

Check this box

January 31, Expires: 2005

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Estimated average burden hours per response... 0.5

Form 5 obligations may continue. See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * ADAGE CAPITAL PARTNERS GP LLC			2. Issuer Name and Ticker or Trading Symbol Advaxis, Inc. [ADXS]				Ţ	5. Relationship of Reporting Person(s) to Issuer			
	(First) (I	Middle)	3. Date of Earliest Transaction (Month/Day/Year) 04/30/2015				(Check all applicable)  Director X 10% Owner Officer (give title Other (specify below)				
FLOOR,	(Street)			ndment, Da hth/Day/Year	Ü			6. Individual or Jo Applicable Line) Form filed by 0	oint/Group Filin	rson	
BOSTON, MA 02116							_X_ Form filed by More than One Reporting Person				
(City)	(State)	(Zip)	Table	e I - Non-I	erivative	Securiti	ies Acq	uired, Disposed o	f, or Beneficial	ly Owned	
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	EXECUTION EXECUTION ANY (Month/D	Date, if	3. Transactic Code (Instr. 8)	4. Securion(A) or Di (Instr. 3,	sposed (4 and 5)  (A) or	of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)		
Common Stock, par value \$0.001	04/30/2015			P	500,000	) A	\$ 19	5,639,366	I	See footnote (1)	

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

### Edgar Filing: Advaxis, Inc. - Form 4

	2.	3. Transaction Date		4.	5.	6. Date Exer		7. Tit		8. Price of	9. Nu
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transacti	orNumber	Expiration D		Amou		Derivative	Deriv
Security	or Exercise		any	Code	of	(Month/Day/	/Year)	Unde	rlying	Security	Secui
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e		Secur	rities	(Instr. 5)	Bene
	Derivative				Securities			(Instr	. 3 and 4)		Own
	Security				Acquired						Follo
	•				(A) or						Repo
					Disposed						Trans
					of (D)						(Instr
					(Instr. 3,						,
					4, and 5)						
					, ,						
									Amount		
						Date	Expiration		or		
						Exercisable	Date	Title	Number		
						LACICISADIC	Date		of		
				Code V	(A) (D)				Shares		

# **Reporting Owners**

Reporting Owner Name / Address		Relationsh			
]		10% Owner	Officer	Other	
ADAGE CAPITAL PARTNERS GP LLC 200 CLARENDON STREET, 52ND FLOOR BOSTON, MA 02116		X			
Adage Capital Partners, L.P. 200 CLARENDON STREET, 52ND FLOOR BOSTON, MA 02116		X			
Adage Capital Advisors, L.L.C. 200 CLARENDON STREET 52ND FLOOR BOSTON, MA 02116		X			
Atchinson Robert 200 CLARENDON STREET 52ND FLOOR BOSTON, MA 02116		X			
Gross Phillip 200 CLARENDON STREET 52ND FLOOR BOSTON, MA 02116		X			

# **Signatures**

/s/ Adage Capital Partners GP, L.L.C.; By its managing member Adage Capital Advisors,	
L.L.C.; By its managing member Robert Atchinson	05/04/2015
**Signature of Reporting Person	Date
/s/ Adage Capital Partners, L.P.; By its general partner Adage Capital Partners GP, L.L.C.;	
By its managing member Adage Capital Advisors, L.L.C.; By its managing member Robert Atchinson	05/04/2015
**Signature of Reporting Person	Date

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/s/ Adage Capital Advisors, L.L.C.; By its managing member Robert Atchinson

\_\*\*Signature of Reporting Person

Date

/s/ Robert Atchinson

\_\*\*Signature of Reporting Person

Date

/s/ Phillip Gross

\_\*\*Signature of Reporting Person

Date

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The securities to which this filing relates are held directly by Adage Capital Partners, L.P., a Delaware limited partnership (the "Fund"). Adage Capital Partners GP, L.L.C., a Delaware limited liability company ("ACPGP"), serves as the general partner of the Fund and as

such has discretion over the portfolio securities beneficially owned by the Fund. Adage Capital Advisors, L.L.C., a Delaware limited liability company ("ACA"), is the managing member of ACPGP and directs ACPGP's operations. Robert Atchinson and Phillip Gross are the managing members of ACPGP and ACA and general partners of the Fund. ACPGP, ACA, Robert Atchinson and Phillip Gross disclaim beneficial ownership of the reported securities except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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