MACOM Technology Solutions Holdings, Inc.

Form 4

October 11, 2016

# FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

**OMB APPROVAL** 

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January 31,

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading OCAMPO JOHN L Issuer Symbol **MACOM Technology Solutions** (Check all applicable) Holdings, Inc. [MTSI] (Last) (First) (Middle) 3. Date of Earliest Transaction \_X\_\_ Director \_X\_\_ 10% Owner \_ Other (specify X\_ Officer (give title (Month/Day/Year) below) C/O MACOM TECHNOLOGY 10/06/2016 Chairman SOLUTIONS HOLDINGS, 100 CHELMSFORD STREET (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) Form filed by One Reporting Person \_X\_ Form filed by More than One Reporting LOWELL, MA 01851 Person (City) (Zin)

(City)	(State)	(Zip) Tab	le I - Non-l	Derivative	Secu	rities Acc	quired, Disposed	of, or Benefic	ially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securi on(A) or Di (Instr. 3,	ispose 4 and (A) or	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	10/06/2016		S <u>(1)</u>	3,900	D	\$ 42.43 (2)	419,100	I	By John Ocampo Charitable Remainder Trust (3)
Common Stock	10/06/2016		S <u>(1)</u>	3,900	D	\$ 42.43 (2)	419,100	I	By Susan Ocampo Charitable Remainder Trust (3)

Common Stock	10/06/2016	S <u>(1)</u>	6,600	D	\$ 43.24 (4)	412,500	I	By John Ocampo Charitable Remainder Trust (3)
Common Stock	10/06/2016	S <u>(1)</u>	6,600	D	\$ 43.24 (4)	412,500	I	By Susan Ocampo Charitable Remainder Trust (3)
Common Stock	10/07/2016	S(1)	3,500	D	\$ 43.12 (5)	409,000	I	By John Ocampo Charitable Remainder Trust (3)
Common Stock	10/07/2016	S(1)	3,500	D	\$ 43.12 (5)	409,000	I	By Susan Ocampo Charitable Remainder Trust (3)
Common Stock						9,742,056	I	By Ocampo Family Trust-2001
Common Stock						3,290,000	I	By trust for son $\frac{(3)}{}$
Common Stock						3,290,000	I	By trust for daughter $(3)$
Common Stock						3,290,000	I	By trust for son $\underline{^{(3)}}$

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

 $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$ 

1. Title of	2.	3. Transaction Date	3A. Deemed	4.	5.	6. Date Exercisable and	7. Title and	8. Price of	9
Derivative	Conversion	(Month/Day/Year)	Execution Date, if	Transactio	onNumber	Expiration Date	Amount of	Derivative	]
Security	or Exercise		any	Code	of	(Month/Day/Year)	Underlying	Security	,
(Instr. 3)	Price of		(Month/Day/Year)	(Instr. 8)	Derivative	e	Securities	(Instr. 5)	]
	Derivative				Securities	}	(Instr. 3 and 4)		(
	Security				Acquired				]
					(A) or				]
					Disposed				-

9. Nu Deriv Secur Bene Own Follo Repo

of (D) (Instr. 3, 4, and 5)

Code V (A) (D) Date Expiration Title Amount Exercisable Date or

Number of Shares

### **Reporting Owners**

Reporting Owner Name / Address	Relationships					
The posting of the property of		10% Owner	Officer	Other		
OCAMPO JOHN L C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS 100 CHELMSFORD STREET LOWELL, MA 01851	X	X	Chairman			
OCAMPO SUSAN C/O MACOM TECHNOLOGY SOLUTIONS HOLDINGS 100 CHELMSFORD STREET LOWELL, MA 01851	X	X				

# **Signatures**

/s/ Clay Simpson, Attorney-in-Fact for John Ocampo and Susan Ocampo

10/11/2016

\*\*Signature of Reporting Person

Date

# **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares were sold pursuant to a sales plan adopted by the Reporting Persons and intended to comply with Rule 10b5-1 under the Securities Exchange Act of 1934.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$42.00 to \$42.99. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- (3) The Reporting Persons are trustees of the John Ocampo Charitable Remainder Trust, the Susan Ocampo Charitable Remainder Trust, the Ocampo Family Trust 2001 and the trusts for the benefit of their children.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$43.00 to \$43.68. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.
- The price reported in Column 4 is a weighted average price. The shares were sold in multiple transactions at prices ranging from \$42.70 to \$43.64. The Reporting Persons undertake to provide to the Issuer, any shareholder of the Issuer, or the staff of the Securities and Exchange Commission, upon request, full information regarding the number of shares sold at each separate price within the range set forth in this footnote.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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