Edgar Filing: ERGEN CANTEY - Form 4

ERGEN CAL Form 4 January 04, 2	2012											
FORM	14 UNITED STAT	FS SECUR	ITIFS	1 A P	ND FXC	чан	NCE (OMMISSION	r	PPROVAL		
Check th					D.C. 205		UL		OMB Number:	3235-0287		
if no long	ter	ΟΕ CUAN	NEDSHID OF	Expires:	January 31, 2005							
Statement of longer Statement of CHANGES IN BENEFICIAL OWNERSHIP OF Estimated avera burden hours per response Subject to Section 16. Section 16. Form 4 or Form 5 Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Estimated avera burden hours per response See Instruction 1(b). 30(h) of the Investment Company Act of 1940 1940								average Irs per				
(Print or Type I	Responses)											
ERGEN CHARLES W Symbol					Ticker or T		g	5. Relationship of Reporting Person(s) to Issuer				
(Last)	3. Date of			-	,		(Check all applicable)					
(Last) (First) (Middle) 3. Date of (Month/D 9601 S. MERIDIAN BLVD. 12/30/20)				X DirectorX 10% Owner X Officer (give title Other (specify below) below) Chairman				
	(Street)	4. If Amer Filed(Mon			e Original				One Reporting Pe	erson		
ENGLEWO	OOD, CO 80112							_X_ Form filed by I Person	More than One R	Leporting		
(City)	(State) (Zip)	Table	e I - Noi	n-De	erivative S	ecuri	ties Ac	quired, Disposed of	f, or Beneficia	lly Owned		
1.Title of Security (Instr. 3)	2. Transaction Date 2A. I (Month/Day/Year) Exec any (Mor	xecution Date, if TransactionAcquired (A) or Securities ny Code Disposed of (D) Beneficially Month/Day/Year) (Instr. 8) (Instr. 3, 4 and 5) Owned Following (A) Transaction(s				Securities Beneficially Owned Following	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)					
Class A			Code	V	Amount	(D)	Price	(Insu: 5 and 4)				
Common Stock	12/30/2011		G	V	1,800	D	\$0	791,502	D			
Class A Common Stock	12/30/2011		G	V	900	A	\$0	14,320	Ι	I <u>(1)</u>		
Class A Common Stock								235	I	I <u>(2)</u>		
Class A Common								19,229	Ι	I <u>(3)</u>		

Edgar Filing: ERGEN CANTEY - Form 4

Stock			
Class A Common Stock	1,669	Ι	I <u>(4)</u>
Class A Common Stock	27,000	Ι	I <u>(5)</u>

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned

 (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3,		ate	7. Titl Amou Under Secur (Instr.	int of lying	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secu Bene Owne Follo Repo Trans (Instr
					4, and 5)	Date Exercisable	Expiration Date	Title	Amount or Number of		

Code V (A) (D)

Reporting Owners

Reporting Owner Name / Address	Relationships								
	Director	10% Owner	Officer	Other					
ERGEN CHARLES W 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112	Х	Х	Chairman						
ERGEN CANTEY 9601 S. MERIDIAN BLVD. ENGLEWOOD, CO 80112	Х	Х							
Signatures									

/s/ Charles W. Ergen, by Brandon Ehrhart, his Attorney in Fact

**Signature of Reporting Person

01/04/2012

Shares

Edgar Filing: ERGEN CANTEY - Form 4

/s/ Cantey M. Ergen, by Brandon Ehrhart, her Attorney in Fact

01/04/2012

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The shares are held by a custodian for the reporting person's children. The reporting person disclaims beneficial ownership of the shares, except to the extent of their pecuniary interest therein.
- (2) Held by Ms. Cantey Ergen.
- (3) Held by Mr. Charlie Ergen in a 401(k) account.
- (4) Held by Ms. Cantey Ergen in a 401(k) account.

The shares are held by a charitable foundation. The reporting person is an officer of the charitable foundation and has both investment(5) control and voting power for the foundation. The reporting person disclaims beneficial ownership of the shares, except to the extent of their pecuniary interest therein.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.