#### PICKUP RICHARD H/ Form 3 October 03, 2012 UNITED STATES SECURITIES AND EXCHANGE COMMISSION OMB APPROVAL FORM 3 Washington, D.C. 20549 OMB

#### **INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> PICKUP RICHARD H/			<ul><li>2. Date of Event Requiring</li><li>Statement</li><li>(Month/Day/Year)</li></ul>	3. Issuer Name and Ticker or Trading Symbol IMPAC MORTGAGE HOLDINGS INC [IMH]			
(Last)	(First)	(Middle)	06/22/2011	4. Relationship of Reporting Person(s) to Issuer			5. If Amendment, Date Original Filed(Month/Day/Year)
19500 JAMBOREE ROAD (Street)				(Check all applicable) <u>Director</u> <u>X_10%</u> Owner (give title below) (specify below)		6. Individual or Joint/Group Filing(Check Applicable Line)	
IRVINE, CA 92612						_X_ Form filed by One Reporting Person Form filed by More than One Reporting Person	
(City)	(State)	(Zip)	Table I - N	ble I - Non-Derivative Securities Beneficially Owned			
1.Title of Secur (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)		3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nat Owne (Instr.	1
Common Ste	ock		37,227		D	Â	
Common Stock			745,100		Ι	See footnote $(1)$	
Reminder: Rep	-		ach class of securities benefic	ially SI	EC 1473 (7-02	)	

owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

#### Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security	2. Date Exercisable and	3. Title and Amount of	4.	5.	6. Nature of Indirect
(Instr. 4)	Expiration Date	Securities Underlying	Conversion	Ownership	Beneficial Ownership
	(Month/Day/Year)	Derivative Security	or Exercise	Form of	(Instr. 5)
		(Instr. 4)	Price of	Derivative	
			Derivative	Security:	

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

#### Edgar Filing: PICKUP RICHARD H/ - Form 3

Date	Expiration	Title	Amount or	Security	Direct (D)
Exercisable	Date		Number of		or Indirect
			Shares		(I)
					(Instr. 5)

## **Reporting Owners**

Reporting Owner Name / Addre	ess	Relationships					
		10% Owner	Officer	Other			
PICKUP RICHARD H/ 19500 JAMBOREE ROAD IRVINE, CA 92612	Â	ÂX	Â	Â			
Signatures							
/s/ Richard H. 1 Pickup	0/03/2012						
<u>**</u> Signature of	Date						

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

The shares of common stock owned indirectly consist of (i) 500,000 shares owned directly by RHP Trust, over which Reporting Person

(1) exercises sole voting and investment power; (ii) 120,000 shares owned directly by Dito Caree LP, over which Reporting Person shares voting and investment power; and (iii) 125,100 shares owned directly by Dito Devcar LP, over which Reporting Person shares voting and investment power.

### Â

#### **Remarks:**

Reporting Person

This is a late filing; pursuant to the General Instructions of Form 3, a Form 3 should have bee. Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number. ber 31, 2016, including that the Company expects to report (i) Translarna<sup>TM</sup> (ataluren) net sales for the treatment of nonsense mutation Duchenne muscular dystrophy (nmDMD) of approximately \$81 million and (ii) ending cash and cash equivalents of approximately \$230 million. Final results are subject to completion of the Company's year-end audit.

Item 7.01. Regulation FD Disclosure.

The Company also announced financial guidance for its fiscal year ending December 31, 2017 in the press release, including that the Company expects (i) ex-U.S. Translarna nmDMD net sales of between \$105 and \$125 million, assuming current exchange rates; (ii) non-GAAP operating expenses \$190 and \$200 million, excluding estimated non-cash stock-based compensation expense of approximately \$35 million, for total GAAP operating expenses of approximately \$225 to \$235 million; and (iii) ending cash and cash equivalents of approximately \$160 million.

The Company announced that on Wednesday, January 11th at 7:30 am PT at the 35th Annual J.P. Morgan Healthcare Conference (the "Conference"), the Company will present its 2017 strategic priorities, preliminary 2016 financial results, and 2017 financial guidance. The presentation will be webcast live and the accompanying slide deck has been posted on the Events and Presentations page under the Investors section of the Company's website. A copy of the slide deck, which the Company intends to utilize in various meetings at the Conference, is also attached as Exhibit 99.2.

#### Edgar Filing: PICKUP RICHARD H/ - Form 3

This Current Report on Form 8-K and Exhibits 99.1 and 99.2 include a forward-looking financial measure that was not prepared in accordance with accounting principles generally accepted in the United States (GAAP), non-GAAP operating expenses (which excludes stock-based compensation expense). Management uses this measure to assess its operations and, in management's opinion, this non-GAAP measure is useful to investors and other users of its financial statements by providing greater transparency into the expected operating performance at PTC and the Company's future outlook. Non-GAAP financial measures are not an alternative for financial measures prepared in accordance with GAAP.

The information in this Current Report on Form 8-K, including Exhibits 99.1 and 99.2, shall not be deemed "filed" for purposes of Section 18 of the Securities Exchange Act of 1934, as amended (the "Exchange Act"), or otherwise subject to the liabilities of that section, nor shall it be deemed incorporated by reference in any filing under the Securities Act of 1933, as amended, or the Exchange Act, except as expressly set forth by specific reference in such a filing.

Forward Looking Statements: All statements, other than those of historical fact, contained in this Current Report on Form 8-K, are forward-looking statements, including preliminary (unaudited) financial information for 2016 and financial guidance for 2017. The Company's actual results, performance or achievements could differ materially from those expressed or implied by forward-looking statements it makes as a result of a variety of risks and uncertainties, including those related to the preliminary nature of the Company's 2016 financial information, which is subject to completion of the Company's year-end audit; the assumptions underlying the Company's financial guidance for 2017; and the factors discussed in the "Risk Factors" section of the Company's most recent Quarterly Report on Form 10-Q as well as any updates to these risk factors filed from time to time in the Company's other filings with the SEC. You are urged to carefully consider all such factors. The forward-looking statements contained herein represent the Company's views only as of the date of this Current Report on Form 8-K and the Company does not undertake or plan to update or revise any such forward-looking statements to reflect actual results or changes in plans, prospects, assumptions, estimates or projections, or other circumstances occurring after the date of this Current Report on Form 8-K except as required by law.

Item 9.01. Financial Statements and Exhibits.

- (d) Exhibits:
- 99.1 Press Release dated January 9, 2017
- 99.2 Corporate Presentation 35th Annual J.P. Morgan Healthcare Conference

#### SIGNATURES

Pursuant to the requirements of the Securities Exchange Act of 1934, the registrant has duly caused this report to be signed on its behalf by the undersigned hereunto duly authorized.

# PTC THERAPEUTICS, INC.

Date: January 9, 2017 By:/s/ Shane Kovacs Shane Kovacs Chief Financial Officer

### EXHIBIT INDEX

Exhibit No. Description

- 99.1 Press Release dated January 9, 2017
- 99.2 Corporate Presentation 35th Annual J.P. Morgan Healthcare Conference