Nichols Dana L Form 4 March 05, 2019

## FORM 4

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

Check this box if no longer subject to Section 16. Form 4 or Form 5

obligations

may continue.

See Instruction

### STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* 5. Relationship of Reporting Person(s) to 2. Issuer Name and Ticker or Trading Nichols Dana L Issuer Symbol QCR HOLDINGS INC [QCRH] (Check all applicable) (First) (Middle) (Last) 3. Date of Earliest Transaction (Month/Day/Year) Director 10% Owner \_X\_\_ Officer (give title . \_ Other (specify 3551 SEVENTH STREET, SUITE 03/01/2019 below) 204 EVP, Chief Credit Officer (Street) 4. If Amendment, Date Original 6. Individual or Joint/Group Filing(Check Filed(Month/Day/Year) Applicable Line) \_X\_ Form filed by One Reporting Person Form filed by More than One Reporting MOLINE, IL 61265 Person

(City)	(State) (	Zip) Table	e I - Non-D	erivative	Secur	ities Acq	uired, Disposed o	f, or Beneficial	ly Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securing (A) or Di (Instr. 3,	spose	d of (D)	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock	03/01/2019		F(1)	192	D	\$ 36	2,926	D	
Common Stock	03/01/2019		M	135	A	\$ 44.15	3,061	D	
Common Stock							15,000	I	by IRA
Common Stock							3,513.15	I	by Managed Account

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

**OMB APPROVAL** 

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SEC 1474 (9-02)

# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	Derivative		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Ame Underlying Sect (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	or No of Sh
Performance Shares	\$ 36 (2)	03/01/2019		A	628	. ,	03/01/2020(2)	03/01/2029	Common Stock	
Performance Shares	\$ 44.15	03/01/2019		M		135	(3)	<u>(3)</u>	Common Stock	
Non-Qualified Stock Option (right to buy)	\$ 9.3008						02/01/2013(4)	02/01/2022	Common Stock	2
Non-Qualified Stock Option (right to buy)	\$ 15.65						02/01/2014(4)	02/01/2023	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 17.1						02/03/2015(4)	02/03/2024	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 17.86						01/01/2016(4)	01/01/2025	Common Stock	2
Non-Qualified Stock Option (right to buy)	\$ 22.64						02/01/2017(5)	02/01/2026	Common Stock	1
Non-Qualified Stock Option (right to buy)	\$ 42.75						03/09/2018(5)	03/09/2027	Common Stock	

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## **Reporting Owners**

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

Nichols Dana L

3551 SEVENTH STREET

SUITE 204

EVP, Chief Credit Officer

**Signatures** 

MOLINE, IL 61265

By: Shellee R. Showalter For: Dana L.

Nichols

03/05/2019

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Surrender of common stock to issuer upon vesting of restricted stock award to pay withholding taxes
- Restricted stock unit grant. Each restricted stock unit represents a contingent right to receive one share of QCR Holdings, Inc. common
- (2) stock or the cash equivalent of one share of common stock. The restricted stock units vest in four annual equal installments beginning on March 1, 2020.
  - Restricted stock unit grant. Each restricted stock unit represents a contingent right to receive one share of QCR Holdings, Inc. common
- (3) stock or the cash equivalent of one share of common stock. The restricted stock units vest in four annual equal installments beginning on March 1, 2019.
- (4) These options are exercisable in annual increments of 20% each, with the first 20% vesting on the first anniversary of the option grant.
- (5) These options are exercisable in annual increments of 25% each, with the first 25% vesting on the first anniversary of the option grant.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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