Edgar Filing: GORR IVAN W - Form 5

GORR IVAN W Form 5 February 14, 2002

UNITED STATES SECURITIES AND EXCHANGE COMMISSION WASHINGTON, D.C. 20549

FORM 5 ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP / / Check box if no longer subject of Section 16. Form 4 or Form 5 obligations may continue. See instruction 1(b). Filed pursuant to Section 16(a) of the Securities Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(f) of the Investment Company Act of 1940 Name and Address of Reporting Person IVAN W. GORR 200 S. Michigan Ave. Chicago, IL 60604 Issuer Name and Ticker or Trading Symbol BorgWarner Inc. (BWA) 3. IRS Identification Number of Reporting Person, if an Entity (Voluntary) Statement For Month/Year DECEMBER 31, 2001 If Amendment, Date of Original (Month/Year) Relationship of Reporting Person to Issuer (Check all applicable) (x) Director ()Officer (give title below) () 10% Owner () Other Individual or Joint/Group Filing (Check applicable line) /x/ Form Filed by One Reporting Person --- Form Filed by More Than One Reporting Person Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned ______ 1.Title of Security | 2.Transaction Date | 3. Transac- | 4.Securities Acquired | (Month/Day/Year) | tion Code | (A) or Disposed of (D) | Code | V | Amount | (A) or (D) | Price ______ 1 1 Common Stock 5. Amount of Securities | 6. Ownership Form | 7. Nature of Indirect Beneficially Owned at | Direct (D) or | Beneficial Ownership End of Month | Indirect (I) | ______ , D 1,000 ______ Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g. Puts, Calls, Warrants, Options, Convertible Securities) 1.Title of Deriv-|2.Conversion |3.Transaction |4.Trans- |5.Number of ative Security | or Exercise| Date(month | action | Derivative | Price of | \day\year) | Code | Securities Ac-|Code| V | (A) | (D)

Edgar Filing: GORR IVAN W - Form 5

| Director St Option (Ric to Buy) Director St Option (Ric to Buy) | ght lock | \$51.25 \$51.25 | 1 | | A A | | 000 | |
|---|---------------------------|--------------------|---|--|-------------------------|------|------|--------|
| 6.Date Exercisable 7.Title and 8.Price of 9.Number of 10.Ownership and Expiration Amount of Derivative Derivative Form of Deriv-Date(month/day/year) Underlying Security Securities ative Security Date Exer- Expiration Securities Beneficially Direct (D) or cisable Date Title Amt.or Owned At End Indirect (I) #of shrs Of Month | | | | | | | | |
| 01/15/04 01/15/05 | | • | | | | 1000 | | D D |
| 11. Nature Indirect Benefic Ownersh | ct cial | | | | | | | |

Signature of Reporting Person /s/ Laurene H. Horiszny

as attorney-in-fact for IVAN $\ensuremath{\mathtt{W}}$. GORR

Date: February 14, 2002