### Edgar Filing: BORGWARNER INC - Form 3

**BORGWARNER INC** 

Form 3 July 08, 2005

### FORM 3

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

**OMB APPROVAL** 

**OMB** Number:

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF **SECURITIES** 

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, response... Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting

Person \*

(Last)

Statement

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol

Matthes Bernd

(First)

(Month/Day/Year)

07/01/2005

BORGWARNER INC [bwa]

4. Relationship of Reporting Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year)

3850 HAMLIN RD.

(Street)

(Check all applicable)

Director

6. Individual or Joint/Group

Filing(Check Applicable Line) \_X\_ Form filed by One Reporting

\_X\_\_ Officer Other Person (give title below) (specify below)

10% Owner

Form filed by More than One

Reporting Person

AUBURN HILLS. MIÂ 48326

(State)

(Zip)

(Middle)

Vice President Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security

(Instr. 4)

(City)

2. Amount of Securities Beneficially Owned

(Instr. 4)

Ownership Form:

(I)

4. Nature of Indirect Beneficial

Ownership (Instr. 5)

Direct (D) or Indirect

(Instr. 5)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(Instr. 4)

Title

1. Title of Derivative Security

(Instr. 4)

2. Date Exercisable and **Expiration Date** 

(Month/Day/Year)

3. Title and Amount of Securities Underlying **Derivative Security** 

4. Conversion or Exercise

Price of

5. Ownership Form of Derivative

6. Nature of Indirect Beneficial

Ownership

(Instr. 5)

Date

Amount or Number of Derivative Security: Security

Direct (D) or Indirect

(I) (Instr. 5)

Expiration Exercisable Date

Shares

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| employee stock option (right to buy) | 07/21/2001 | 07/21/2008 | common<br>stock | 400   | \$ 50.9063 | D | Â |
|--------------------------------------|------------|------------|-----------------|-------|------------|---|---|
| employee stock option (right to buy) | 07/21/2002 | 07/21/2008 | common<br>stock | 400   | \$ 50.9063 | D | Â |
| employee stock option (right to buy) | 07/21/2002 | 07/21/2010 | common<br>stock | 690   | \$ 36.0938 | D | Â |
| employee stock option (right to buy) | 07/21/2003 | 07/21/2010 | common<br>stock | 690   | \$ 36.0938 | D | Â |
| employee stock option (right to buy) | 07/25/2003 | 07/25/2011 | common<br>stock | 700   | \$ 41.275  | D | Â |
| employee stock option (right to buy) | 07/25/2004 | 07/25/2011 | common<br>stock | 700   | \$ 41.275  | D | Â |
| employee stock option (right to buy) | 07/25/2004 | 07/25/2012 | common<br>stock | 1,145 | \$ 50.65   | D | Â |
| employee stock option (right to buy) | 07/25/2005 | 07/25/2012 | common<br>stock | 1,145 | \$ 50.65   | D | Â |
| employee stock option (right to buy) | 07/27/2005 | 07/27/2013 | common<br>stock | 1,080 | \$ 66.08   | D | Â |
| employee stock option (right to buy) | 07/27/2006 | 07/27/2013 | common<br>stock | 1,080 | \$ 66.08   | D | Â |
| employee stock option (right to buy  | 07/28/2006 | 07/28/2014 | common<br>stock | 3,420 | \$ 44.56   | D | Â |
| employee stock option (right to buy) | 07/28/2007 | 07/28/2014 | common<br>stock | 3,420 | \$ 44.56   | D | Â |

# **Reporting Owners**

| Reporting Owner Name / Address                             | Relationships |           |                |       |  |  |
|--|---------------|-----------|----------------|-------|--|--|
|  | Director      | 10% Owner | Officer        | Other |  |  |
| Matthes Bernd<br>3850 HAMLIN RD.<br>AUBURN HILLS, MI 48326 | Â             | Â         | Vice President | Â     |  |  |

## **Signatures**

Laurene H. Horiszny as attorney-in-fact for Bernd Matthes 07/08/2005

\*\*Signature of Reporting Person Date

## **Explanation of Responses:**

\* If the form is filed by more than one reporting person, see Instruction 5(b)(v).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

Reporting Owners 2

<sup>\*\*</sup> Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).