Arno Therapeutics, Inc Form 4 November 06, 2014

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL OMB 3235-0287

Check this box if no longer subject to Section 16.

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Number: January 31, Expires: 2005

Form 4 or Form 5 obligations may continue.

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

burden hours per response... 0.5

Estimated average

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person *

(Street)

(State)

2. Issuer Name and Ticker or Trading

5. Relationship of Reporting Person(s) to Issuer

Ruchefsky Steven B

Symbol Arno Therapeutics, Inc [ARNI]

(Check all applicable)

(First) (Last)

(Middle)

(Zip)

3. Date of Earliest Transaction

X_ Director 10% Owner Officer (give title Other (specify

200 ROUTE 31 NORTH, SUITE 104 10/28/2014

(Month/Day/Year)

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

4. If Amendment, Date Original Filed(Month/Day/Year)

X Form filed by One Reporting Person Form filed by More than One Reporting

Person

(A)

FLEMINGTON, NJ 08822

(City)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1.Title of	2. Transaction Date	2A Deemed	3.	4. Securities	5. Amount of	6.	7. Nature of
Security				onAcquired (A) or	Securities	Ownership	Indirect
(Instr. 3)	` ,	any	Code	Disposed of (D)	Beneficially	Form: Direct	Beneficial
		(Month/Day/Year)	(Instr. 8)	(Instr. 3, 4 and 5)	Owned	(D) or	Ownership
					Following	Indirect (I)	(Instr. 4)
					Reported	(Instr 4)	

Transaction(s)

or (Instr. 3 and 4)

Code V Amount (D) Price

By

Common Stock

Commercial I Street 1,596,272

Capital, LLC

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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$\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (\emph{e.g.}, puts, calls, warrants, options, convertible securities) \\ \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactionDerivative Securities Code Acquired (A) or (Instr. 8) Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Am Underlying Sec (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title N
Stock Option (right to buy)	\$ 8						(2)	11/05/2020	Common Stock
Stock Option (right to buy)	\$ 2.4						(3)	11/04/2023	Common Stock 2
Stock Option (right to buy)	\$ 2.9						<u>(4)</u>	01/24/2024	Common Stock
Stock Option (right to buy)	\$ 0.85	11/04/2014		A	136,784		<u>(5)</u>	11/04/2024	Common Stock
Warrants (right to buy)	\$ 8.8						09/09/2010	09/09/2015	Common Stock
2010 Class B Warrants (right to buy)	\$ 3.08						10/29/2013	09/09/2015	Common Stock
2012 Series A Warrants (right to buy)	\$ 2.4						10/29/2013	11/26/2017	Common Stock
2012 Series B Warrants (right to buy)	\$ 2.4	10/28/2014		D <u>(6)</u>		520,833	10/29/2013	10/31/2014	Common Stock
2012 Series B	\$ 2.4	10/28/2014		A(6)	520,833		10/29/2013	12/31/2014	Common 5 Stock

Warrants (right to buy)								
2013 Series D Warrants (right to buy)	\$ 4					10/29/2013	10/29/2018	Common Stock
2013 Series E Warrants (right to buy)	\$ 2.4	10/28/2014	D <u>(6)</u>		687,500	10/29/2013	10/31/2014	Common Stock
2013 Series E Warrants (right to buy)	\$ 2.4	10/28/2014	A <u>(6)</u>	687,500		10/29/2013	12/31/2014	Common Stock

Reporting Owners

Reporting Owner Name / Address	Relationships					
• 0	Director	10% Owner	Officer	Other		
Ruchefsky Steven B 200 ROUTE 31 NORTH SUITE 104 FLEMINGTON, NJ 08822	X					

Signatures

/s/ Christopher J. Melsha as Attorney-in-Fact for Steven B. Ruchefsky pursuant to Power of Attorney previously filed

11/06/2014

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and this (1) report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) Vests in three equal annual installments commencing 11/5/11.
- (3) Vests in equal 36-monthly installments commencing 12/4/13.
- (4) Vests in equal 12-monthly installments commencing 2/24/14.
- (5) Vests in equal 36-monthly installments commencing 12/4/14.
- (6) The two reported transactions resulted from the Issuer's unilateral extension of the expiration date of two outstanding warrants from 10/31/14 to 12/31/14. Such extension resulted in the deemed cancellation of the "originally" issued old warrant and the issuance of a replacement warrant. The Series B warrant was originally granted on 11/26/12 and the Series E warrant was originally granted on

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10/29/13.

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