Arno Therapeutics, Inc Form 4 November 06, 2015

#### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB APPROVAL** OMB

3235-0287 Number: January 31,

Expires: 2005 Estimated average

burden hours per response... 0.5

Check this box if no longer subject to Section 16.

Form 4 or

Form 5 obligations may continue.

See Instruction

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1(b).

(Last)

(Instr. 3)

Common

Stock

1. Name and Address of Reporting Person \* Ruchefsky Steven B

(First) (Middle)

2. Issuer Name and Ticker or Trading Symbol

Arno Therapeutics, Inc [ARNI]

3. Date of Earliest Transaction (Month/Day/Year)

200 ROUTE 31 NORTH, SUITE 104 11/04/2015

(Street) 4. If Amendment, Date Original Filed(Month/Day/Year)

X\_ Director 10% Owner Other (specify Officer (give title

(Check all applicable)

5. Relationship of Reporting Person(s) to

below)

6. Individual or Joint/Group Filing(Check Applicable Line)

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

Issuer

FLEMINGTON, NJ 08822

(City) (State) (Zip) 1.Title of 2. Transaction Date 2A. Deemed Security

(Month/Day/Year) Execution Date, if (Month/Day/Year)

TransactionAcquired (A) or Code (Instr. 8)

4. Securities Disposed of (D) (Instr. 3, 4 and 5) (A)

or

Code V Amount (D) Price

5. Amount of Securities Beneficially Owned Following Reported Transaction(s)

(Instr. 3 and 4)

7. Nature of Ownership Indirect Form: Direct Beneficial (D) or Ownership Indirect (I) (Instr. 4) (Instr. 4)

By

Commercial I Street 1,596,272

Capital, LLC

(1)

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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SEC 1474 (9-02)

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# $\label{thm:convergence} \begin{tabular}{ll} Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned \\ (e.g., puts, calls, warrants, options, convertible securities) \end{tabular}$

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. 5. Number of TransactiorDerivative Code Securities (Instr. 8) Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount o Number o Shares
Stock Option (right to buy)	\$8						(2)	11/05/2020	Common Stock	3,750
Stock Option (right to buy)	\$ 2.4						<u>(3)</u>	11/04/2023	Common Stock	205,344
Stock Option (right to buy)	\$ 2.9						<u>(4)</u>	01/24/2024	Common Stock	34,224
Stock Option (right to buy)	\$ 0.85						<u>(5)</u>	11/04/2024	Common Stock	136,784
Stock Option (right to buy)	\$ 0.36	11/04/2015		A	48,399		<u>(6)</u>	11/04/2025	Common Stock	48,399
2012 Series A Warrants (right to buy)	\$ 2.4						10/29/2013	11/26/2017	Common Stock	868,055
2013 Series D Warrants (right to buy)	\$ 4						10/29/2013	10/29/2018	Common Stock	687,500

# **Reporting Owners**

Reporting Owner Name / Address Relationships

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Director 10% Owner Officer Other

Ruchefsky Steven B 200 ROUTE 31 NORTH SUITE 104 FLEMINGTON, NJ 08822



### **Signatures**

/s/ Christopher J. Melsha as Attorney-in-Fact for Steven B. Ruchefsky pursuant to Power of Attorney previously filed

11/05/2015

\*\*Signature of Reporting Person

Date

### **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
  - The Reporting Person disclaims beneficial ownership of these securities except to the extent of his pecuniary interest therein, and this
- (1) report shall not be deemed an admission that the reporting person is the beneficial owner of such securities for purposes of Section 16 or for any other purpose.
- (2) Currently exerciseable.
- (3) Vests in equal 36-monthly installments commencing 12/4/13.
- (4) Vests in equal 12-monthly installments commencing 2/24/14.
- (5) Vests in equal 36-monthly installments commencing 12/4/14.
- (6) Vests in equal 36-monthly installments commencing 12/4/15.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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