Arno Therapeutics, Inc Form 4 April 11, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

SECURITIES

OMB Number:

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Expires:

January 31, 2005

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response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

See Instruction

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section

30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person * Zukiwski Alexander A

2. Issuer Name and Ticker or Trading Symbol

Issuer

Arno Therapeutics, Inc [ARNI]

(Check all applicable)

Chief Executive Officer & CMO

5. Relationship of Reporting Person(s) to

(Last)

(First)

(Street)

(State)

(Middle)

(Zip)

3. Date of Earliest Transaction (Month/Day/Year)

4. If Amendment, Date Original

_X__ Director X_ Officer (give title

10% Owner Other (specify

200 ROUTE 31 NORTH, SUITE 104 04/07/2016

below)

Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check

Applicable Line)

X Form filed by One Reporting Person Form filed by More than One Reporting

FLEMINGTON, NJ 08822

(City) Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned 1.Title of 2. Transaction Date 2A. Deemed Security (Month/Day/Year) Execution Date, if

3. 4. Securities TransactionAcquired (A) or Code Disposed of (D) (Instr. 3, 4 and 5) (Instr. 8)

5. Amount of Securities Beneficially Owned Following

6. Ownership 7. Nature of Form: Direct Indirect (D) or Beneficial Indirect (I) Ownership (Instr. 4) (Instr. 4)

Reported Transaction(s)

(A) or

(Instr. 3 and 4)

Common Stock

(Instr. 3)

Code V Amount (D) Price

> 261,832 D

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Month/Day/Year)

Persons who respond to the collection of SEC 1474 information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(9-02)

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1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transactic Code (Instr. 8)	` '		te	7. Title and Amount Underlying Securitie (Instr. 3 and 4)	
				Code V	(A) (Date Exercisable	Expiration Date	Title	Amou Numb Shares
Stock Option (right to buy)	\$ 2.4					<u>(1)</u>	06/22/2021	Common Stock	109
Stock Option (right to buy)	\$ 2.4					<u>(1)</u>	06/22/2021	Common Stock	55,
Stock Option (right to buy)	\$ 2.4					<u>(1)</u>	01/14/2023	Common Stock	36,
Stock Option (right to buy)	\$ 2.4					<u>(1)</u>	01/14/2023	Common Stock	12,
Stock Option (right to buy)	\$ 2.4					(2)	11/04/2023	Common Stock	316
Stock Option (right to buy)	\$ 2.9					(3)	01/24/2024	Common Stock	711
Stock Option (right to buy)	\$ 0.37	04/07/2016		A	1,196,070	<u>(4)</u>	04/07/2026	Common Stock	1,190
2012 Series A Warrants (right to buy)	\$ 1.36					11/26/2012	11/26/2017	Common Stock	183
2013 Series D Warrants (right to buy)	\$ 2.14					10/29/2013	10/29/2018	Common Stock	77,

Reporting Owners

Reporting Owner Name / Address

Director 10% Owner Officer Other

Zukiwski Alexander A
200 ROUTE 31 NORTH
SUITE 104

FLEMINGTON, NJ 08822

Relationships

Other

Chief Executive Officer & CMO

Signatures

/s/ Christopher J. Melsha as Attorney-in-Fact for Alexander A. Zukiwski, M.D. pursuant to Power of Attorney previously filed.

04/11/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Currently exercisable.
- (2) Vests in equal 36-monthly installments commencing 12/4/13.
- (3) Vests 25% on first anniversary date and thereafter will vest in 24 equal monthly installments.
- (4) Vests one-third on the first anniversary of grant and thereafter in 24 equal monthly installments.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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