Arno Therapeutics, Inc Form 4 April 11, 2016

# FORM 4

### UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF

**SECURITIES** 

**OMB** Number:

3235-0287

Expires:

January 31, 2005

0.5

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**OMB APPROVAL** 

response...

if no longer subject to Section 16. Form 4 or Form 5 obligations

may continue.

Check this box

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

See Instruction 1(b).

(Print or Type Responses)

1. Name and Address of Reporting Person \* Belldegrun Arie

(Street)

2. Issuer Name and Ticker or Trading Symbol

Arno Therapeutics, Inc [ARNI]

5. Relationship of Reporting Person(s) to

Issuer

(Last) (First)

SUITE 104

(Middle)

3. Date of Earliest Transaction

\_X\_\_ Director 10% Owner

Officer (give title

(Check all applicable)

\_ Other (specify

C/O ARNO THERAPEUTICS. INC., 200 ROUTE 31 NORTH,

4. If Amendment, Date Original

6. Individual or Joint/Group Filing(Check

Applicable Line)

Filed(Month/Day/Year)

(Month/Day/Year)

04/07/2016

\_X\_ Form filed by One Reporting Person Form filed by More than One Reporting

FLEMINGTON, NJ 08822

(City)	(State)	(Zip) Table	e I - Non-D	erivative Securition	es Acquired, Dispose	d of, or Benefic	cially Owned
1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transactio Code (Instr. 8)	4. Securities nAcquired (A) or Disposed of (D) (Instr. 3, 4 and 5)  (A) or Amount (D) P	5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
Common Stock					3,115	D	
Common Stock					1,548,543	I	Arie S. Belldegrun, M.D. Inc. Profit Sharing Plan
Common Stock					858,094	I	Leumi Overseas

Trust Corp.

				Ltd. as TTEE of the BTL Trust (2)
Common Stock		254,887	' I	Leumi Overseas Trust Corp. Ltd. as TTEE of the Tampere Trust (3)
Common Stock		379,294	I	Belldegrun Family Trust
Common Stock		174,644	I	MDRB Partnership, L.P. (5)
Reminder: Report on a	separate line for each class of securities benefic	cially owned directly or indirectly	I.	
	30 panno 1110 101 0110 1010 1010 1010 1010 10	Persons who respond to to information contained in the required to respond unlest displays a currently valid number.	he collection of his form are not s the form	SEC 1474 (9-02)
	Table II - Derivative Securities Acqu (e.g., puts, calls, warrants,	ired, Disposed of, or Beneficial options, convertible securities)	ly Owned	
1. Title of 2.	3. Transaction Date 3A. Deemed	4. 5. Number of 6. 1	Date Exercisable and	7. Title and Am

Derivative Security (Instr. 3)	Conversion or Exercise Price of Derivative Security	(Month/Day/Year)	Execution Date, if any (Month/Day/Year)	Transaction Code (Instr. 8)	Securities Acquired (Disposed (Instr. 3, 4	(A) or of (D)	Expiration Date (Month/Day/Year)		Underlying Securities (Instr. 3 and 4)	
				Code V	(A)	(D)	Date Exercisable	Expiration Date	Title	Amount Number Shares
2012 Series A Warrants (right to buy)	\$ 1.36						11/26/2012	11/26/2017	Common Stock	245,0
2013 Series D Warrants (right to buy)	\$ 2.14						10/29/2013	10/29/2018	Common Stock	194,7

2012 Series A Warrants (right to buy)	\$ 1.36	11/26/2012	11/26/2017	Common Stock	367,6
2013 Series D Warrants (right to buy)	\$ 2.14	10/29/2013	10/29/2018	Common Stock	194,7
2012 Series A Warrants (right to buy)	\$ 1.36	11/26/2012	11/26/2017	Common Stock	428,9
2013 Series D Warrants (right to buy)	\$ 2.14	10/29/2013	10/29/2018	Common Stock	350,4
2012 Series A Warrants (right to buy)	\$ 1.36	11/26/2012	11/26/2017	Common Stock	183,8
2013 Series D Warrants (right to buy)	\$ 2.14	10/29/2013	10/29/2018	Common Stock	155,7
Stock Option (right to buy)	\$ 19.36	<u>(6)</u>	03/31/2018	Common Stock	24,92
Stock Option (right to buy)	\$ 8	<u>(6)</u>	09/29/2019	Common Stock	1,25
Stock Option (right to buy)	\$ 8	<u>(6)</u>	09/09/2020	Common Stock	37,50

Stock Option (right to buy)	\$ 8				<u>(6)</u>	11/05/2020	Common Stock	1,25
Stock Option (right to buy)	\$ 2.4				<u>(7)</u>	11/04/2023	Common Stock	3,559,1
Stock Option (right to buy)	\$ 2.9				<u>(6)</u>	01/24/2024	Common Stock	68,44
Stock Option (right to buy)	\$ 0.37	04/07/2016	A	177,256	(8)	04/07/2026	Common Stock	177,2

## **Reporting Owners**

Reporting Owner Name / Address	Relationships						
	Director	10% Owner	Officer	Other			
Belldegrun Arie C/O ARNO THERAPEUTICS, INC. 200 ROUTE 31 NORTH, SUITE 104 FLEMINGTON, NJ 08822	X						

## **Signatures**

/s/ Christopher J. Melsha as Attorney-in-Fact for Arie S. Belldegrun pursuant to a Power of Attorney previously filed.

04/11/2016

\*\*Signature of Reporting Person

Date

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) The Reporting Person is the trustee of the profit sharing plan that owns the securities.
- Although the Reporting Person is not a trustee of the BTL Trust, the Reporting Person is a beneficiary of the BTL Trust, and as such may (2) be deemed to be the beneficial owner of the securities owned by the BTL Trust. The Reporting Person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.
- Although the Reporting Person is not a trustee of the Tampere Trust, the Reporting Person is a beneficiary of the Tampere Trust, and as such may be deemed to be the beneficial owner of the securities owned by the Tampere Trust. The Reporting Person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.
- (4) The Reporting Person is the trustee of the family trust that owns the securities. The Reporting Person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.
- (5) The Reporting Person is the managing partner of the limited partnership that owns the securities. The Reporting Person disclaims beneficial ownership of such securities except to the extent of his pecuniary interest therein.

(6) Currently exercisable.

Reporting Owners 4

- (7) Vests in equal 36-monthly installments commencing 12/4/13.
- (8) Vests in equal 12-monthly installments commencing 5/7/16.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.