Arno Therapeutics, Inc Form 3/A September 08, 2016

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF

SECURITIES

response... 0.5

(Print or Type Responses)

1. Name and Address of Reporting Person * Kariv Tomer

SUITE 104

(Last) (First)

(Middle)

2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Statement

(Month/Day/Year)

01/25/2013

4. Relationship of Reporting

Person(s) to Issuer

5. If Amendment, Date Original

Filed(Month/Day/Year) 01/29/2013

(Check all applicable)

Arno Therapeutics, Inc [ARNI]

(Street)

INC., Â 200 ROUTE 31 NORTH,

C/O ARNO THERAPEUTICS.

X 10% Owner __X__ Director Officer Other (give title below) (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)

Form filed by One Reporting

Person

X Form filed by More than One

Reporting Person

FLEMINGTON. NJÂ 08822

(State)

Table I - Non-Derivative Securities Beneficially Owned

1. Title of Security (Instr. 4)

(City)

(Zip)

Beneficially Owned (Instr. 4)

2. Amount of Securities

3. Ownership Form: Direct (D)

4. Nature of Indirect Beneficial Ownership (Instr. 5)

or Indirect (I) (Instr. 5)

Common Stock

Common Stock 140.977 (1) (2) (3) (4)

 $187,156 \ \underline{^{(1)}} \ \underline{^{(2)}} \ \underline{^{(3)}} \ \underline{^{(4)}}$ Ι

By Pontifax (Cayman) II L.P. By Pontifax (Israel) II L.P.

Common Stock

54,725 (1) (2) (3) (4)

By Pontifax (Israel) II -

Individual Investors L.P.

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

SEC 1473 (7-02)

Ι

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Table II - Derivative Securities Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

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1. Title of Derivative Security (Instr. 4)

Expiration Date (Month/Day/Year)

2. Date Exercisable and 3. Title and Amount of Securities Underlying **Derivative Security** (Instr. 4)

Conversion or Exercise Price of Derivative Security

5. Ownership (Instr. 5) Form of Derivative Security:

Direct (D)

6. Nature of Indirect Beneficial Ownership

Expiration Date Exercisable Date

Amount or Title Number of Shares

or Indirect (I) (Instr. 5)

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
Kariv Tomer C/O ARNO THERAPEUTICS, INC. 200 ROUTE 31 NORTH, SUITE 104 FLEMINGTON, NJ 08822	ÂΧ	ÂX	Â	Â
Pontifax Management II, L.P. 14 SHANKAR STREET HERZELIYA, L3 46140	ÂX	ÂX	Â	Â
Pontifax Management 2 G.P. (2007) Ltd. 14 SHANKAR STREET HERZELIYA, L3 46140	ÂX	ÂX	Â	Â
Nussbaum Ran 14 SHANKAR STREET HERZELIYA, L3 46140	Â	ÂX	Â	Â

Signatures

/s/ Christopher J. Melsha as Attorney-in-Fact for Tomer Kariv pursuant to Power of Attorney previously filed.

09/08/2016

**Signature of Reporting Person

Date

/s/ Christopher J. Melsha as Attorney-in-Fact for Pontifax Management II, L.P. pursuant to Power of Attorney filed herewith.

09/08/2016

**Signature of Reporting Person

Date

/s/ Christopher J. Melsha as Attorney-in-Fact for Pontifax Management 2 G.P. (2007) Ltd. pursuant to Power of Attorney filed herewith.

09/08/2016

**Signature of Reporting Person

Date

/s/ Christopher J. Melsha as Attorney-in-Fact for Ran Nassbaum pursuant to Power of Attorney filed herewith.

09/08/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Reporting Owners 2

Edgar Filing: Arno Therapeutics, Inc - Form 3/A

- This amendment is being filed to include Pontifax Management II, L.P. ("PM"), Pontifax Management 2 G.P. (2007) Ltd. ("PMGP") and Ran Nussbaum ("Nussbaum") as additional reporting persons to the Form 3 filed by Tomer Kariv ("Kariv") on 1/29/13 and to reflect
- (1) Kariv as a 10% owner. The reporting persons have re-reported the securities held in Table I solely to gain access to the EDGAR filing system and the Amount of Securities Beneficially Owned has been adjusted to reflect a 1-for-8 combination of the Issuer's common stock completed on 10/29/13.
 - PM is the general partner of each of Pontifax (Cayman) II L.P. ("PC"), Pontifax (Israel) II L.P. ("PI") and Pontifax (Israel) II Individual Investors L.P. ("PIII" and together with PC and PI, the "Pontifax Funds"). PMGP is the general partner of PM. Each of Kariv and Nussbaum are directors of PMGP. PM and PMGP, through the Pontifax Funds, share a contractual right to designate one member of the
- (2) Issuer's board of directors and may be deemed to be directors by deputization for purposes of Section 16 of the Exchange Act. The purpose of this amendment is to add PM, PMGP and Nussbaum as reporting persons to the Form 3 originally filed by Kariv on 1/29/13 in order to reflect that the securities shown on such form as held by the Pontifax Funds are beneficially owned by PM, PMGP, Nussbaum and Kariv.
 - This form is also intended to amend the Form 4 statements filed by Kariv on each of 4/10/13, 5/7/13, 6/5/13, 10/31/13, 1/14/16 and 8/17/16, and a Form 5 filed on 2/17/15 (the "Prior Statements") to reflect that the securities shown therein as indirectly held by Kariv through the Pontifor Funds are also henoficially owned by PMH, PMCP and Nusshaum. Each of the reporting persons displaines
- through the Pontifax Funds are also beneficially owned by PMII, PMGP and Nussbaum. Each of the reporting persons disclaims beneficial ownership of the securities held by the Pontifax Funds except to the extent of their respective pecuniary interests therein, and this report shall not be deemed an admission by any reporting person that such person is the beneficial owner of such securities for purposes of Section 16 of the Securities Exchange Act or for any other purpose.
- Each of PM, PMGP and Nussbaum disclaims beneficial ownership of the securities directly held by Kariv as reflected in the Prior (4) Statements, except to the extent of his or its pecuniary interest therein, and this Form 3/A shall not be deemed an admission by PM, PMGP or Nussbaum that any is a beneficial owner of any securities held by Kariv for purposes of Section 16 or any other purpose.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.