

PINSLEY HOWARD M

Form 5

August 11, 2011

FORM 5**UNITED STATES SECURITIES AND EXCHANGE COMMISSION**
Washington, D.C. 20549Check this box if
no longer subject
to Section 16.Form 4 or Form
5 obligations
may continue.See Instruction
1(b).Form 3 Holdings
Reported

Form 4

Transactions

Reported

**ANNUAL STATEMENT OF CHANGES IN BENEFICIAL
OWNERSHIP OF SECURITIES**Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
Number: 3235-0362Expires: January 31,
2005Estimated average
burden hours per
response... 1.01. Name and Address of Reporting Person *
PINSLEY HOWARD M

(Last) (First) (Middle)

5 LONGWOOD DRIVE

(Street)

2. Issuer Name and Ticker or Trading
Symbol
ESPEY MFG & ELECTRONICS
CORP [ESP]3. Statement for Issuer's Fiscal Year Ended
(Month/Day/Year)
06/30/20114. If Amendment, Date Original
Filed(Month/Day/Year)5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☒ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman of the Board

6. Individual or Joint/Group Reporting

(check applicable line)

SARATOGA
SPRINGS, NY 12866

(City) (State) (Zip)

☒ Form Filed by One Reporting Person
☐ Form Filed by More than One Reporting
Person**Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned**

1.Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned at end of Issuer's Fiscal Year (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)
				Amount	(A) or (D)	Price			
Common Stock - \$.33 1/3 par value	Â	Â	Â	Â	Â	Â	32,345	I	ESOP Trust
Common Stock - \$.33 1/3 par value	09/13/2011	Â	J	300	D	\$ 20.7	68,718	D	Â

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)		6. Date Exercisable and Expiration Date (Month/Day/Year)		7. Title and Amount of Underlying Securities (Instr. 3 and 4)		8. Amount or Number of Shares
					(A)	(D)	Date Exercisable	Expiration Date	Title		Amount or Number of Shares
Stock Option	\$ 17.36	Â	Â	Â	Â	Â	10/13/2007	10/13/2015	Common Stock		4,000
Stock Option	\$ 17.8	Â	Â	Â	Â	Â	05/19/2008	05/19/2016	Common Stock		4,000
Stock Option	\$ 18.29	Â	Â	Â	Â	Â	02/21/2009	02/21/2017	Common Stock		4,000
Stock Option	\$ 21.54	Â	Â	Â	Â	Â	05/23/2010	05/23/2018	Common Stock		4,000
Stock Option	\$ 17.09	Â	Â	Â	Â	Â	02/20/2011	02/20/2019	Common Stock		4,000
Stock Option	\$ 19.2	Â	Â	Â	Â	Â	08/19/2012	08/19/2020	Common Stock		1,600

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
PINSLEY HOWARD M 5 LONGWOOD DRIVE SARATOGA SPRINGS,Â NYÂ 12866	Â X	Â	Â Chairman of the Board	Â

Signatures

/s/ Howard Pinsley 08/10/2011

__Signature of Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, see Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

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Remarks:

JÂ -Â SaleÂ fromÂ IRAÂ notÂ previouslyÂ reported.

Note: File three copies of this Form, one of which must be manually signed. If space provided is insufficient, *see* Instruction 6 for procedure.
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