

LaBauve Donald J. Jr.
Form 4
February 28, 2019

FORM 4 UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

OMB Number: 3235-0287
Expires: January 31, 2015
Estimated average burden hours per response... 0.5

Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
LaBauve Donald J. Jr.

2. Issuer Name and Ticker or Trading Symbol
ALBEMARLE CORP [ALB]

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

(Last) (First) (Middle)

ALBEMARLE CORPORATION, 4250 CONGRESS STREET, SUITE 900

3. Date of Earliest Transaction (Month/Day/Year)
02/26/2019

____ Director
 Officer (give title below)
____ 10% Owner
____ Other (specify below)
VP, Corporate Controller & CAO

(Street)

4. If Amendment, Date Original Filed(Month/Day/Year)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

CHARLOTTE, NC 28209

(City) (State) (Zip)

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|--|
| | | | | (A) or (D) Code V Amount Price | | | |
| Common Stock | | | | | 9,513 | I | Albemarle Savings Plan ⁽¹⁾ |
| Common Stock | 02/26/2019 | | M | 1,326 ⁽²⁾ A \$ 91 | 7,429 | D | |
| Common Stock | 02/26/2019 | | F | 390 ⁽²⁾ D \$ 91.57 | 7,039 | D | |
| Common Stock | 02/26/2019 | | M | 664 ⁽³⁾ A \$ 0 | 7,703 | D | |
| | 02/26/2019 | | F | 193 ⁽²⁾ D | 7,510 | D | |

Edgar Filing: LaBauve Donald J. Jr. - Form 4

Common Stock \$ 91.57

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number. SEC 1474 (9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares | |
|--|--|--------------------------------------|--|--------------------------------|---|--|---|----------------------------|-------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | |
| Performance Units | (4) | 02/26/2019 | | A | 2,652 | (2) (5) | | Common Stock | 2,652 |
| Performance Units | (4) | 02/26/2019 | | M | 1,326 | (2) (5) | | Common Stock | 1,326 |
| Restricted Stock Unit | (6) | 02/26/2019 | | M | 664 | (3) (3) | | Common Stock | 664 |
| Restricted Stock Unit | (6) | 02/26/2019 | | A | 1,100 | (7) (5) | | Common Stock | 1,100 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

LaBauve Donald J. Jr.
ALBEMARLE CORPORATION
4250 CONGRESS STREET, SUITE 900
CHARLOTTE, NC 28209

VP, Corporate Controller & CAO

Signatures

/s/ Ander Krupa, 03/28/2019
Attorney-in-fact

**Signature of Reporting Person Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Portion of share increase due to periodic purchases by Albemarle Savings Plan trustee.
- (2) Performance Units granted on 2/26/2016. First 50% vested on 2/26/2019 and second 50% vests on 2/26/2020. Shares withheld to meet tax liabilities associated with such vested Performance Units.
- (3) On February 26, 2016, the reporting person was granted 1,328 restricted stock units, vesting on each of the third and fourth anniversary of the grant date in 2019 and 2020.
- (4) Each Performance Unit converts to 1 share of Common Stock.
- (5) No expiration date.
- (6) Each Restricted Stock Unit converts to 1 share of Common Stock.
- (7) One-half of the award amount earned will vest on 2/26/2022 and the remaining half will vest on 2/26/2023.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.