

GARDNER DENVER INC
Form 4
August 01, 2013

FORM 4

**UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549**

OMB APPROVAL

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STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
SCHUMACHER DIANE K

(Last) (First) (Middle)

GARDNER DENVER, INC., 1500
LIBERTY RIDGE DRIVE, SUITE
3000

(Street)

WAYNE, PA 19087

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol
GARDNER DENVER INC [GDI]

3. Date of Earliest Transaction
(Month/Day/Year)
07/30/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | | (A) or (D) Price | | | |
| Common Stock | 07/25/2013 | | G ⁽¹⁾ | V 265 D <u>(2)</u> | 43,886 | I | Schumacher 2010 Partnership Ltd. ⁽⁴⁾ |
| Common Stock | 07/30/2013 | | D | 43,886 D <u>(3)</u> | 0 | I | Schumacher 2010 Partnership Ltd. ⁽⁴⁾ |
| Common Stock | 07/30/2013 | | D | 10,276 D <u>(3)</u> | 0 | D | |

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Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Security (Instr. 3 and 4) | 8. Amount or Number of Shares | |
|---|--|--------------------------------------|--|--------------------------------|---|--|---|-------------------------------|----------------------------|
| | | | | Code | V (A) (D) | Date Exercisable | Expiration Date | Title | Amount or Number of Shares |
| Nonemployee Director Stock Option (Right-to-buy) <u>(5)</u> | \$ 28.62 | 07/30/2013 | | D | 4,400 | <u>(6)</u> | 05/06/2014 | Common Stock | 4,400 |
| Nonemployee Director Stock Option (Right-to-buy) <u>(5)</u> | \$ 49.3 | 07/30/2013 | | D | 2,500 | <u>(6)</u> | 05/05/2015 | Common Stock | 2,500 |
| Restricted Stock Units | <u>(7)</u> | 07/30/2013 | | D | 600 | <u>(8)</u> | <u>(8)</u> | Common Stock | 600 |
| Restricted Stock Units | <u>(7)</u> | 07/30/2013 | | D | 1,700 | <u>(8)</u> | <u>(8)</u> | Common Stock | 1,700 |
| Phantom Stock Units | <u>(9)</u> | 07/30/2013 | | D | 4,059.7 | <u>(10)</u> | <u>(10)</u> | Common Stock | 4,059.7 |

Reporting Owners

Reporting Owner Name / Address

Relationships

Director 10% Owner Officer Other

SCHUMACHER DIANE K
GARDNER DENVER, INC.
1500 LIBERTY RIDGE DRIVE, SUITE 3000
WAYNE, PA 19087

X

Signatures

/s/ Brent A. Walters,
Attorney-in-fact

08/01/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Bona fide gift by the Reporting Person of 265 shares to the Montini High School.
- (2) Price is not applicable to acquisitions or dispositions resulting from bona fide gifts.
- (3) Disposed of pursuant to the Agreement and Plan of Merger, dated as of March 7, 2013, by and among Gardner Denver, Inc. (the "Company"), Renaissance Parent Corp. and Renaissance Acquisition Corp. (the "Merger Agreement") in exchange for a cash payment of \$76.00 per share.
- (4) Shares held indirectly by the Schumacher 2010 Partnership Ltd. The Reporting Person and the Reporting Person's spouse are the limited partners of the Schumacher 2010 Partnership Ltd. and the Schumacher Management Trust is the general partner. The Reporting Person and the Reporting Person's spouse are the trustees and the beneficiaries of the Schumacher Management Trust.
- (5) Options granted under the Company's Long-Term Incentive Plan, as amended, a Rule 16b-3 plan.
- (6) Pursuant to the Merger Agreement, each outstanding option, whether vested or unvested, was canceled and converted into the right to receive a cash payment representing the amount, if any, by which \$76.00 exceeded the exercise price per share of common stock underlying each option.
- (7) Each restricted stock unit was the economic equivalent of one share of the Company's common stock.
- (8) Pursuant to the Merger Agreement, any vesting conditions or restrictions applicable to each restricted stock unit lapsed as of the effective time of the merger and each restricted stock unit was converted into the right to receive a cash payment of \$76.00 for each share of common stock underlying a restricted stock unit.
- (9) The security converts to common stock on a one-for-one basis.
- (10) The cash value of these units will be distributed to the director or beneficiary on the first day of the month following the date upon which the director ceases to be a director of the Company for any reason (unless otherwise elected by the director in accordance with the terms of the Phantom Plan).
- (11) Pursuant to the Merger Agreement, each phantom stock unit was converted into the right to receive a cash payment of \$76 per phantom stock unit.

Remarks:

Brent A. Walters, Attorney-in-fact for Diane K. Schumacher, pursuant to Power of Attorney dated November 12, 2009 and filed

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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