### Edgar Filing: WASHINGTON MUTUAL, INC - Form 3

#### WASHINGTON MUTUAL, INC

Form 3

March 09, 2007

## FORM 3

# UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB APPROVAL

response...

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INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting 2. Date of Event Requiring 3. Issuer Name and Ticker or Trading Symbol Person \* Statement WASHINGTON MUTUAL, INC ["WM"]  **VUOTO ANTHONY** (Month/Day/Year) 03/01/2007 (Last) (First) (Middle) 4. Relationship of Reporting 5. If Amendment, Date Original Person(s) to Issuer Filed(Month/Day/Year) 1301 SECOND AVENUE (Check all applicable) (Street) 6. Individual or Joint/Group Filing(Check Applicable Line) 10% Owner Director \_X\_ Form filed by One Reporting \_X\_\_ Officer Other Person SEATTLE, WAÂ 98101 (give title below) (specify below) EVP and Pres. WM Card Services Form filed by More than One Reporting Person (City) (State) (Zip) **Table I - Non-Derivative Securities Beneficially Owned** 2. Amount of Securities 4. Nature of Indirect Beneficial 1. Title of Security Beneficially Owned Ownership (Instr. 4) Ownership (Instr. 4) Form: (Instr. 5) Direct (D) or Indirect (I) (Instr. 5) D Â Common 110,719 Reminder: Report on a separate line for each class of securities beneficially SEC 1473 (7-02) owned directly or indirectly. Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

 $Table\ II\ -\ Derivative\ Securities\ Beneficially\ Owned\ (\textit{e.g.},\ puts,\ calls,\ warrants,\ options,\ convertible\ securities)$ 

| 1. Title of Derivative Security (Instr. 4) | ·                           |            | 3. Title and Amount of Securities Underlying Derivative Security |           | 4. Conversion or Exercise Price of | 5. Ownership Form of Derivative | 6. Nature of Indirect<br>Beneficial Ownership<br>(Instr. 5) |
|--|-----------------------------|------------|--|-----------|------------------------------------|---------------------------------|---|
|  |                             |            | (Instr. 4)   |           |                                    |                                 |   |
|  | Date Exp<br>Exercisable Dat | Expiration | Title  | Amount or | Derivative                         | Security:                       |   |
|  |                             |            |  |           | Security                           | Direct (D)                      |   |
|  |                             | Date       |  | Number of |                                    | or Indirect                     |   |

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|                             |     |               | Shares       |             | (I)<br>(Instr. 5) |   |
|-----------------------------|-----|---------------|--------------|-------------|-------------------|---|
| Stock Option (Right to Buy) | (1) | 03/06/2013 Co | ommon 67,500 | \$ 12.84    | D                 | Â |
| Stock Option (Right to Buy) | (1) | 04/29/2013 Co | ommon 112,50 | 00 \$ 16.45 | D                 | Â |
| Stock Option (Right to Buy) | (1) | 01/27/2014 Co | ommon 44,280 | \$ 29.54    | D                 | Â |
| Stock Option (Right to Buy) | (1) | 01/25/2015 Co | ommon 38,925 | \$ 36.73    | D                 | Â |
| Stock Option (Right to Buy) | (2) | 10/03/2015 Co | ommon 30,654 | \$ 39.22    | D                 | Â |
| Stock Option (Right to Buy) | (2) | 01/20/2016 Co | ommon 44,444 | 4 \$ 43.33  | D                 | Â |
| Stock Option (Right to Buy) | (2) | 01/19/2017 Co | ommon 49,505 | 5 \$ 44.67  | D                 | Â |
| Stock Option (Right to Buy) | (2) | 02/27/2017 Co | ommon 15,500 | \$ 42.36    | D                 | Â |

## **Reporting Owners**

| Reporting Owner Name / Address                           | Relationships |            |                                      |       |  |
|--|---------------|------------|--------------------------------------|-------|--|
| Treporting of their trainer training                     | Director      | 10% Owner  | Officer                              | Other |  |
| VUOTO ANTHONY<br>1301 SECOND AVENUE<br>SEATTLE, WA 98101 | Â             | Â          | EVP and<br>Pres. WM<br>Card Services | Â     |  |
| Signatures   |               |            |                                      |       |  |
| By: /s/ Christopher J. Bellavia,<br>Attorney-in-Fact     |               | 03/09/2007 |                                      |       |  |

\*\*Signature of Reporting Person

## **Explanation of Responses:**

- \* If the form is filed by more than one reporting person, see Instruction 5(b)(v).
- \*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Option granted pursuant to the Washington Mutual, Inc. Amended and Restated 2003 Equity Incentive Plan; including predecessor plans. One-third vested annually beginning on the first anniversary of the grant date.

Date

(2) Option granted pursuant to the Washington Mutual, Inc. Amended and Restated 2003 Equity Incentive Plan. One-third vests annually beginning on the first anniversary of the grant date.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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