## STATE STREET CORP Form 3 February 17, 2017 FORM 3 UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549 OMB approval

### INITIAL STATEMENT OF BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person <u>*</u> Horgan Kathryn M			2. Date of Event Requiring Statement (Month/Day/Year)	3. Issuer Name and Ticker or Trading Symbol STATE STREET CORP [STT]				
(Last)	(First)	(Middle)	02/13/2017	4. Relationshi Person(s) to I	ip of Reporting ssuer	g 5. If Amendment, Date Origin Filed(Month/Day/Year)	al	
STATE STR CORPORA LINCOLN S	TION, ON STREET	١E		(Check all applicable)		Owner		
(Street) BOSTON, MA 02111				X_OfficerOther (give title below) (specify below) Executive Vice President		low) ent _X_ Form filed by One Reporting Person		
(City)	(State)	(Zip)	Table I - N	Jon-Derivat	ive Securiti	Form filed by More than One Reporting Person		
1.Title of Secu (Instr. 4)	rity		2. Amount o Beneficially (Instr. 4)	f Securities	3. Ownership Form: Direct (D) or Indirect (I) (Instr. 5)	4. Nature of Indirect Beneficial Ownership (Instr. 5)		
Common St	ock		52,398		D	Â		
Reminder: Rep owned directly	or indirectly.		ach class of securities benefic:	<sup>ially</sup> S	EC 1473 (7-02	2)		
	inform require	ation conta ed to respo	ained in this form are not and unless the form displ MB control number.					
1	<b>Table II - Der</b>	ivative Secu	rities Beneficially Owned (e.	.g., puts, calls.	warrants, opt	otions, convertible securities)		

### 1. Title of Derivative Security 2. Date Exercisable and 3. Title and Amount of 4. 5. 6. Nature of Indirect (Instr. 4) **Expiration Date** Securities Underlying Conversion Ownership **Beneficial Ownership** (Month/Day/Year) **Derivative Security** or Exercise Form of (Instr. 5) (Instr. 4) Price of Derivative Derivative Security:

3235-0104

January 31,

2005

0.5

Number:

Expires:

response...

Estimated average burden hours per

## Edgar Filing: STATE STREET CORP - Form 3

Date	Expiration	Title	Amount or	Security	Direct (D)
Exercisable	Date		Number of		or Indirect
			Shares		(I)
					(Instr. 5)

# **Reporting Owners**

Reporting Owner Name / Address	Relationships					
	Director	10% Owner	Officer	Other		
Horgan Kathryn M STATE STREET CORPORATION ONE LINCOLN STREET BOSTON, MA 02111	Â	Â	Executive Vice President	Â		
Signatures						
/s/ Shannon C. Stanley, Attorney-in-fact	0	2/17/2017				
**Signature of Reporting Person		Date				
Explanation of Responses:						

\* If the form is filed by more than one reporting person, *see* Instruction 5(b)(v).

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *See* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.