

Edgar Filing: Prudential Short Duration High Yield Fund, Inc. - Form NSAR-A

Prudential Short Duration High Yield Fund, Inc.

Form NSAR-A

January 25, 2018

PAGE 1  
000 A000000 11/30/2017  
000 C000000 0001534880  
000 D000000 N  
000 E000000 NF  
000 F000000 Y  
000 G000000 N  
000 H000000 N  
000 I000000 6.1  
000 J000000 A  
001 A000000 Prudential Short Duration High Yield Fund Inc  
001 B000000 811-22632  
001 C000000 9733671220  
002 A000000 655 Broad Street  
002 B000000 NEWARK  
002 C000000 NJ  
002 D010000 07102  
003 000000 N  
004 000000 N  
005 000000 N  
006 000000 N  
007 A000000 N  
007 B000000 0  
007 C010100 1  
008 A000001 PGIM INVESTMENTS LLC  
008 B000001 A  
008 C000001 801-31104  
008 D010001 NEWARK  
008 D020001 NJ  
008 D030001 07102  
008 A000002 PGIM, INC.  
008 B000002 S  
008 C000002 801-22808  
008 D010002 NEWARK  
008 D020002 NJ  
008 D030002 07102  
010 A000001 PGIM INVESTMENTS LLC  
010 B000001 801-31104  
010 C010001 NEWARK  
010 C020001 NJ  
010 C030001 07102  
012 A000001 COMPUTERSHARE TRUST COMPANY, N.A.  
012 B000001 84-0000000  
012 C010001 PROVIDENCE  
012 C020001 RI  
012 C030001 02940  
012 C040001 3011  
013 A000001 KPMG LLP  
013 B010001 NEW YORK  
013 B020001 NY  
013 B030001 10154  
015 A000001 THE BANK OF NEW YORK MELLON

PAGE 2  
015 B000001 C  
015 C010001 NEW YORK  
015 C020001 NY  
015 C030001 10286  
015 E010001 X  
015 A000002 CITIBANK, N.A.

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015 B000002 S  
 015 C010002 BUENOS AIRES  
 015 D010002 ARGENTINA  
 015 E040002 X  
 015 A000003 HONGKONG & SHANGHAI BANKING CORP LTD  
 015 B000003 S  
 015 C010003 PARRAMATTA  
 015 D010003 AUSTRALIA  
 015 E040003 X  
 015 A000004 CIBC MELLON TRUST COMPANY  
 015 B000004 S  
 015 C010004 TORONTO  
 015 D010004 CANADA  
 015 E040004 X  
 015 A000005 EUROCLEAR BANK  
 015 B000005 S  
 015 C010005 BRUSSELS  
 015 D010005 BELGIUM  
 015 E040005 X  
 015 A000006 DELETE  
 015 A000007 THE BANK OF NEW YORK MELLON SA/NV  
 015 B000007 S  
 015 C010007 FRANKFURT  
 015 D010007 GERMANY  
 015 E040007 X  
 015 A000008 THE BANK OF NEW YORK MELLON SA/NV  
 015 B000008 S  
 015 C010008 BRUSSELS  
 015 D010008 BELGIUM  
 015 E040008 X  
 015 A000009 DBS BANK LTD  
 015 B000009 S  
 015 C010009 SINGAPORE  
 015 D010009 SINGAPORE  
 015 E040009 X  
 015 A000010 THE BANK OF NEW YORK MELLON  
 015 B000010 S  
 015 C010010 LONDON  
 015 D010010 UNITED KINGDOM  
 015 E040010 X  
 015 A000011 CITIBANK, N.A.  
 015 B000011 S  
 015 C010011 SAO PAULO  
 015 D010011 BRAZIL  
 015 E040011 X  
 PAGE 3  
 018 000000 Y  
 019 A000000 Y  
 019 B000000 196  
 019 C000000 PRUDENTIAL  
 021 000000 0  
 022 A000001 CREDIT SUISSE SECURITIES (USA) LLC  
 022 B000001 13-5659485  
 022 C000001 58607  
 022 D000001 26521  
 022 A000002 CITIGROUP GLOBAL MARKETS, INC.  
 022 B000002 11-2418191  
 022 C000002 71791  
 022 D000002 12439  
 022 A000003 JPMORGAN CHASE & CO.  
 022 B000003 13-2624428  
 022 C000003 62589

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|     |         |                                |
|-----|---------|--------------------------------|
| 022 | D000003 | 7654                           |
| 022 | A000004 | GOLDMAN SACHS & CO.            |
| 022 | B000004 | 13-5108880                     |
| 022 | C000004 | 36095                          |
| 022 | D000004 | 26972                          |
| 022 | A000005 | MORGAN STANLEY & CO. LLC       |
| 022 | B000005 | 13-2655998                     |
| 022 | C000005 | 46995                          |
| 022 | D000005 | 14549                          |
| 022 | A000006 | BANC OF AMERICA SECURITIES LLC |
| 022 | B000006 | 56-2058405                     |
| 022 | C000006 | 52357                          |
| 022 | D000006 | 7772                           |
| 022 | A000007 | DEUTSCHE BANK SECURITIES, INC. |
| 022 | B000007 | 13-2730828                     |
| 022 | C000007 | 30458                          |
| 022 | D000007 | 23853                          |
| 022 | A000008 | BARCLAYS CAPITAL, INC.         |
| 022 | B000008 | 06-1031656                     |
| 022 | C000008 | 33201                          |
| 022 | D000008 | 7356                           |
| 022 | A000009 | WELLS FARGO SECURITIES LLC     |
| 022 | B000009 | 56-2326000                     |
| 022 | C000009 | 24079                          |
| 022 | D000009 | 5942                           |
| 022 | A000010 | ROBERT W BAIRD & CO. INC.      |
| 022 | B000010 | 39-6037917                     |
| 022 | C000010 | 15462                          |
| 022 | D000010 | 13364                          |
| 023 | C000000 | 494459                         |
| 023 | D000000 | 283346                         |
| 024 | 000000  | N                              |
| 026 | A000000 | N                              |
| 026 | B000000 | N                              |
| 026 | C000000 | N                              |
|     | PAGE    | 4                              |
| 026 | D000000 | Y                              |
| 026 | E000000 | N                              |
| 026 | F000000 | N                              |
| 026 | G010000 | N                              |
| 026 | G020000 | N                              |
| 026 | H000000 | N                              |
| 027 | 000000  | N                              |
| 028 | A010000 | 0                              |
| 028 | A020000 | 0                              |
| 028 | A030000 | 0                              |
| 028 | A040000 | 0                              |
| 028 | B010000 | 0                              |
| 028 | B020000 | 0                              |
| 028 | B030000 | 0                              |
| 028 | B040000 | 0                              |
| 028 | C010000 | 0                              |
| 028 | C020000 | 0                              |
| 028 | C030000 | 0                              |
| 028 | C040000 | 0                              |
| 028 | D010000 | 0                              |
| 028 | D020000 | 0                              |
| 028 | D030000 | 0                              |
| 028 | D040000 | 0                              |
| 028 | E010000 | 0                              |
| 028 | E020000 | 0                              |
| 028 | E030000 | 0                              |

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|     |         |       |
|-----|---------|-------|
| 028 | E040000 | 0     |
| 028 | F010000 | 0     |
| 028 | F020000 | 0     |
| 028 | F030000 | 0     |
| 028 | F040000 | 0     |
| 028 | G010000 | 0     |
| 028 | G020000 | 0     |
| 028 | G030000 | 0     |
| 028 | G040000 | 0     |
| 028 | H000000 | 0     |
| 030 | A000000 | 0     |
| 030 | B000000 | 0.00  |
| 030 | C000000 | 0.00  |
| 031 | A000000 | 0     |
| 031 | B000000 | 0     |
| 032 | 000000  | 0     |
| 033 | 000000  | 0     |
| 035 | 000000  | 0     |
| 036 | B000000 | 0     |
| 038 | 000000  | 0     |
| 042 | A000000 | 0     |
| 042 | B000000 | 0     |
| 042 | C000000 | 0     |
| 042 | D000000 | 0     |
| 042 | E000000 | 0     |
|     | PAGE 5  |       |
| 042 | F000000 | 0     |
| 042 | G000000 | 0     |
| 042 | H000000 | 0     |
| 043 | 000000  | 0     |
| 044 | 000000  | 0     |
| 045 | 000000  | Y     |
| 046 | 000000  | N     |
| 047 | 000000  | Y     |
| 048 | 000000  | 0.800 |
| 048 | A010000 | 0     |
| 048 | A020000 | 0.000 |
| 048 | B010000 | 0     |
| 048 | B020000 | 0.000 |
| 048 | C010000 | 0     |
| 048 | C020000 | 0.000 |
| 048 | D010000 | 0     |
| 048 | D020000 | 0.000 |
| 048 | E010000 | 0     |
| 048 | E020000 | 0.000 |
| 048 | F010000 | 0     |
| 048 | F020000 | 0.000 |
| 048 | G010000 | 0     |
| 048 | G020000 | 0.000 |
| 048 | H010000 | 0     |
| 048 | H020000 | 0.000 |
| 048 | I010000 | 0     |
| 048 | I020000 | 0.000 |
| 048 | J010000 | 0     |
| 048 | J020000 | 0.000 |
| 048 | K010000 | 0     |
| 048 | K020000 | 0.000 |
| 049 | 000000  | N     |
| 050 | 000000  | N     |
| 051 | 000000  | N     |
| 052 | 000000  | N     |
| 053 | A000000 | N     |

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|     |         |   |       |
|-----|---------|---|-------|
| 054 | A000000 | Y |       |
| 054 | B000000 | Y |       |
| 054 | C000000 | N |       |
| 054 | D000000 | N |       |
| 054 | E000000 | N |       |
| 054 | F000000 | N |       |
| 054 | G000000 | Y |       |
| 054 | H000000 | Y |       |
| 054 | I000000 | N |       |
| 054 | J000000 | Y |       |
| 054 | K000000 | Y |       |
| 054 | L000000 | N |       |
| 054 | M000000 | Y |       |
| 054 | N000000 | Y |       |
| 054 | O000000 | Y |       |
|     | PAGE    | 6 |       |
| 055 | A000000 | N |       |
| 055 | B000000 | Y |       |
| 056 | 000000  | Y |       |
| 057 | 000000  | N |       |
| 058 | A000000 | N |       |
| 059 | 000000  | Y |       |
| 060 | A000000 | Y |       |
| 060 | B000000 | Y |       |
| 061 | 000000  |   | 0     |
| 062 | A000000 | Y |       |
| 062 | B000000 |   | 0.0   |
| 062 | C000000 |   | 0.0   |
| 062 | D000000 |   | 0.0   |
| 062 | E000000 |   | 0.0   |
| 062 | F000000 |   | 0.0   |
| 062 | G000000 |   | 0.0   |
| 062 | H000000 |   | 0.0   |
| 062 | I000000 |   | 0.0   |
| 062 | J000000 |   | 0.0   |
| 062 | K000000 |   | 0.0   |
| 062 | L000000 |   | 0.0   |
| 062 | M000000 |   | 0.0   |
| 062 | N000000 |   | 0.0   |
| 062 | O000000 |   | 0.0   |
| 062 | P000000 |   | 118.4 |
| 062 | Q000000 |   | 8.4   |
| 062 | R000000 |   | 2.8   |
| 063 | A000000 |   | 0     |
| 063 | B000000 |   | 2.8   |
| 064 | A000000 | N |       |
| 064 | B000000 | Y |       |
| 065 | 000000  | N |       |
| 066 | A000000 | N |       |
| 067 | 000000  | N |       |
| 068 | A000000 | N |       |
| 068 | B000000 | N |       |
| 069 | 000000  | N |       |
| 070 | A010000 | Y |       |
| 070 | A020000 | N |       |
| 070 | B010000 | Y |       |
| 070 | B020000 | N |       |
| 070 | C010000 | Y |       |
| 070 | C020000 | N |       |
| 070 | D010000 | Y |       |
| 070 | D020000 | N |       |
| 070 | E010000 | Y |       |

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|       |         |   |        |
|-------|---------|---|--------|
| 070   | E020000 | N |        |
| 070   | F010000 | Y |        |
| 070   | F020000 | N |        |
| 070   | G010000 | Y |        |
| 070   | G020000 | N |        |
|       | PAGE    | 7 |        |
| 070   | H010000 | Y |        |
| 070   | H020000 | N |        |
| 070   | I010000 | Y |        |
| 070   | I020000 | N |        |
| 070   | J010000 | Y |        |
| 070   | J020000 | Y |        |
| 070   | K010000 | Y |        |
| 070   | K020000 | Y |        |
| 070   | L010000 | Y |        |
| 070   | L020000 | Y |        |
| 070   | M010000 | Y |        |
| 070   | M020000 | Y |        |
| 070   | N010000 | Y |        |
| 070   | N020000 | Y |        |
| 070   | O010000 | Y |        |
| 070   | O020000 | Y |        |
| 070   | P010000 | Y |        |
| 070   | P020000 | N |        |
| 070   | Q010000 | N |        |
| 070   | Q020000 | N |        |
| 070   | R010000 | Y |        |
| 070   | R020000 | N |        |
| 071   | A000000 |   | 247214 |
| 071   | B000000 |   | 262954 |
| 071   | C000000 |   | 711122 |
| 071   | D000000 |   | 35     |
| 072   | A000000 | 6 |        |
| 072   | B000000 |   | 20162  |
| 072   | C000000 |   | 108    |
| 072   | D000000 |   | 0      |
| 072   | E000000 |   | 39     |
| 072   | F000000 |   | 2942   |
| 072   | G000000 |   | 0      |
| 072   | H000000 |   | 0      |
| 072   | I000000 |   | 10     |
| 072   | J000000 |   | 63     |
| 072   | K000000 |   | 0      |
| 072   | L000000 |   | 35     |
| 072   | M000000 |   | 21     |
| 072   | N000000 |   | 17     |
| 072   | O000000 |   | 0      |
| 072   | P000000 |   | 1774   |
| 072   | Q000000 |   | 0      |
| 072   | R000000 |   | 22     |
| 072   | S000000 |   | 28     |
| 072   | T000000 |   | 0      |
| 072   | U000000 |   | 0      |
| 072   | V000000 |   | 0      |
| 072   | W000000 |   | 8      |
| 072   | X000000 |   | 4920   |
| 072   | Y000000 |   | 0      |
|       | PAGE    | 8 |        |
| 072   | Z000000 |   | 15389  |
| 072AA | 000000  |   | 9010   |
| 072BB | 000000  |   | 9359   |
| 072CC | 010000  |   | 0      |

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|             |              |
|-------------|--------------|
| 072CC020000 | 6128         |
| 072DD010000 | 18457        |
| 072DD020000 | 0            |
| 072EE000000 | 0            |
| 073 A010000 | 0.5550       |
| 073 A020000 | 0.0000       |
| 073 B000000 | 0.0000       |
| 073 C000000 | 0.0000       |
| 074 A000000 | 541          |
| 074 B000000 | 0            |
| 074 C000000 | 0            |
| 074 D000000 | 697914       |
| 074 E000000 | 0            |
| 074 F000000 | 30           |
| 074 G000000 | 0            |
| 074 H000000 | 0            |
| 074 I000000 | 15673        |
| 074 J000000 | 750          |
| 074 K000000 | 0            |
| 074 L000000 | 11273        |
| 074 M000000 | 6            |
| 074 N000000 | 726187       |
| 074 O000000 | 4671         |
| 074 P000000 | 474          |
| 074 Q000000 | 0            |
| 074 R010000 | 0            |
| 074 R020000 | 0            |
| 074 R030000 | 0            |
| 074 R040000 | 170517       |
| 074 S000000 | 0            |
| 074 T000000 | 550525       |
| 074 U010000 | 33257        |
| 074 U020000 | 0            |
| 074 V010000 | 16.55        |
| 074 V020000 | 0.00         |
| 074 W000000 | 0.0000       |
| 074 X000000 | 1            |
| 074 Y000000 | 367419       |
| 075 A000000 | 0            |
| 075 B000000 | 556459       |
| 076 000000  | 14.75        |
| 077 A000000 | N            |
| 078 000000  | N            |
| 080 C000000 | 0            |
| 081 B000000 | 0            |
| 082 B000000 | 0            |
| 083 B000000 | 0            |
| PAGE 9      |              |
| 084 B000000 | 0            |
| 086 A010000 | 0            |
| 086 A020000 | 0            |
| 086 B010000 | 0            |
| 086 B020000 | 0            |
| 086 C010000 | 0            |
| 086 C020000 | 0            |
| 086 D010000 | 0            |
| 086 D020000 | 0            |
| 086 E010000 | 0            |
| 086 E020000 | 0            |
| 086 F010000 | 0            |
| 086 F020000 | 0            |
| 087 A010000 | Common Stock |

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087 A020000 74442F107

087 A030000 ISD

088 A000000 N

088 B000000 N

088 C000000 N

088 D000000 N

SIGNATURE JOSEPH ALESI

TITLE DIRECTOR