

# Edgar Filing: TWEETER HOME ENTERTAINMENT GROUP INC - Form 5/A

TWEETER HOME ENTERTAINMENT GROUP INC

Form 5/A

February 08, 2002

FORM 5

UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
WASHINGTON, DC 20549

## STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

/ / CHECK BOX IF NO  
LONGER SUBJECT TO  
SECTION 16. FORM 4  
OR FORM 5 OBLIGATIONS  
MAY CONTINUE. SEE  
INSTRUCTION 1(b).

Filed pursuant to Section 16(a) of the Securities  
Exchange Act of 1934, Section 17(a) of the  
Public Utility Holding Company Act of 1935  
or Section 30(f) of the Investment Company  
Act of 1940

/ / FORM 3 HOLDINGS REPORTED  
/ / FORM 4 TRANSACTIONS REPORTED

|                                          |               |          |                                             |                  |
|------------------------------------------|---------------|----------|---------------------------------------------|------------------|
| 1. Name and Address of Reporting Person* |               |          | 2. Issuer Name and Ticker or Trading Symbol | 6. R             |
| McGuire                                  | Joseph        | G        | Tweeter Home Entertainment Group, Inc. TWTR |                  |
| (Last)                                   | (First)       | (Middle) |                                             |                  |
|                                          | 40 Pequot Way |          | 3. IRS Identification                       | 4. Statement for |
|                                          | (Street)      |          | Number of Reporting                         | Month/Year       |
| Canton,                                  | MA            | 02021    | Person, if an Entity                        | 09/01            |
| (City)                                   | (State)       | (Zip)    | (Voluntary)                                 |                  |
|                                          |               |          |                                             | 5. If Amendment, |
|                                          |               |          |                                             | Date of Original |
|                                          |               |          |                                             | (Month/Year)     |
|                                          |               |          |                                             | 11/01            |
|                                          |               |          |                                             | 7.               |
|                                          |               |          |                                             | (                |

TABLE 1 -- NON-DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIAL

| 1. Title of Security<br>(Instr. 3) | 2. Trans-<br>action<br>Date<br><br>(Month/<br>Day/<br>Year) | 3. Transac-<br>tion<br>Code<br>(Instr. 8) | 4. Securities Acquired (A)<br>or Disposed of (D)<br>(Instr. 3, 4 and 5) | 5. Amount o<br>curities<br>cially O<br>End of M<br>(Instr. |
|------------------------------------|-------------------------------------------------------------|-------------------------------------------|-------------------------------------------------------------------------|------------------------------------------------------------|
|                                    |                                                             |                                           | Amount (A) or Price (D)                                                 |                                                            |
| Common Stock                       | 10/27/00                                                    | P                                         | 1,312 A 3.62                                                            | 25,                                                        |
| Common Stock                       | 5/22/01                                                     | G(1)                                      | 1,480 D 28.65                                                           | 25,                                                        |
| Common Stock                       | 8/08/01                                                     | G(2)                                      | 710 D 27.645                                                            | 25,                                                        |
| Common Stock                       | 8/08/01                                                     | G(3)                                      | 710 D 27.645                                                            | 25,                                                        |

# Edgar Filing: TWEETER HOME ENTERTAINMENT GROUP INC - Form 5/A

|              |         |   |     |   |        |
|--------------|---------|---|-----|---|--------|
| Common Stock | 8/08/01 | G | 710 | A | 27.645 |
|--------------|---------|---|-----|---|--------|

|              |         |   |     |   |        |
|--------------|---------|---|-----|---|--------|
| Common Stock | 8/08/01 | G | 710 | A | 27.645 |
|--------------|---------|---|-----|---|--------|

\*If the Form is filed by more than one Reporting Person, see Instruction 4(b)(v).

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

(Print or Type Response)

FORM 5 (CONTINUED)

TABLE II -- DERIVATIVE SECURITIES ACQUIRED, DISPOSED OF, OR BENEFICIALLY OWNED (E.G., PUTS, CALLS, WARRANTS, OPTIONS, CONVERTIBLE SECURITIES)

| 1. Title of Derivative Security<br>(Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date<br>(Month/Day/Year) | 4. Transaction Code<br>(Instr. 8) | 5. Number of Derivative Securities Acquired (A) or Disposed of (D)<br>(Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date<br>(Month/Day/Year) | 7. |
|-----------------------------------------------|--------------------------------------------------------|-----------------------------------------|-----------------------------------|--------------------------------------------------------------------------------------------|-------------------------------------------------------------|----|
|                                               |                                                        |                                         |                                   | (A) (D)                                                                                    | Date Exercisable Date Expiration                            |    |
| Option                                        | 3.62                                                   | 10/27/00                                | X                                 | 1,312                                                                                      |                                                             |    |
| Option                                        | 12.97                                                  | 9/27/01                                 | J(4)                              | 25,000                                                                                     | 9/27/02 9/27/07                                             |    |

| 1. Title of Derivative Security<br>(Instr. 3) | 9. Number of Derivative Securities | 10. Ownership Form of Derivative | 11. Nature of Indirect Beneficial |
|-----------------------------------------------|------------------------------------|----------------------------------|-----------------------------------|
|-----------------------------------------------|------------------------------------|----------------------------------|-----------------------------------|

# Edgar Filing: TWEETER HOME ENTERTAINMENT GROUP INC - Form 5/A

| Beneficially<br>Owned at End<br>of Month<br>(Instr. 4) | Security:<br>Direct (D)<br>or Indirect (I)<br>(Instr. 4) | Ownership<br>(Instr. 4) |
|--------------------------------------------------------|----------------------------------------------------------|-------------------------|
| 82,400                                                 | D                                                        |                         |
| 82,400                                                 | D                                                        |                         |
|                                                        |                                                          |                         |
|                                                        |                                                          |                         |
|                                                        |                                                          |                         |

## Explanation of Responses:

- (1) Gift of 1,480 shares to Bethany Congregational Church, Foxboro, MA.
- (2) Gift to Trust dated 4/16/93 f/b/o Meghan Kelly McGuire. Mr. McGuire and his spouse are trustees of this trust. Mr. McGuire disclaims beneficial ownership of such shares.
- (3) Gift to Trust dated 4/6/95 f/b/o Abigail Jean McGuire. Mr. McGuire and his spouse are trustees of this trust. Mr. McGuire disclaims beneficial ownership of such shares.
- (4) The 25,000 option shares granted 9/27/2001 are subject to an annual vesting schedule, to be fully vested by 9/27/2004.

\*\*Intentional misstatements or omissions of facts constitute Federal Criminal Violations.  
See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

/s/

---

\*\*Si

Note. File three copies of this form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.