

Edgar Filing: SYNERGY TECHNOLOGIES CORP - Form 5

SYNERGY TECHNOLOGIES CORP

Form 5

December 19, 2001

FORM 5

☐ Check this box if no longer subject  
to Section 16. Form 4 or Form 5  
obligations may continue. See  
Instructions 1(b).

☐ Form 3 Holdings Reported

☒ Form 4 Transactions Reported

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OMB APPROVAL  
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UNITED STATES SECURITIES AND EXCHANGE COMMISSION  
Washington, D.C. 20549

ANNUAL STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,  
Section 17(a) of the Public Utility Holding Company Act of 1935 or  
Section 30(f) of the Investment Company Act of 1940

1. Name and Address of Reporting Person

Cernovitch Marc

-----  
(Last) (First) (Middle)

c/o 335 - 25 Street S.E.

-----  
(Street)

Calgary Alberta Canada T2A 7H8

-----  
(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

Synergy Technologies Corporation  
"OILS"

3. IRS or Social Security Number of Reporting Person (Voluntary)

4. Statement for Month/Year

December 2001

5. If Amendment, Date of Original (Month/Year)

6. Relationship of Reporting Person to Issuer  
(Check all applicable)

☐ 10% Owner

☐ Director

[X] Officer (Give Title Below)  
[ ] Other (Specify Below)

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[X] Form Filed by One Reporting Person  
[ ] Form Filed by More than One Reporting Person

| 1.           | 2.            | 3.         | 4.                      |        |        | 5.           |
|--------------|---------------|------------|-------------------------|--------|--------|--------------|
|              |               |            |                         |        |        |              |
|              |               |            |                         |        |        | Amount of    |
|              | Trans-        |            | Securities Acquired (A) |        |        | Securities   |
|              | action        |            | or Disposed of (D)      |        |        | Beneficially |
|              | Date          | Trans-     | (Instr. 3, 4 and 5)     |        |        | Owned at     |
| Title of     | (Month/       | action     |                         |        |        | End of       |
| Security     | Day/          | Code       | Amount                  | (A) or | Price  | Month        |
| (Instr. 3)   | Year)         | (Instr. 8) |                         | (D)    |        | (Instr.      |
|              |               |            |                         |        |        | 3 and 4)     |
|              |               |            |                         |        |        |              |
| Common Stock |               |            |                         |        |        | 247,936      |
| \$0.002      | June 30, 2001 |            | 74,400                  | A      | \$0.65 | 549,600      |

TABLE II--Derivative Securities Acquired, Disposed of, or Beneficially Owned  
(e.g. puts, calls, warrants, options, convertible securities)

| 1.                     | 2.                                           | 3.                                   | 4.                  | 5.                                                                                                       | 6.                                                                   | 7.                                                                        | 8.                                              |
|------------------------|----------------------------------------------|--------------------------------------|---------------------|----------------------------------------------------------------------------------------------------------|----------------------------------------------------------------------|---------------------------------------------------------------------------|-------------------------------------------------|
| Title of<br>Derivative | Conversion or<br>Exercise Price of<br>Deriv- | Transaction<br>Date (Month/<br>Year) | Transaction<br>Code | Number of<br>Derivative<br>Securities<br>Acquired (A)<br>or Disposed<br>of (D)<br>(Instr. 3,<br>4 and 5) | Date Exer-<br>cisable and<br>Expiration<br>Date (Month/<br>Day/Year) | Title and<br>Amount of<br>Underlying<br>Securities<br>(Instr. 3<br>and 4) | Price<br>of<br>Deriv-<br>ative<br>Secur-<br>ity |
|                        |                                              |                                      |                     |                                                                                                          | Date Exer-      Expir-                                               | Amount<br>or Num-                                                         |                                                 |

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| Security<br>(Instr. 3)            | ative<br>Security | Day/<br>Year) | (Instr.<br>8) | -----<br>(A) (D) | cis-<br>able | ation<br>Date | Title | ber of<br>Shares | (Instr.<br>5) |
|-----------------------------------|-------------------|---------------|---------------|------------------|--------------|---------------|-------|------------------|---------------|
| Options to<br>Purchase<br>Shares  |                   |               |               |                  | 01/05/00     | 01/04/10      |       |                  | \$1.00        |
| Warrants to<br>Purchase<br>Shares |                   |               |               |                  | 02/18/01     | 02/17/03      |       |                  | \$1.00        |
|                                   |                   |               |               |                  | 02/08/01     | 04/07/03      |       |                  | \$1.00        |
|                                   |                   |               |               |                  | 06/30/01     | 06/29/03      |       |                  | \$1.30        |

## Explanation of Responses:

|                                  |                   |
|----------------------------------|-------------------|
| /s/ Marc Cernovitch              | December 14, 2001 |
| -----                            | -----             |
| ** Signature of Reporting Person | Date              |

\*\* Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

Note: File three copies of this Form, one of which must be manually signed.  
If space provided is insufficient, see Instruction 6 for procedure.