

EMERSON ELECTRIC CO

Form 4

December 20, 2013

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

OMB APPROVAL

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Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF SECURITIES

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

(Print or Type Responses)

1. Name and Address of Reporting Person *
STEEVES FRANK L

(Last) (First) (Middle)

C/O EMERSON ELECTRIC
CO., 8000 W. FLORISSANT AVE.

(Street)

ST. LOUIS, MO 63136

(City) (State) (Zip)

2. Issuer Name and Ticker or Trading Symbol

EMERSON ELECTRIC CO [EMR]

3. Date of Earliest Transaction
(Month/Day/Year)

12/18/2013

4. If Amendment, Date Original Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to Issuer

(Check all applicable)

Director 10% Owner
 Officer (give title below) Other (specify below)
Exec. VP, Secy & Gen. Counsel

6. Individual or Joint/Group Filing(Check Applicable Line)
 Form filed by One Reporting Person
 Form filed by More than One Reporting Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

| 1. Title of Security (Instr. 3) | 2. Transaction Date (Month/Day/Year) | 2A. Deemed Execution Date, if any (Month/Day/Year) | 3. Transaction Code (Instr. 8) | 4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5) | 5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4) | 6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4) | 7. Nature of Indirect Beneficial Ownership (Instr. 4) |
|---------------------------------|--------------------------------------|--|--------------------------------|---|---|--|---|
| | | | Code | V Amount (A) or (D) Price | | | |
| Common Stock | 12/03/2013 | | G ⁽¹⁾ | V 1,000 (1) | D 115,725 | D | |
| Common Stock | 12/13/2013 | | G ⁽²⁾ | V 1,000 (2) | D 114,725 | D | |
| Common Stock | 12/18/2013 | | S | 3,000 | D \$ 67 111,725 | D | |
| Common Stock | 12/19/2013 | | M ⁽⁴⁾ | 1,875 (4) | A \$ 53.31 113,600 | D | |
| Common Stock | 12/19/2013 | | M ⁽⁴⁾ | 6,990 (4) | A \$ 42.91 120,590 | D | |

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| | | | |
|--------------|-----------|---|--------------------|
| Common Stock | 1,030.462 | I | 401(k) plan |
| Common Stock | 3,125.419 | I | 401(k) excess plan |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transaction Code (Instr. 8) | 5. Number of Derivative Securities (A) or Disposed of (D) (Instr. 3, 4, and 5) | 6. Date Exercisable and Expiration Date (Month/Day/Year) | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | Amount or Number of Shares |
|--|--|--------------------------------------|--|--------------------------------|--|--|---|----------------------------|
| Employee Stock Option (Right to Buy) | \$ 53.31 | 12/19/2013 | | M ⁽⁴⁾ | 1,875 ₍₄₎ | 10/04/2011 ⁽⁵⁾ 10/04/2020 | Common Stock | 1,875 |
| Employee Stock Option (Right to Buy) | \$ 42.91 | 12/19/2013 | | M ⁽⁴⁾ | 6,990 ₍₄₎ | 04/03/2008 ⁽⁵⁾ 04/03/2017 | Common Stock | 6,990 |

Reporting Owners

| Reporting Owner Name / Address | Relationships | | | |
|---|---------------|-----------|-------------------------------|-------|
| | Director | 10% Owner | Officer | Other |
| STEEVES FRANK L C/O EMERSON ELECTRIC CO. 8000 W. FLORISSANT AVE. ST. LOUIS, MO 63136 | | | Exec. VP, Secy & Gen. Counsel | |

Signatures

/s/ John G. Shively, Attorney-in-Fact for Frank L.
Steeves

12/20/2013

__Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- (1) Bona fide gift of 1,000 shares to Reporting Person's daughter.
- (2) Bona fide gift by the Reporting Person of 1,000 shares to the Emerson Directors' and Officers' Charitable Trust.
- (3) Price is not applicable to acquisitions or dispositions resulting from bona fide gifts.
- (4) Exercise of incentive stock options exempt under Rule 16b-3.

When taken together with any previously exercised options and the unexercised options reported in this Form 4 having the same grant

- (5) date, exercise price and expiration date, all such options together vested in three equal annual installments beginning on the date indicated.
- (6) Price is not applicable to stock options received as incentive compensation.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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