

CARNIVAL CORP
Form 4
October 08, 2004

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION
Washington, D.C. 20549

Check this box
if no longer
subject to
Section 16.
Form 4 or
Form 5
obligations
may continue.
See Instruction
1(b).

**STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF
SECURITIES**

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,
Section 17(a) of the Public Utility Holding Company Act of 1935 or Section
30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB
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(Print or Type Responses)

1. Name and Address of Reporting Person *
ARISON MICKY MEIR

(Last) (First) (Middle)

3655 N.W. 87 AVENUE

(Street)

MIAMI, FL 33178-2428

(City) (State) (Zip)

2. Issuer Name **and** Ticker or Trading
Symbol

CARNIVAL CORP [CCL]

3. Date of Earliest Transaction
(Month/Day/Year)

10/06/2004

4. If Amendment, Date Original
Filed(Month/Day/Year)

5. Relationship of Reporting Person(s) to
Issuer

(Check all applicable)

☐ Director ☐ 10% Owner
☒ Officer (give title below) ☐ Other (specify below)

Chairman and CEO

6. Individual or Joint/Group Filing(Check
Applicable Line)

☒ Form filed by One Reporting Person
☐ Form filed by More than One Reporting
Person

Table I - Non-Derivative Securities Acquired, Disposed of, or Beneficially Owned

1. Title of Security (Instr. 3)	2. Transaction Date (Month/Day/Year)	2A. Deemed Execution Date, if any (Month/Day/Year)	3. Transaction Code (Instr. 8)	4. Securities Acquired (A) or Disposed of (D) (Instr. 3, 4 and 5)			5. Amount of Securities Beneficially Owned Following Reported Transaction(s) (Instr. 3 and 4)	6. Ownership Form: Direct (D) or Indirect (I) (Instr. 4)	7. Nature of Indirect Beneficial Ownership (Instr. 4)	
			Code	V	Amount	(A) or (D)	Price			
Common Stock								2,162,187	I <u>(1)</u>	By MA 1997 Holdings, L.P.
Common Stock								106,114,284	I <u>(1)</u>	By MA 1994 B Shares, L.P.
Common Stock	10/06/2004		S		13,600 <u>(2)</u>	D	\$ 48.85	4,767,193	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust

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Common Stock	10/06/2004	S	400 <u>(2)</u>	D	\$ 48.9	4,766,793	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	400 <u>(2)</u>	D	\$ 48.91	4,766,393	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	100 <u>(2)</u>	D	\$ 48.92	4,766,293	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	3,800 <u>(2)</u>	D	\$ 48.93	4,762,493	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	1,200 <u>(2)</u>	D	\$ 48.94	4,761,293	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	8,500 <u>(2)</u>	D	\$ 48.95	4,752,793	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	2,700 <u>(2)</u>	D	\$ 48.96	4,750,093	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	3,800 <u>(2)</u>	D	\$ 48.97	4,746,293	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	5,500 <u>(2)</u>	D	\$ 49	4,740,793	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	16,600 <u>(2)</u>	D	\$ 49.1	4,724,193	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
Common Stock	10/06/2004	S	500 <u>(2)</u>	D	\$ 49.13	4,723,693	I <u>(1)</u>	By the Nickel 1997 Irrevocable Trust
	10/07/2004	S		D	\$ 49.1	4,717,193	I <u>(1)</u>	

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Common Stock				6,500 (2)					By the Nickel 1997 Irrevocable Trust
Common Stock	10/07/2004		S	12,500 (2)	D	\$ 49.14	4,704,693	I (1)	By the Nickel 1997 Irrevocable Trust

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number.

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(9-02)

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned
(e.g., puts, calls, warrants, options, convertible securities)

1. Title of Derivative Security (Instr. 3)	2. Conversion or Exercise Price of Derivative Security	3. Transaction Date (Month/Day/Year)	3A. Deemed Execution Date, if any (Month/Day/Year)	4. Transaction Code (Instr. 8)	5. Number of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, 4, and 5)	6. Date Exercisable and Expiration Date (Month/Day/Year)	7. Title and Amount of Underlying Securities (Instr. 3 and 4)	8. Price of Derivative Security (Instr. 5)	9. Nu Deriv Secur Bene Own Follo Repo Trans (Instr
				Code	V (A) (D)	Date Exercisable	Expiration Date	Title	Amount or Number of Shares

Reporting Owners

Reporting Owner Name / Address	Relationships			
	Director	10% Owner	Officer	Other
ARISON MICKY MEIR 3655 N.W. 87 AVENUE MIAMI, FL 33178-2428	X	X	Chairman and CEO	

Signatures

/s/ Micky M.
Arison 10/08/2004

**Signature of
Reporting Person

Date

Explanation of Responses:

* If the form is filed by more than one reporting person, *see* Instruction 4(b)(v).

** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. *See* 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).

- The reporting person may be deemed a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation. However, the reporting person disclaims such group membership, and this report shall not be deemed an admission that the
- (1) reporting person is a member of a Section 13(d) group that owns more than 10% of the Common Stock of Carnival Corporation for purposes of Section 16 or for any other purpose.
- (2) The shares covered by this form were sold pursuant to a Rule 10b5-1(c) sales plan dated August 28, 2003.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure.

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