

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

TRI CONTINENTAL CORP
Form N-23C-1
November 13, 2001

1 PROCESS DATE 10/31/01 MONTHLY FORM 23C-1 REPORT PAGE NO. 1
BMFR479-1-M-01

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PRICE DATE 09/05/01

| 0 | REGISTRATION | ORDER | COST | ORD |
|---|---------------------|-----------|--------|--------|
| 0 | PHILIP C ROATH EXEC | SHARES | PRICE | DOLL |
| | | 3,746.282 | 20.270 | 75,937 |

PRICE DATE TOTALS 3,746.282 75,937

1 PROCESS DATE 10/31/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PR

| 0 | REGISTRATION | ORDER | COST | ORD |
|---|--------------------------------|--------|--------|------|
| 0 | JOHN S ROOT CUST JILL ANN ROOT | SHARES | PRICE | DOLL |
| | | 47.342 | 19.450 | 920 |

PRICE DATE TOTALS 47.342 920

1 PROCESS DATE 10/31/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PR

| 0 | REGISTRATION | ORDER | COST | ORD |
|---|-------------------------------------|---------|--------|-------|
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | JENNIFER E CROSS | SHARES | PRICE | DOLL |
| 0 | LESLIE M VOLKIR PER REP | SHARES | PRICE | DOLL |
| | | 12.411 | 17.650 | 219 |
| | | 474.150 | 17.650 | 8,368 |
| | | 140.114 | 17.650 | 2,473 |

PRICE DATE TOTALS 626.675 11,060

1 PROCESS DATE 10/31/01 MONTHLY FORM 23C-1 REPORT

076-SELIGMAN GROUP OF FUNDS
097-TRI-CONTINENTAL CORPORATION - COMMON PR

| 0 | REGISTRATION | ORDER | COST | ORD |
|---|-------------------------------------|---------|--------|-------|
| 0 | LAMAR J JARRARD & | SHARES | PRICE | DOLL |
| 0 | BERNARD CROOM | SHARES | PRICE | DOLL |
| 0 | FRANCES W FOLEY | SHARES | PRICE | DOLL |
| 0 | PETER STRAUS | SHARES | PRICE | DOLL |
| 0 | JONATHAN MARK STRAUS | SHARES | PRICE | DOLL |
| 0 | ROBERT C DOWNING | SHARES | PRICE | DOLL |
| 0 | HENRY ZWEIG | SHARES | PRICE | DOLL |
| 0 | KENNETH KANDEL | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | H LEE MUNSON AND | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | RALEIGH A HUGHES JR & | SHARES | PRICE | DOLL |
| 0 | JACK BRAIMAN TTEE | SHARES | PRICE | DOLL |
| 0 | WILLIAM P RZEPECKI & | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | CAROL PARISI & | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | SHARES | PRICE | DOLL |
| 0 | E ARTHUR DRESKIN | SHARES | PRICE | DOLL |
| | | 27.452 | 18.250 | 501 |
| | | 54.850 | 18.250 | 1,001 |
| | | 27.452 | 18.250 | 501 |
| | | 54.850 | 18.250 | 1,001 |
| | | 27.452 | 18.250 | 501 |
| | | 109.644 | 18.250 | 2,001 |
| | | 13.754 | 18.250 | 251 |
| | | 82.247 | 18.250 | 1,501 |
| | | 91.205 | 18.250 | 1,664 |
| | | 42.521 | 18.250 | 776 |
| | | 50.469 | 18.250 | 921 |
| | | 1.232 | 18.250 | 22 |
| | | 11.471 | 18.250 | 209 |
| | | 245.898 | 18.250 | 4,487 |
| | | 27.452 | 18.250 | 501 |
| | | 5.534 | 18.250 | 101 |
| | | 82.247 | 18.250 | 1,501 |
| | | 61.973 | 18.250 | 1,131 |
| | | 27.452 | 18.250 | 501 |
| | | 65.808 | 18.250 | 1,201 |
| | | 274.028 | 18.250 | 5,001 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|---------------------------|--------|-------|
| 0 | STATE STREET BANK AND TRUST CO CUST | 136.986 | 18.250 | 2,500 |
| 0 | DIANE THILMONT | 16.493 | 18.250 | 301 |
| 1 | PROCESS DATE 10/31/01 | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|--------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 68.548 | 18.250 | 1,251 |
| 0 | JOHN E COULAHAN & | 493.206 | 18.250 | 9,001 |
| 0 | RUTH J JACOBS & | 21.973 | 18.250 | 401 |
| 0 | PAUL H HARDWICK TR UA 11/29/90 | 50.055 | 18.250 | 913 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 11.014 | 18.250 | 201 |
| 0 | JACK M CHERTOCK & | 11.014 | 18.250 | 201 |
| 0 | SCHUYLER HOLLINGSWORTH JR & | 54.850 | 18.250 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 109.644 | 18.250 | 2,001 |
| 0 | LOUIS A WEHRLE & | 65.808 | 18.250 | 1,201 |
| 0 | MARI REBANE MCGEE TTEE | 19.233 | 18.250 | 351 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 383.617 | 18.250 | 7,001 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 2,827.432 | | 51,600 |
| 1 | PROCESS DATE 10/31/01 | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|--------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 106.838 | 18.720 | 2,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 4.783 | 18.720 | 89 |
| 0 | PAUL C RALSTON & | 745.106 | 18.720 | 13,948 |
| 0 | LLOYDS BANK (JERSEY) NOMINEES LTD | 1.542 | 18.720 | 28 |
| 0 | CHARLES ALEXANDER BROWN | 1,210.920 | 18.720 | 22,668 |
| 0 | SUSAN M VERITY | 106.838 | 18.720 | 2,000 |
| 0 | EDWIN H PIERCE III CUST | 160.256 | 18.720 | 3,000 |
| 0 | JONATHAN HAILE | 4.435 | 18.720 | 83 |
| 0 | LOUIS FRANZONE & | 13.201 | 18.720 | 247 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1,175.214 | 18.720 | 22,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1,175.214 | 18.720 | 22,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1,175.214 | 18.720 | 22,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 106.838 | 18.720 | 2,000 |
| 0 | STEVEN LEWIS | 106.838 | 18.720 | 2,000 |
| 0 | JEREMY D TUCKER | 50.000 | 18.720 | 936 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 1,879.357 | 18.720 | 35,181 |
| 0 | ROBERT M RONAYNE CUST | 484.482 | 18.720 | 9,069 |
| 0 | DAVID P JUNG | 42.735 | 18.720 | 800 |
| 0 | FREDERICK C PERSSE & | 1.681 | 18.720 | 31 |
| 0 | INVESTORS FIDUCIARY TRUST CO TTEE | 96.865 | 18.720 | 1,813 |
| | THE J & W SELIGMAN & CO., INC | | | |
| 0 | JEANNE Y SMITH CUST | 177.453 | 18.720 | 3,321 |
| 0 | JEANNE Y SMITH CUST | 177.453 | 18.720 | 3,321 |
| 1 | PROCESS DATE 10/31/01 | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|---------------------------------|--|--------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | SHEILA A POTTER | 1,702.439 | 18.720 | 31,869 |
| 0 | FREDERIC WHITAKER & | 1,500.000 | 18.720 | 28,080 |
| 0 | PAUL R BROWN & | 500.000 | 18.720 | 9,360 |
| 0 | LEZLEY TAYLOR | 160.256 | 18.720 | 3,000 |
| 0 | LILLIAN MCKENNA TOD | 1,130.151 | 18.720 | 21,156 |
| 0 | ST JOSEPH MANOR HEALTH CARE INC | 534.188 | 18.720 | 10,000 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-----------------------------------|-----------|--------|--------|
| 0 | CARMEN ASTURIAS SAN JUAN | 347.222 | 18.720 | 6,500 |
| 0 | MARTHA F MCMURRY | 3.306 | 18.720 | 61 |
| 0 | MARY SPRY GRIEGO TR | 64.103 | 18.720 | 1,200 |
| 0 | BRIAN D JOHNSON CUST | 170.000 | 18.720 | 3,182 |
| 0 | JOHN R LAWLER | 2.854 | 18.720 | 53 |
| 0 | GEORGE MAURER TOD | 561.156 | 18.720 | 10,504 |
| 0 | HOWARD R GILSTRAP EXEC | 725.829 | 18.720 | 13,587 |
| 0 | KENT STAFFORD PEARSONS & | 1,000.000 | 18.720 | 18,720 |
| 0 | THOMAS J PALAZZOLO | 90.812 | 18.720 | 1,700 |
| 0 | JANE L POTTER | 160.256 | 18.720 | 3,000 |
| 0 | MARGUERITE GERSHON & | 106.838 | 18.720 | 2,000 |
| 0 | ROGER E MAGOWITZ PER REP | 45.315 | 18.720 | 848 |
| 0 | C FREDERICK WINGATE PER REP | 151.831 | 18.720 | 2,842 |
| 0 | STATE STREET BANK & TRUST CO CUST | 271.504 | 18.720 | 5,082 |

| | | | | |
|---|-----------------------|------------|---------------------------|---------|
| | PRICE DATE TOTALS | 18,231.323 | | 341,290 |
| 1 | PROCESS DATE 10/31/01 | | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

| | | | | |
|---|-----------------------------------|--------|--------|------|
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK & TRUST CO CUST | 1.062 | 18.830 | 20 |

| | | | | |
|---|-----------------------|-------|---------------------------|----|
| | PRICE DATE TOTALS | 1.062 | | 20 |
| 1 | PROCESS DATE 10/31/01 | | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

| | | | | |
|---|-------------------------------------|------------|--------|---------|
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK & TRUST CO CUST | 193.893 | 19.300 | 3,742 |
| 0 | ETHELYN P FURRER | 10,224.521 | 19.300 | 197,333 |
| 0 | MARTIN MAXWELL SNAPP | 129.534 | 19.300 | 2,500 |
| 0 | LENORE ZAKOW & | 132.825 | 19.300 | 2,563 |
| 0 | GADIEL SMITH & ANITA C SMITH | 448.133 | 19.300 | 8,648 |
| 0 | GEORGE WEINFLASH CUST GAIL | 1.434 | 19.300 | 27 |
| 0 | MARY L CRAVENS CUST | 11.835 | 19.300 | 228 |
| 0 | MARY L CRAVENS CUST | 24.503 | 19.300 | 472 |
| 0 | MARY L CRAVENS CUST | 16.894 | 19.300 | 326 |
| 0 | ELIZABETH J MC LAUGHLIN | 833.025 | 19.300 | 16,077 |
| 0 | RYAN W BERRY | 310.881 | 19.300 | 6,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 129.534 | 19.300 | 2,500 |
| 0 | ROBERT E MCHUGH | 38.152 | 19.300 | 736 |
| 0 | CAROL F GOLDMAN | 666.671 | 19.300 | 12,866 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 954.923 | 19.300 | 18,430 |
| 0 | JUDITH I RIKER CUST | 200.000 | 19.300 | 3,860 |
| 0 | ERIC L LEWIS | 51.813 | 19.300 | 1,000 |
| 0 | JACOB A WEGELIN | 207.254 | 19.300 | 4,000 |
| 0 | RICHARD W RIKER & | 100.000 | 19.300 | 1,930 |
| 0 | CHARLIE EVANS DANIEL | 100.000 | 19.300 | 1,930 |
| 0 | SUZANNE BILLHYMER CUST | 5.312 | 19.300 | 102 |
| 0 | SUSAN L MCGREEVY | 100.000 | 19.300 | 1,930 |
| 0 | EDWARD J MORGAN | 265.000 | 19.300 | 5,114 |

| | | | | |
|---|-----------------------|--|---------------------------|--|
| 1 | PROCESS DATE 10/31/01 | | MONTHLY FORM 23C-1 REPORT | |
|---|-----------------------|--|---------------------------|--|

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON PR

| | | | | |
|---|--------------------|---------|--------|-------|
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | ROBERT A SWARTZ | 103.627 | 19.300 | 2,000 |
| 0 | WILLIAM W NEWTON & | 400.000 | 19.300 | 7,720 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|--|-----------|---------------------------|--------|
| 0 | JANE SHREEVES YATES | 250.000 | 19.300 | 4,825 |
| 0 | JOYCE C JEROW | 207.254 | 19.300 | 4,000 |
| 0 | INVESTORS FIDUCIARY TRUST CO TTEE THE J & W SELIGMAN & CO., INC | 131.215 | 19.300 | 2,532 |
| 0 | DIANE M GOODRICH | 47.264 | 19.300 | 912 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2,721.036 | 19.300 | 52,515 |
| 0 | AGNES P LARKIN | 18.000 | 19.300 | 347 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 170.830 | 19.300 | 3,297 |
| 0 | WARREN S WILCOX JR CUST | 259.067 | 19.300 | 5,000 |
| 0 | SAUNDRA A WITKOWSKI | 41.451 | 19.300 | 800 |
| 0 | MOSHE FISHER & | 119.171 | 19.300 | 2,300 |
| 0 | RYAN MARCELLUS STEPHAN | 135.000 | 19.300 | 2,605 |
| 0 | PATRICIA PERRY STEPHAN | 166.953 | 19.300 | 3,222 |
| 0 | MICHAEL J YEOMANS | 62.516 | 19.300 | 1,206 |
| 0 | TERESA A MARKLE | 166.298 | 19.300 | 3,209 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 106.096 | 19.300 | 2,047 |
| 0 | MABEL J BROWN TOD | 51.813 | 19.300 | 1,000 |
| 0 | RONALD E MELVILLE TTEE | 700.909 | 19.300 | 13,527 |
| 0 | JAMES W GILLESPIE PER REP | 2.683 | 19.300 | 51 |
| 0 | GENEVIEVE KEIMOWITZ | 129.534 | 19.300 | 2,500 |
| 0 | CHARLOTTE MARIE ROBINSON EXEC | 1,157.120 | 19.300 | 22,332 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|----------------------|--|---------------------------|-------------|
| | REGISTRATION | ORDER SHARES | COST PRICE | ORD DOLL |
| 0 | ROBERT A SIRAVO JR | 1,878.695 | 19.300 | 36,258 |
| 0 | CAROLYN V LITTLE GDN | 1,156.241 | 19.300 | 22,315 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 25,328.910 | | 488,847 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|-------------------------------------|--|---------------------------|-------------|
| | REGISTRATION | ORDER SHARES | COST PRICE | ORD DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 32.565 | 19.430 | 632 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.698 | 19.430 | 149 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 180.134 | 19.430 | 3,500 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 28.358 | 19.430 | 551 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.344 | 19.430 | 201 |
| 0 | JOEL J RE | 7.771 | 19.430 | 151 |
| 0 | HAROLD G WRIGHT & | 257.385 | 19.430 | 5,001 |
| 0 | MARIE H BLISS TR UA 11/4/88 | 51.518 | 19.430 | 1,001 |
| 0 | MARGUERITE HOBBIE & | 102.985 | 19.430 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 8.531 | 19.430 | 165 |
| 0 | ROSELLE G FRIEDMAN | 308.852 | 19.430 | 6,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 69.878 | 19.430 | 1,357 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 126.193 | 19.430 | 2,451 |
| 0 | REGINA SMITHEIMER & | 46.371 | 19.430 | 901 |
| 0 | NORMA C ATKINSON | 25.784 | 19.430 | 501 |
| 0 | H GORDON CHRISTIAN & JEAN | 12.918 | 19.430 | 251 |
| 0 | JULIA G HASSON | 41.224 | 19.430 | 801 |
| 0 | RAYMOND L HAWTHORNE | 51.518 | 19.430 | 1,001 |
| 0 | THOMAS HOCK | 66.958 | 19.430 | 1,301 |
| 0 | DOUGLAS IRWIN & CAROL | 7.771 | 19.430 | 151 |
| 0 | THELMA J PROUDFIT | 231.652 | 19.430 | 4,501 |
| 0 | WILLIAM L PROUDFIT | 231.652 | 19.430 | 4,501 |
| 0 | WILLIAM M SCHLEIER | 51.518 | 19.430 | 1,001 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

076-SELIGMAN GROUP OF FUNDS

| | | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|-------------------------------------|----------|--|--|--------|-------|
| 0 | | | | ORDER | COST | ORD |
| | REGISTRATION | | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 84.971 | 19.430 | 1,651 |
| 0 | DANIEL M WILSON & | | | 154.451 | 19.430 | 3,001 |
| 0 | JOEL P CHERRY | | | 7.771 | 19.430 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 5.198 | 19.430 | 101 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 183.663 | 19.430 | 3,568 |
| 0 | GAIL R INGRAM | | | 20.638 | 19.430 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 122.284 | 19.430 | 2,375 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 109.081 | 19.430 | 2,119 |
| 0 | KITTY FLETCHER | | | 51.518 | 19.430 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 7.771 | 19.430 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 66.877 | 19.430 | 1,299 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 17.992 | 19.430 | 349 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 129.058 | 19.430 | 2,507 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 204.825 | 19.430 | 3,979 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 50.453 | 19.430 | 980 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 128.718 | 19.430 | 2,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 36.078 | 19.430 | 701 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 30.931 | 19.430 | 601 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 61.811 | 19.430 | 1,201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 36.257 | 19.430 | 704 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 112.689 | 19.430 | 2,189 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 152.766 | 19.430 | 2,968 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 53.986 | 19.430 | 1,048 |
| 1 | PROCESS DATE | 10/31/01 | | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|-------------------------------------|----------|--|--|--------|--------|
| 0 | | | | ORDER | COST | ORD |
| | REGISTRATION | | | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 41.224 | 19.430 | 801 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 886.835 | 19.430 | 17,231 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 20.638 | 19.430 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 74.458 | 19.430 | 1,446 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 32.047 | 19.430 | 622 |
| 0 | RUTH ANNE HERTERICH | | | 3.860 | 19.430 | 75 |
| 0 | STANLEY E GWYNN & | | | 154.451 | 19.430 | 3,001 |
| 0 | MARGARET DALE SEABROOK | | | 231.652 | 19.430 | 4,501 |
| 0 | WILLIAM J SCHLACTER | | | 10.344 | 19.430 | 201 |
| 0 | ALBERT HANEN & | | | 102.985 | 19.430 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 51.518 | 19.430 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 6.742 | 19.430 | 131 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 19.351 | 19.430 | 376 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 128.718 | 19.430 | 2,501 |
| 0 | ELISABETH H BROOKS | | | 51.518 | 19.430 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 14.678 | 19.430 | 285 |
| 0 | MARINETTE D PUTNAM | | | 51.518 | 19.430 | 1,001 |
| 0 | PHYLLIS TROY | | | 18.064 | 19.430 | 351 |
| 0 | PEGGY LANGE | | | 38.651 | 19.430 | 751 |
| 0 | L BENTON AUSTIN & | | | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 1.779 | 19.430 | 34 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 51.518 | 19.430 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | | | 26.962 | 19.430 | 523 |
| 1 | PROCESS DATE | 10/31/01 | | MONTHLY FORM 23C-1 REPORT | | |

076-SELIGMAN GROUP OF FUNDS

| | | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
|---|--------------|--|--|--|-------|------|
| 0 | | | | ORDER | COST | ORD |
| | REGISTRATION | | | SHARES | PRICE | DOLL |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|----------|---------------------------|-------|
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.339 | 19.430 | 259 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 37.533 | 19.430 | 729 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 38.668 | 19.430 | 751 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 51.518 | 19.430 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 169.891 | 19.430 | 3,301 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 18.126 | 19.430 | 352 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 69.294 | 19.430 | 1,346 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 64.888 | 19.430 | 1,260 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 84.234 | 19.430 | 1,636 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2.110 | 19.430 | 41 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 28.358 | 19.430 | 551 |
| 0 | ROBERT W LESSEUER | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 47.619 | 19.430 | 925 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 23.610 | 19.430 | 458 |
| 0 | LILLIE MAE SMITH | 36.078 | 19.430 | 701 |
| 0 | THEODORE I BALLARD | 4.168 | 19.430 | 81 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 34.541 | 19.430 | 671 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 68.268 | 19.430 | 1,326 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 4.849 | 19.430 | 94 |
| 0 | CAROL KAHN | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.107 | 19.430 | 410 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|---------------------------|-------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.859 | 19.430 | 211 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.769 | 19.430 | 267 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 7.771 | 19.430 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 51.467 | 19.430 | 1,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 6.716 | 19.430 | 130 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 20.587 | 19.430 | 400 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.440 | 19.430 | 300 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.848 | 19.430 | 424 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.848 | 19.430 | 424 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 77.251 | 19.430 | 1,501 |
| 0 | BERTRAND J FAY JR | 20.638 | 19.430 | 401 |
| 0 | PEGGY HANCOCK | 7.771 | 19.430 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 156.877 | 19.430 | 3,048 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 55.110 | 19.430 | 1,070 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.435 | 19.430 | 299 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 6.333 | 19.430 | 123 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2.624 | 19.430 | 51 |
| 0 | MIN NATHAN | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 79.277 | 19.430 | 1,540 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 51.518 | 19.430 | 1,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 56.978 | 19.430 | 1,107 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|--------|------|
| | | ORDER | COST | PR |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 12.918 | 19.430 | 251 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 36.078 | 19.430 | 701 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.491 | 19.430 | 301 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|----------|---------------------------|-------|
| 0 | STATE STREET BANK AND TRUST CO CUST | 23.106 | 19.430 | 448 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 39.650 | 19.430 | 770 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 32.116 | 19.430 | 624 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 231.652 | 19.430 | 4,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 75.390 | 19.430 | 1,464 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 30.931 | 19.430 | 601 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 30.759 | 19.430 | 597 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 38.651 | 19.430 | 751 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 102.985 | 19.430 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 36.078 | 19.430 | 701 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 30.931 | 19.430 | 601 |
| 0 | EVELYN G CRAIG & | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.880 | 19.430 | 308 |
| 0 | JOHN M THRESS & | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.733 | 19.430 | 500 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2.109 | 19.430 | 40 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 23.971 | 19.430 | 465 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.491 | 19.430 | 301 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|---------------------------|-------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | ANN V ELMQUIST & | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.843 | 19.430 | 424 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | JULIUS MAYER & | 10.344 | 19.430 | 201 |
| 0 | RALPH K B CLAY | 113.278 | 19.430 | 2,201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 15.491 | 19.430 | 301 |
| 0 | ROBERT M SUGERMAN | 51.518 | 19.430 | 1,001 |
| 0 | STANLEY A MACBETH | 61.811 | 19.430 | 1,201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 75.120 | 19.430 | 1,459 |
| 0 | KATHRYN LEE SYMINGTON | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 20.708 | 19.430 | 402 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 102.934 | 19.430 | 2,000 |
| 0 | LORRAINE J BARBER | 37.519 | 19.430 | 729 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 151.401 | 19.430 | 2,941 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.939 | 19.430 | 426 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 128.718 | 19.430 | 2,501 |
| 0 | FREDERICK F FORDON TR U/A 2/4/92 | 68.502 | 19.430 | 1,331 |
| 0 | MONTCALM LACOMBE & | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 129.405 | 19.430 | 2,514 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 62.738 | 19.430 | 1,219 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.802 | 19.430 | 268 |
| 0 | ROBERT T WRAY & | 41.224 | 19.430 | 801 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 225.215 | 19.430 | 4,375 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|--------|-------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | STATE STREET BANK AND TRUST CO CUST | 154.451 | 19.430 | 3,001 |
| 0 | WILLARD P FULLER JR TR UA 4/30/92 | 20.638 | 19.430 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 85.949 | 19.430 | 1,669 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 165.487 | 19.430 | 3,215 |
| 0 | YVONNE D HARVEY & | 20.638 | 19.430 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 150.240 | 19.430 | 2,919 |
| 0 | SOPHIE CUTLER & | 25.784 | 19.430 | 501 |
| 0 | LOUIS CUTLER & | 25.784 | 19.430 | 501 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|----------|---------------------------|-------|
| 0 | HORTENSE BERZOWSKI & | 72.105 | 19.430 | 1,401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 30.931 | 19.430 | 601 |
| 0 | LEONARD F LA BELLE & | 41.224 | 19.430 | 801 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 236.836 | 19.430 | 4,601 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | DOUGLAS C VEZINA TR UA 12/30/91 | 10.344 | 19.430 | 201 |
| 0 | EDWARD J MESSENGER & | 308.852 | 19.430 | 6,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.344 | 19.430 | 201 |
| 0 | FLORENCE K JONES | 3.911 | 19.430 | 76 |
| 0 | WILLIAM C MOYER & VIOLA J MCCREARY | 102.985 | 19.430 | 2,001 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 21.365 | 19.430 | 415 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 102.934 | 19.430 | 2,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 38.651 | 19.430 | 751 |
| 0 | MARIE THERESE BERGMAN & | 7.771 | 19.430 | 151 |
| 0 | ALAN K L SCROGGIE & | 15.491 | 19.430 | 301 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|---------------------------|-------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | ORD |
| 0 | HELEN T PAGE TR UA 06/18/96 | 9.058 | 19.430 | 176 |
| 0 | RIDLER W PAGE TR UA 06/18/96 | 16.778 | 19.430 | 326 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 72.105 | 19.430 | 1,401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 59.238 | 19.430 | 1,151 |
| 0 | BONNIE J JONES | 180.185 | 19.430 | 3,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 13.540 | 19.430 | 263 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 36.078 | 19.430 | 701 |
| 0 | ELAINE C LOWEKE TR UA 7-01-91 | 77.251 | 19.430 | 1,501 |
| 0 | ELSIE JO TRAWICK | 128.718 | 19.430 | 2,501 |
| 0 | DONALD E LAYTON | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 10.344 | 19.430 | 201 |
| 0 | RAYMOND M PLEHN & | 10.344 | 19.430 | 201 |
| 0 | FW GOOCH TR UA 01/12/96 | 51.518 | 19.430 | 1,001 |
| 0 | LEONARD F LA BELLE & | 33.504 | 19.430 | 651 |
| 0 | ROBERT H PENNOCK TR | 2.624 | 19.430 | 51 |
| 0 | ROBERT B KIMSEY & | 10.344 | 19.430 | 201 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 41.224 | 19.430 | 801 |
| 0 | JOHN R STERLING TOD ELIZABETH | 7.771 | 19.430 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 30.763 | 19.430 | 597 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 30.763 | 19.430 | 597 |
| 0 | CHARLES M MARSHALL | 12.918 | 19.430 | 251 |
| 0 | MARY E HOBACK | 20.638 | 19.430 | 401 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 46.371 | 19.430 | 901 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | |
|---|-------------------------------------|--|--------|-------|
| | | ORDER | COST | PR |
| | | SHARES | PRICE | DOLL |
| 0 | REGISTRATION | | | ORD |
| 0 | ARTHUR H DELANEY & | 25.784 | 19.430 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 25.784 | 19.430 | 501 |
| 0 | FRANCIS T ROSENDALE TOD | 77.251 | 19.430 | 1,501 |
| 0 | GEORGE ADELEWITZ & | 7.771 | 19.430 | 151 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 51.518 | 19.430 | 1,001 |
| 0 | JOE STEPHEN BEEBE | 6.484 | 19.430 | 126 |
| 0 | RONALD B EDGERTON TTEE | 77.251 | 19.430 | 1,501 |
| 0 | LEVERT J ABLE | 102.985 | 19.430 | 2,001 |
| 0 | ANN HARLESS TTEE | 41.224 | 19.430 | 801 |
| 0 | EDWARD D SCHULTZ TTEE | 10.344 | 19.430 | 201 |
| 0 | NELL N RING & | 18.116 | 19.430 | 352 |
| 0 | STATE STREET BANK & TRUST CO CUST | 2.098 | 19.430 | 40 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|-----------|--------|--------|
| 0 | SUSAN PINCHOT | 5.198 | 19.430 | 101 |
| 0 | TINA GIANAKIS | 77.251 | 19.430 | 1,501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 38.651 | 19.430 | 751 |
| 0 | H WINFIELD RUSSELL TTEE | 15.491 | 19.430 | 301 |
| 0 | STATE STREET BANK & TRUST CO CUST | 18.432 | 19.430 | 358 |
| 0 | STATE STREET BANK & TRUST CO CUST | 1,193.382 | 19.430 | 23,187 |
| 0 | DOROTHY MAE STEINKAMP TTEE | 25.784 | 19.430 | 501 |

| | | | | |
|---|--------------|-------------------|---------------------------|---------|
| | | PRICE DATE TOTALS | 14,232.245 | |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | 276,534 |

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON

| 0 | REGISTRATION | ORDER | COST | ORD |
|---|-------------------------------------|----------|---------------------------|--------|
| 0 | TED M KUBINIEC | SHARES | PRICE | DOLL |
| 0 | TED M KUBINIEC | 122.221 | 19.640 | 2,400 |
| 0 | ANN E WHEATLEY | 432.872 | 19.640 | 8,501 |
| 0 | CLIFTON INGRAM & | 6.845 | 19.640 | 134 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 178.208 | 19.640 | 3,500 |
| 0 | ELIZABETH F HAGENSEN | 193.740 | 19.640 | 3,805 |
| 0 | SANDRA K SCHLECHT | 152.749 | 19.640 | 3,000 |
| 0 | ALVAN MARKLE III | 254.582 | 19.640 | 5,000 |
| 0 | LAURENCE KLAVAN | 106.568 | 19.640 | 2,093 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 76.375 | 19.640 | 1,500 |
| 0 | WGB COMPANY | 229.124 | 19.640 | 4,500 |
| 0 | THEODORE BALLARD TTEE | 101.833 | 19.640 | 2,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 17.564 | 19.640 | 344 |
| 0 | PETER V ERNSTER | 203.666 | 19.640 | 4,000 |
| 0 | CHARLIE EVANS DANIEL | 143.602 | 19.640 | 2,820 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 150.000 | 19.640 | 2,946 |
| 0 | SARA A WOOLSTON CUST | 509.165 | 19.640 | 10,000 |
| 0 | VICKI L MOORE CUST | 45.825 | 19.640 | 900 |
| 0 | ELLEN ANN J HALPIN | 10.402 | 19.640 | 204 |
| 0 | SHIRLEY KIMMEL TR U/A 7-18-91 | 196.238 | 19.640 | 3,854 |
| 0 | DAVID G BLAIR | 25.458 | 19.640 | 500 |
| 0 | JOHN P SWETT TR U/A 11/29/91 | 112.016 | 19.640 | 2,200 |
| 0 | JOHN P SWETT TR U/A 11/29/91 | 112.016 | 19.640 | 2,200 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

097-TRI-CONTINENTAL CORPORATION - COMMON

| 0 | REGISTRATION | ORDER | COST | ORD |
|---|-------------------------------------|-----------|--------|---------|
| 0 | JOHN P SWETT TR U/A 11/29/91 | SHARES | PRICE | DOLL |
| 0 | LYNN M STREU CUST | 112.016 | 19.640 | 2,200 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 120.676 | 19.640 | 2,370 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 119.052 | 19.640 | 2,338 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 2,030.514 | 19.640 | 39,879 |
| 0 | INVESTORS FIDUCIARY TRUST CO TTEE | 56.787 | 19.640 | 1,115 |
| | THE J & W SELIGMAN & CO., INC | | | |
| 0 | ROLLAND FRIEDLEY TR UA 4-9-93 | 1,084.286 | 19.640 | 21,295 |
| 0 | RICHARD BRYANT WILLIAMS | 120.295 | 19.640 | 2,362 |
| 0 | ONNO PAUL DAAM | 152.749 | 19.640 | 3,000 |
| 0 | ROBERT P MACLAREN | 337.617 | 19.640 | 6,630 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 982.810 | 19.640 | 19,302 |
| 0 | MARIE L TALBOT | 7,706.976 | 19.640 | 151,365 |
| 0 | ELIZABETH LYNCH | 1,140.530 | 19.640 | 22,400 |
| 0 | EDWARD SCHREINER & | 2.392 | 19.640 | 46 |
| 0 | MYRNA FRIEDMAN TR UA 07/03/91 | 661.914 | 19.640 | 13,000 |
| 0 | HANNAH J GILLIGAN | 229.124 | 19.640 | 4,500 |
| 0 | SEAN RAY BALDWIN | 20.000 | 19.640 | 392 |
| 0 | ROGER D WIDING & | 91.650 | 19.640 | 1,800 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-----------------------------------|----------|---------------------------|--------|
| 0 | WILLIAM V ENGELHARDT | 128.687 | 19.640 | 2,527 |
| 0 | STATE STREET BANK & TRUST CO CUST | 289.739 | 19.640 | 5,690 |
| 0 | JOHN C BURNEY JR EXEC | 7.992 | 19.640 | 156 |
| 0 | RUTH F TULCHINSKY & | 509.165 | 19.640 | 10,000 |
| 0 | JOHN G HUBBARD CURATOR | 871.861 | 19.640 | 17,123 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------|------------|--|---------|
| | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| | | ----- | ----- | ----- |
| | PRICE DATE TOTALS | 20,280.122 | | 398,301 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|------------|--|---------|
| | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | MATTHEW D HUFFINE CUST | 1,066.042 | 19.530 | 20,819 |
| 0 | MATTHEW D HUFFINE CUST | 1,164.623 | 19.530 | 22,745 |
| 0 | MICHAEL D WOLIN CUST | 265.051 | 19.530 | 5,176 |
| 0 | PHILLIP E COUGHLIN | 200.000 | 19.530 | 3,906 |
| 0 | ST MATTHIAS EPISCOPAL CHURCH | 13,429.256 | 19.530 | 262,273 |
| 0 | SUSAN F FOWLER | 800.000 | 19.530 | 15,624 |
| 0 | DONALD L CHUBB | 129.138 | 19.530 | 2,522 |
| 0 | CAROLYN F BAUER CUST | 768.049 | 19.530 | 15,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 37.385 | 19.530 | 730 |
| 0 | CYNTHIA LEE DICKINSON TR UA 7/16/79 | 7.262 | 19.530 | 141 |
| 0 | ROBERT E MCHUGH | 250.000 | 19.530 | 4,882 |
| 0 | KATHERINE BLANEY-MILLER CUST | 56.924 | 19.530 | 1,111 |
| 0 | MARTHA ERICSON DEMERS CUST | 73.583 | 19.530 | 1,437 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 235.535 | 19.530 | 4,600 |
| 0 | JEAN B PALMER CUST | 940.876 | 19.530 | 18,375 |
| 0 | M GENE DRAPER | 307.523 | 19.530 | 6,005 |
| 0 | KIMBERLY A MARTINO | 55.000 | 19.530 | 1,074 |
| 0 | RICHARD B MERIWETHER JR | 2,560.164 | 19.530 | 50,000 |
| 0 | HELEN E RODGERS TR UA 12/14/87 | 41.259 | 19.530 | 805 |
| 0 | KENT B RICHARDS & | 196.761 | 19.530 | 3,842 |
| 0 | DANIEL MIRABELLI | 46.976 | 19.530 | 917 |
| 0 | DANIEL MIRABELLI | 46.976 | 19.530 | 917 |
| 0 | MARGARET BARNICLE | 30.000 | 19.530 | 585 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|------------|--|---------|
| | | | 097-TRI-CONTINENTAL CORPORATION - COMMON | PR |
| 0 | | ORDER | COST | ORD |
| | REGISTRATION | SHARES | PRICE | DOLL |
| 0 | BENJAMIN D MARGOLIS & | 394.861 | 19.530 | 7,711 |
| 0 | LEON M GREENSTEIN | 397.462 | 19.530 | 7,762 |
| 0 | TERRY HOSKINS | 128.331 | 19.530 | 2,506 |
| 0 | AUGUSTA L BARNES & | 200.000 | 19.530 | 3,906 |
| 0 | JOANNA BRAGDON CUST | 171.531 | 19.530 | 3,350 |
| 0 | ROBERT EARL BROADFOOT CUST | 200.000 | 19.530 | 3,906 |
| 0 | HELEN L RANEY | 422.000 | 19.530 | 8,241 |
| 0 | LEONARD J NEFT CUST | 97.286 | 19.530 | 1,900 |
| 0 | JAMES STUART | 94.702 | 19.530 | 1,849 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 41.780 | 19.530 | 815 |
| 0 | CRAIG S MARTINO | 55.000 | 19.530 | 1,074 |
| 0 | NORMAN INGRAM & | 2,203.747 | 19.530 | 43,039 |
| 0 | THE LAURA D WANSER FOUNDATION INC | 18,758.685 | 19.530 | 366,357 |
| 0 | JENNIFER ROOT DONOVAN | 29.624 | 19.530 | 578 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|------------------------|----------|---------------------------|--------|
| 0 | BRIAN R SHELEY | 30.162 | 19.530 | 589 |
| 0 | BRIAN R SHELEY CUST | 30.162 | 19.530 | 589 |
| 0 | BRIAN R SHELEY CUST | 30.162 | 19.530 | 589 |
| 0 | BRIAN R SHELEY CUST | 30.162 | 19.530 | 589 |
| 0 | PETER J HERBST | 256.016 | 19.530 | 5,000 |
| 0 | MARGARET CHENEY HEPNER | 179.211 | 19.530 | 3,500 |
| 0 | HAMILTON S COCHRAN | 112.647 | 19.530 | 2,200 |
| 0 | ANNE HUNTER WHEELOCK | 102.407 | 19.530 | 2,000 |
| 0 | RICHARD W NEWCOMB TTEE | 885.440 | 19.530 | 17,292 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|--------------------------|--|---------------------------|-----------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | DAVID J SLATE TTEE | 702.989 | 19.530 | 13,729 |
| 0 | THOMAS K LUCKENBACH & | 9,770.361 | 19.530 | 190,815 |
| 0 | JUDITH ANN SPRICK TTEE | 4,688.000 | 19.530 | 91,556 |
| 0 | EDITH PLATT | 133.042 | 19.530 | 2,598 |
| 0 | RENEE F LUSTIG EXEC | 6,114.766 | 19.530 | 119,421 |
| 0 | WELLS FARGO BANK NA EXEC | 556.790 | 19.530 | 10,874 |
| 0 | NANCY SHANKLIN | 590.283 | 19.530 | 11,528 |
| 0 | ELIZABETH D MULLOY | 389.217 | 19.530 | 7,601 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 70,505.209 | | 1,376,966 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-------------------------------------|--|---------------------------|---------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | ROBERT J HAJEK | 2.775 | 19.060 | 52 |
| 0 | DAVID M JOHNSON | 1,154.250 | 19.060 | 22,000 |
| 0 | BERTHA W MERRITT | 565.327 | 19.060 | 10,775 |
| 0 | BENJAMIN RICE SHORE JR | 1,548.830 | 19.060 | 29,520 |
| 0 | GEORGE WEINFLASH CUST GAIL | 2.000 | 19.060 | 38 |
| 0 | HERMAN STEINBERG | 1,049.318 | 19.060 | 20,000 |
| 0 | JOMA MACHINERY CO LTD | 1,600.000 | 19.060 | 30,496 |
| 0 | KATHARINE BASSETT DICKERSON | 9,706.872 | 19.060 | 185,012 |
| 0 | JOHN D CODY | 1,699.009 | 19.060 | 32,383 |
| 0 | LAWRENCE V RUBINSTEIN CUST | 891.920 | 19.060 | 17,000 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 130.000 | 19.060 | 2,477 |
| 0 | MARCYANN WILSON CUST | 26.233 | 19.060 | 500 |
| 0 | BARBARA PHILIPSON & | 26.286 | 19.060 | 501 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 262.329 | 19.060 | 5,000 |
| 0 | ARTHUR HELMSTETTER CUST | 100.375 | 19.060 | 1,913 |
| 0 | ERIC L LEWIS | 104.932 | 19.060 | 2,000 |
| 0 | THOMAS S J MALLON | 1,185.506 | 19.060 | 22,595 |
| 0 | SYLVIA CRISTINA DOUGLAS | 262.329 | 19.060 | 5,000 |
| 0 | JOHN K MILLSAPS | 35.000 | 19.060 | 667 |
| 0 | JEAN KLINE FEIGENBAUM TTEE | 209.864 | 19.060 | 4,000 |
| 0 | LOIS D ZENNER TR UA 6-15-92 | 1,596.326 | 19.060 | 30,425 |
| 0 | WALTER JOHN CALDWELL | 52.466 | 19.060 | 1,000 |
| 0 | CHARLES A HAMBLET & | 400.000 | 19.060 | 7,624 |
| 1 | PROCESS DATE | 10/31/01 | MONTHLY FORM 23C-1 REPORT | |

076-SELIGMAN GROUP OF FUNDS

| | | | | |
|---|-----------------|--|--------|--------|
| | | 097-TRI-CONTINENTAL CORPORATION - COMMON | | PR |
| 0 | REGISTRATION | ORDER | COST | ORD |
| | | SHARES | PRICE | DOLL |
| 0 | JAMES C YARWOOD | 194.177 | 19.060 | 3,701 |
| 0 | GEORGE J TORPEY | 1,470.873 | 19.060 | 28,034 |

Edgar Filing: TRI CONTINENTAL CORP - Form N-23C-1

| | | | | |
|---|-------------------------------------|-------------|--------|-----------|
| 0 | CLIFFORD F EARLY JR | 2,887.000 | 19.060 | 55,026 |
| 0 | ETHAN D KLEIN | 236.097 | 19.060 | 4,500 |
| 0 | ANN W EARLY | 1,355.000 | 19.060 | 25,826 |
| 0 | JOSEPH A FRANEY | 78.699 | 19.060 | 1,500 |
| 0 | ROBERT C PACE II | 120.000 | 19.060 | 2,287 |
| 0 | AMY E DAVIDSON | 565.590 | 19.060 | 10,780 |
| 0 | STATE STREET BANK AND TRUST CO CUST | 104.932 | 19.060 | 2,000 |
| 0 | LESTER BLOCK | 24.019 | 19.060 | 457 |
| 0 | JOHN C ADAIR JR | 157.398 | 19.060 | 3,000 |
| 0 | JOHN C ADAIR JR | 157.398 | 19.060 | 3,000 |
| 0 | JOHN ROBERT WARREN | 85.024 | 19.060 | 1,620 |
| 0 | ANDREA D LEWIS | 104.932 | 19.060 | 2,000 |
| 0 | MARK G HAENLEIN & | 157.726 | 19.060 | 3,006 |
| 0 | JAY IRA KLAUSNER & | 1,521.511 | 19.060 | 29,000 |
| 0 | ROBERT J BROWN & | 7,533.265 | 19.060 | 143,584 |
| 0 | WAYNE L WEISS & | 88.067 | 19.060 | 1,678 |
| 0 | VALERIA V MCGUIRE PER REP | 464.202 | 19.060 | 8,847 |
| | | ----- | | ----- |
| | PRICE DATE TOTALS | 39,917.857 | | 760,834 |
| 0 | | ----- | | ----- |
| 0 | MONTH-END TOTALS | 195,744.459 | | 3,782,314 |
| | | ===== | | ===== |