Edgar Filing: TRINITY INDUSTRIES INC - Form 4

TRINITY INDUSTRIES INC

Form 4 January 17, 2003

FORM 4

_ Check this box if no longer subject to Section 16. Form 4 or Form 5 obligations may continue. See Instruction 1(b).

UNITED STATES SECURITIES AND EXCHANGE COMMISSION

Washington, D.C. 20549

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934, Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

OMB APPROVAL

OMB Number: 3235-0287 Expires: January 31, 2005 Estimated average burden hours per response. . .0.5

> Filed By Romeo and Dye's Section 16 Filer www.section16.net

1 0					me and Tio		6. Relationship Person(s) to Issuer (Checl						
(Last	, , , ,	of Reporting Person,				Mo	Statement for onth/Day/Year /15/03	_					
									<u>Vice President</u> Officer	& Chief Financial			
	(Street)							If Amendment,	7. Individual or Joint/Group Filing				
								te of Original		(Check Applicable Line)			
Dallas, Texas 75207-2401								Ionth/Day/Year)	X Form filed by One Reporting				
									Person	14 1 0			
									Form filed by More than One Reporting Person				
					T N T								
		(Zip)	†	able						osed of, or Beneficially Owned			
1. Title of	2. Trans-	2A. Deemed	3. Trans		 Securitie 			5. Amount of	6. Owner-	7. Nature of			
Security	action	Execution	action C	ode	(A) or Disposed of (I			Securities	ship Form:	Indirect			
(Instr. 3)	Date	Date,	(Instr. 8)	(Instr. 3, 4 & 5)			Beneficially	Direct (D)	Beneficial			
	(Month/ Day/	if any	Code	V	Amount	(A)	Price	Owned Follow-	or Indirect (I)	Ownership			
	Year)	(Month/Day/				or		ing Reported	(Instr. 4)	(Instr. 4)			
		Year)				(D)		Transactions(s)					
					` ´		(Instr. 3 & 4)						

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB control number

Table II - Derivative Securities Acquired, Disposed of, or Beneficially **FORM 4 (continued)**

(e.g., puts, calls, warrants, options, convertible securities)

1. Title of	2. Conver-	3. Trans-	3A.	4.	5.	6. Date Exercisable	7. Title and	8. Price of	9. Number of	10.	11. Nature
Derivative	sion or	action	Deemed	Trans-	Number	and Expiration	Amount of	Derivative	Derivative	Owner-	of Indirect
Security	Exercise	Date	Execution	action	of	Date	Underlying	Security	Securities	ship	Beneficial
	Price of		Date,	Code	Derivati	Me Ionth/Day/	Securities	(Instr. 5)	Beneficially	Form	Ownership
(Instr. 3)	Derivative	(Month/	if any		Securition	X ear)	(Instr. 3 & 4)		Owned	of Deriv-	(Instr. 4)
	Security	Day/	(Month/	(Instr.	Acquire	d			Following	ative	
		Year)	Day/	8)	(A) or				Reported	Security:	
			Year)		Dispose	d			Transaction(s)	Direct	
					of (D)				(Instr. 4)	(D)	
1			I						ľ		

^{*} If the form is filed by more than one reporting person, see Instruction 4(b)(v).

Edgar Filing: TRINITY INDUSTRIES INC - Form 4

				`	str. 4 &							or Indirect (I) (Instr. 4)	
			Code	V (A		Exer-cisable	Expira- tion Date		Amount or Number of Shares				
Trinity Stock Units	1 - for -1	01/15/03	A	8.	3	(1)		Common Stock	83	\$18.82	6,475	I	by Trust

Explanation of Responses:

(1) The Trinity Stock Units were accrued under the Trinity Industries, Inc. Supplemental Profit Sharing Plan and will be settled upon the reporting person's retirement or other termination of service or earlier for in service distributions under limited circumstances as provided by the plan. Stock Units convert at a ratio of 1 to 1.

By: /s/ Michael G. Fortado
For: Jim S. Ivy
**Signature of Reporting Person

01/17/03 Date

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, See Instruction 6 for procedure.

Persons who respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB Number.

^{**}Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).