TRINITY INDUSTRIES INC

Form 4 May 04, 2016

FORM 4

UNITED STATES SECURITIES AND EXCHANGE COMMISSION Washington, D.C. 20549

OMB Number:

3235-0287 January 31,

0.5

Check this box if no longer

STATEMENT OF CHANGES IN BENEFICIAL OWNERSHIP OF **SECURITIES**

Expires: 2005 Estimated average

OMB APPROVAL

subject to Section 16. Form 4 or Form 5

Filed pursuant to Section 16(a) of the Securities Exchange Act of 1934,

burden hours per response...

obligations may continue. See Instruction

Section 17(a) of the Public Utility Holding Company Act of 1935 or Section 30(h) of the Investment Company Act of 1940

1(b).

(Print or Type Responses)

05/02/2016

Stock

| 1. Name and Address of Reporting Person * MENZIES D STEPHEN | | Symbol | | | | | 5. Relationship of Reporting Person(s) to Issuer | | | | |
|---|---------------------|-----------------------|---------------|-------------|-------------------|--|--|--------------|--|--|--|
| | | TRINIT | TY INDUS | STRIES I | NC [TRN] | (Che | eck all applicable | e) | | | |
| (Last) | (First) (N | Middle) 3. Date of | f Earliest Tr | ansaction | | | | | | | |
| | | (Month/Γ | Day/Year) | | | Director | 10% | 6 Owner | | | |
| 2525 STEM | MONS FREEWA | AY 05/02/2 | 016 | | | _X_ Officer (gi | | er (specify | | | |
| | | | | | | below) below) Senior Vice President | | | | | |
| | | | | | | | Sellioi Vice Flesidelit | | | | |
| | (Street) | 4. If Ame | endment, Da | te Original | Joint/Group Filir | ng(Check | | | | | |
| | Filed(Mor | Filed(Month/Day/Year) | | | | Applicable Line) | | | | | |
| | | | | | | _X_ Form filed by | 1 0 | | | | |
| DALLAS, T | | | | | | Form filed by More than One Reporting Person | | | | | |
| (City) | (State) | (Zip) Tab | le I - Non-D | erivative S | Securities Ac | quired, Disposed | of, or Beneficial | lly Owned | | | |
| 1.Title of | 2. Transaction Date | e 2A. Deemed | 3. | 4. Securit | ies Acquired | 5. Amount of | 6. Ownership | 7. Nature of | | | |
| Security | (Month/Day/Year) | Execution Date, if | Transactio | on(A) or Di | _ | Securities | Form: Direct | Indirect | | | |
| (Instr. 3) any | | any | Code (D) | | Beneficially | Beneficial | | | | | |
| | | (Month/Day/Year) | (Instr. 8) | (Instr. 3, | 4 and 5) | Owned | Indirect (I) | Ownership | | | |
| | | | | | | Following | (Instr. 4) | (Instr. 4) | | | |
| | | | | | (A) | Reported | | | | | |
| | | | | | or | Transaction(s) | | | | | |
| | | | Code V | Amount | (D) Price | (Instr. 3 and 4) | | | | | |
| Common | 05/02/2016 | | ٨ | 18,717 | ۸ \$ ۵ | 151 862 (2) | D | | | | |

Reminder: Report on a separate line for each class of securities beneficially owned directly or indirectly.

A

Persons who respond to the collection of SEC 1474 information contained in this form are not (9-02)required to respond unless the form displays a currently valid OMB control number.

151,862 (2)

D

Table II - Derivative Securities Acquired, Disposed of, or Beneficially Owned (e.g., puts, calls, warrants, options, convertible securities)

(1)

Α

\$0

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| 1. Title of Derivative Security (Instr. 3) | 2. Conversion or Exercise Price of Derivative Security | 3. Transaction Date (Month/Day/Year) | 3A. Deemed Execution Date, if any (Month/Day/Year) | 4. Transactic Code (Instr. 8) | 5. orNumber of Derivative Securities Acquired (A) or Disposed of (D) (Instr. 3, | | nte | 7. Title and Amount of Underlying Securities (Instr. 3 and 4) | 8. Price of Derivative Security (Instr. 5) | 9. Nu Deriv Secur Bene Owne Follo Repo Trans (Instr | |
|---|---|---|---|--|--|-------------|------------|---|---|---|--|
| | | | | | 4, and 5) | Date | Expiration | | Amount | | |
| | | | | Code V | (A) (D) | Exercisable | Date | Title | Number of Shares | | |

Reporting Owners

Reporting Owner Name / Address Relationships

Director 10% Owner Officer Other

MENZIES D STEPHEN 2525 STEMMONS FREEWAY DALLAS, TX 75207

Senior Vice President

Signatures

Mary E. Henderson For: D. Stephen
Menzies
05/04/2016

**Signature of Reporting Person

Date

Explanation of Responses:

- * If the form is filed by more than one reporting person, see Instruction 4(b)(v).
- ** Intentional misstatements or omissions of facts constitute Federal Criminal Violations. See 18 U.S.C. 1001 and 15 U.S.C. 78ff(a).
- (1) Restricted Stock Units granted pursuant to the Third Amended and Restated Trinity Industries, Inc. 2004 Stock Option and Incentive Plan. Distribution is 1 for 1 in Trinity Stock after shares vest 50% on May 15, 2019 and 50% on May 15, 2020.
- (2) Restricted Stock Units granted May 4, 2015 were previously reported on Table II as derivative securities. The balance is now included in Table I, Column 5.

Note: File three copies of this Form, one of which must be manually signed. If space is insufficient, *see* Instruction 6 for procedure. Potential persons who are to respond to the collection of information contained in this form are not required to respond unless the form displays a currently valid OMB number.

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