

CARNIVAL CORP
Form SC 13G/A
February 13, 2017

UNITED STATES
SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

SCHEDULE 13G

Under the Securities Exchange Act of 1934
(Amendment No. 5)

CARNIVAL CORPORATION
(Name of Issuer)

Common Stock, par value \$0.01 per Share of Carnival Corporation
(Title of Class of Securities)

Common Stock: 143658-30-0
(CUSIP Number)

December 31, 2016
(Date of Event which Requires Filing of this Statement)

Check the appropriate box to designate the rule
pursuant to which this Schedule is filed:

- X Rule 13d-1(b)
- Rule 13d-1(c)
- Rule 13d-1(d)

CUSIP No. 143658-30-0

1

Names of Reporting Persons

I.R.S. Identification No. of above persons (entities only)		
Northern Trust Corporation		36-2723087
The Northern Trust Company		36-1561860
Northern Trust Investments, Inc		36-3608252
The Northern Trust Company of Delaware		75-3201788

2

Check the appropriate box if a member of a group

- Not Applicable (a) []
- (b) []

3

S.E.C. use only

Edgar Filing: CARNIVAL CORP - Form SC 13G/A

4

Citizenship or place of organization

Northern Trust Corporation--a Delaware corporation with principal offices
In Chicago, Illinois

Number of Shares Beneficially owned by Each Reporting Person with

5

Sole Voting Power

7,457,051

6

Shared Voting Power

4,207,151

7

Sole Dispositive Power

9,693,659

8

Shared Dispositive Power

21,979,775

9

Aggregate amount beneficially owned by each reporting person

31,926,059

10

Check box if the aggregate amount in Row (9) excludes certain shares.

Not Applicable

11

Percent of class represented by amount in Row 9

5.94

12

Edgar Filing: CARNIVAL CORP - Form SC 13G/A

Type of reporting person

Northern Trust Corporation HC

SECURITIES AND EXCHANGE COMMISSION
Washington, DC 20549

SCHEDULE 13G UNDER THE SECURITIES EXCHANGE ACT OF 1934

Check the following box if a fee is being paid with statement [].

1. (a) Carnival Corporation
(Name of Issuer)
- (b) 3655 N.W. 87th Avenue, Miami, FL 33178-2428
(Address of Issuer's Principal Executive Offices)
2. (a) Northern Trust Corporation
(Name of Person Filing)
- (b) 50 South LaSalle Street, Chicago, Illinois 60603
(Address of Person Filing)
- (c) U.S. (Delaware Corporation)
(Citizenship)
- (d) Common Stock, par value \$0.01 per Share of Carnival Corporation
(Title of Class of Securities)
- (e) Common Stock: 143658-30-0
(CUSIP Number)

3. This statement is being filed by Northern Trust Corporation as a
[G] Parent Holding Company in accordance with S240.13d-1(b) (1) (ii) (G).

4. (a) 31,926,059
(Amount Beneficially Owned)
- (b) 5.94
(Percent of Class)
- (c) Number of shares as to which such person has:
 - (i) 7,457,051
(Sole Power to Vote or to Direct the Vote)
 - (ii) 4,207,151
(Shared Power to Vote or to Direct the Vote)
 - (iii) 9,693,659
(Sole Power to Dispose or Direct Disposition)
 - (iv) 21,979,775
(Shared Power to Dispose or Direct Disposition)

5. If this statement is being filed to report the fact that as of the date hereof the reporting person has ceased to be the beneficial owner of more than

Edgar Filing: CARNIVAL CORP - Form SC 13G/A

5 percent of the class of securities, check the following: []

6. Statement regarding ownership of 5 percent or more on behalf of another person:

7. Parent Holding Company reporting on behalf of the following subsidiaries, all of which are banks as defined in Section 3(a) (6) of the Act:

The Northern Trust Company
50 South LaSalle Street
Chicago, IL 60603

Northern Trust Investments, Inc.
50 South LaSalle Street
Chicago, IL 60603

The Northern Trust Company of Delaware
1313 North Market Street, Suite 5300
Wilmington, Delaware 19801

8. Identification and Classification of Members of the Group.

Not Applicable.

9. Notice of Dissolution of Group.

Not Applicable.

10. By signing below I certify that, to the best of my knowledge and belief, the securities referred to above were acquired and are held in the ordinary course of business and were not acquired and are not held for the purpose of or with the effect of changing or influencing the control of the issuer of such securities and were not acquired and are not held in connection with or as a participant in any transaction having that purpose or effect, other than activities solely in connection with a nomination under S240.14a-11

After reasonable inquiry and to the best of my knowledge and belief, I certify that the information set forth in this statement is true, complete and correct.

NORTHERN TRUST CORPORATION

By: Robert P Browne
As its Executive Vice President

DATED: 02-08-2017

EXHIBIT TO SCHEDULE 13G AMENDMENT

FILED BY NORTHERN TRUST CORPORATION

Securities and Exchange Commission
450 Fifth Street, N.W.
Washington, DC 20549-1004
Attention: Filing Desk, Stop 1-4

Edgar Filing: CARNIVAL CORP - Form SC 13G/A

RE: Carnival Corporation

Pursuant to the requirement of 240.13d-1(k) (1) (iii), this exhibit shall constitute our written agreement that the Schedule 13G Amendment to which this exhibit is attached is filed on behalf of Northern Trust Corporation and of its subsidiary(ies), as stated below, regarding our respective beneficial ownership in the above-captioned equity security.

NORTHERN TRUST CORPORATION

By: Robert P Browne
As its Executive Vice President
DATED: 02-08-2017

THE NORTHERN TRUST COMPANY
NORTHERN TRUST INVESTMENTS, INC
THE NORTHERN TRUST COMPANY OF DELAWARE

By: Robert P Browne
As its Executive Vice President or Authorized Representative
DATED: 02-08-2017